

Third Party Audit under RTI Act 2005

S.no.	Items	Details of Disclosure	
1.5	Rules, regulations, instruction manual and records for discharging functions		Finance division follows Pattern of Assistance of Department of Finance NCT of Delhi
1.6	Categories of documents held by the authority under its control		Annual Accounts, Minutes of Finance Committee
1.7	Boards, Councils, Committees and other Bodies constituted as part of the Public Authority		Finance Committee
2.1	Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc.	(i) Total Budget for the public authority (ii) Budget for each agency and plan & programmes (iii) Proposed expenditures (iv) Revised budget for each agency, if any (v) Report on disbursements made and place where the related reports are available	F.Y. – 2022-23 (i) Rs. 88,00,000,00/- (ii) GIA-Salaries Rs. 57,00,000,00/- GIA-General Rs. 31,00,000,00/- (iii) GIA-Salaries Rs. 86,07,43,149/- GIA-General Rs. 53,51,40,850/- (iv) GIA-Salaries Rs. 57,23,76,750/- GIA-General Rs. 57,78,96,398/- (v) AUD Website Publication
2.2	Foreign and domestic tours (F. No. 1/8/2012-IR dt. 11.9.2012)	(i) Budget (ii) Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department. a) Places visited b) The period of visit c) The number of members in the official delegation d) Expenditure on the visit (iii) Information related to	F.Y. – 2022-23 (i) Total : 21,95,899/- Expenditure: Travel Allowance (Foreign) Rs. 10,439/- Travel Allowance (Domestic) Rs. 1,07,482/-

		<p>procurements</p> <p>a) Notice/tender enquires, and corrigenda if any thereon,</p> <p>b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured,</p> <p>c) The works contracts concluded – in any such combination of the above and</p> <p>d) The rate /rates and the total amount at which such procurement or works contract is to be executed.</p>	
2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xii)]		N.A.
2.4	Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.04.2013]		N.A.
2.5	Particulars of recipients of concessions, permits of authorizations granted by the public authority [Section 4(1) (b) (xiii)]		N.A.
2.6	`CAG & PAC paras [F No. 1/6/2011- IR dt. 15.4.2013]	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.	<p>There is no PAC para on the Accounts of AUD.</p> <p>Eight audit comments were framed by AG (Audit) Delhi on the Accounts for the Year 2021-22.</p> <p>The Audit comments in respect of Annual Accounts of a Financial Year are taken into account and remedial action is taken while preparing Annual A/cs for subsequent financial year.</p>

DIRECTORATE OF HIGHER EDUCATION
GOVT. OF N.C.T. OF DELHI
GROUND FLOOR, BTE BUILDING
MUNI MAYA RAM MARG, PITAM PURA
DELHI-110034.
Email – dthedu@gmail.com

No. DHE-20(80)/AUD/GIA/2022-2023/ 4-1-15

Dated: 15.06.2022

SANCTION ORDER

Sub:- Release of 1st Installment of Grant-in-Aid to Dr. B.R. Ambedkar University during Current Financial Year 2022-23.

I am directed to convey sanction of the Finance Department, Govt. of N.C.T. of Delhi for release of an amount of Rs. 24,59,25,000/- (Rupees Twenty Four Crore Fifty Nine Lakh Twenty Five Thousand Only) as 1st installment of Grant-in-Aid- Salary and Grant-in-Aid- General to Dr. B.R. Ambedkar University under Demand No. 6. as per the details given below for the Current Financial Year 2022-23.

The bifurcation of the amount to be released as 1st installment for Current Financial Year 2022-23 is as under:-


Standard Numeric Code	Major Head/ Object Head	Amount to be released as approved by Finance Department (in Rs.)
2202 03 102 88	Grant-in-aid to Dr. B. R. Ambedkar University Delhi	
2202 03 102 88 00 31	Grant-in-aid – General	7,00,00,000/-
2202 03 102 88 00 36	Grant-in-aid – Salaries	17,59,25,000/-

The Expenditure of Rs. 17,59,25,000/- on this account will be debit to Major Head "2202 03 102 88 00 36" - Grant-in-Aid- Salaries & the Expenditure of Rs. 7,00,00,000/- on this account will be debit to Major Head "2202 03 102 88 00 31"- Grant-in-Aid- General for the Current Financial Year 2022-23 subject to compliance of all conditions mentioned in this sanctioned order. The payment to the University will be made through RTGS.

The installment of Grant-in-Aid is being released subject to the following conditions:

1. Due prudence and frugality shall be exercised by the Grantee Institution/University while incurring expenditure out of GIA and no wasteful expenditure whatsoever shall be incurred in any manner.

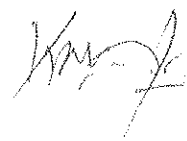
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Drawing & Disbursing Officer
Directorate of Higher Education
D.P. Block of Technical Education
Block, TTE Complex
Muni Maya Ram Marg, Pitampura
Delhi-110034



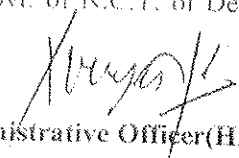
2. Expenditure out of GIA shall be incurred on the purchase of goods/services, purchase/acquisition of which is necessary and in public interest. Further expenditure shall be incurred only on those projects execution of which is necessary and in public interest.
3. Expenditure will be incurred only for the purpose for which GIA has been sanctioned and for the targets, which have been assigned to University by this Directorate.
4. The Institution/University shall ensure periodical appraisal of the performance for ascertaining as to whether the targets/goals assigned to University are being achieved. Continuation of Grant-in-aid shall depend on fulfillment of these conditions.
5. The Utilization Certificate duly signed by the Chartered Accountant and countersigned by the Institution/University should be submitted within the prescribed time.
6. The procedure as prescribed in GFR-2017 and the OM/circulars by FD/CVC is duly followed while procuring goods/services and the norms/procedures as laid down in CPWD manual/GFR-2017 are/is observed while executing projects/work contracts.
7. The Institution/University will lay down adequate control mechanism/checks for prevention and detection of errors and financial irregularities in the working/functioning of University for avoiding wasteful expenditure and loss of money.
8. The Institution/University will ensure that the mechanism/checks contemplated in PARA 7 are effectively applied for ensuring that the funds are utilized for the prescribed purpose only.
9. A Grantee Institution/University where accounts functionary has been posted by Finance Department on deputation basis shall be consulted in the matter of purchase of goods/services and execution of projects/schemes.
10. The Institution/University will ensure the observance of procedure and fulfillment of conditions as laid down in the Govt. Order No. F.12/3/2010-AC/dsfa/dslII/914-921 dated 18.07.2011 issued by FD and instruction issued vide Government order No.F. 4 (14)/Fin. (T&E)/08-09/dsv/639 dated 14.07.2015/22.09.2015.
11. The Institution/University will also formulate the 'Pattern of Assistance' with concurrence of the F.D. prescribing therein purpose for which grant may be used, the procedure & manner in which GIA may be utilized and conditions/ stipulations which may be fulfilled.
12. In case the 'Pattern of Assistance' has already been formulated then it may be revised /updated in the light of instructions contained in Government Order dated 18.07.2011 and O.M. dated 06.09.2011. The Institution/University shall lay down the following conditions in the "Pattern Assistance" in respect of the Grantee Institution. "The directives/orders issued by the Govt. of N.C.T. of Delhi from time to time regulating expenditure out of grant-in-aid shall be binding on the Institution/University and contravention thereof shall render the GIA liable to be withheld.
 - (a) The directives /orders issued by government from time to time regulating expenditure out of grant-in-aid shall be binding on the Grantee Institution and contravention thereof shall render the GIA liable to be withheld.
 - (b) "The Grantee Institution shall not do any act or undertake any activity which entails additional financial liability for the government without the approval of Finance/Planning Department, like creation of posts, grant of pay scales higher than those of corresponding posts in Govt. of N.C.T. of Delhi, undertaking of infrastructural projects estimated cost of which is above INR 2.00 Crore, provision/extension of pension to employees etc".
13. A separate GIA register will be maintained as per GFR.
14. The Accounts of the Grantee Institution/University shall be under the audit jurisdiction of the Local Fund Account, Directorate of Audit, Govt. of N.C.T. of Delhi.





15. The Balance Sheet duly Certified by the Chartered Accountant shall be furnished to the Directorate of Higher Education at the close of the Financial Year.

This issues with the concurrence of Finance (Exp.-III) Department, Govt. of N.C.T. of Delhi vide their U.O. No. 66/DS-3 dated 09.06.2022.



Administrative Officer(HE)


No. DHE-20(80)/AUD/GIA/2022-2023/1043 84

Dated: 15.06.2022

Copy forwarded to the following for information & necessary action:

1. The Registrar, Dr. B. R. Ambedkar University, Lothian Road, Kashmere Gate, Delhi-110006.
2. Dy. Secretary, Fin. (Exp). DS-3, Govt. of N.C.T. of Delhi, 4th Level, Delhi Sectt., I.P. Estate, New Delhi-110002 w.r.t. U.O. No. 66/DS-3 dated 09.06.2022.
3. The Jt. Director (Planning), Planning Department, GNCTD, Delhi Sectt., I.P. Estate, New Delhi.
4. The Controller of Accounts, Principal Accounts office, GNCTD, Vikas Bhawan, ITO, New Delhi.
5. The Pay & Accounts Officer No-IX, Old Sectt., Delhi through DDO.
6. Director, Dte. of Internal Audit, 4th Level, Delhi Sectt., I.P. Estate, New Delhi-110002.
7. The Accounts Officer, Directorate of Higher Education, BTE Building, Muni Maya Ram Marg, Pitampura, Delhi-110034
8. DDO, Directorate of Higher Education, BTE Building, Muni Maya Ram Marg, Pitampura, Delhi-110034.
9. The Accountant General Audit(Delhi), AGCR Building, I.P. Estate, New Delhi 110002.
10. Sanction / GIA File.


Directorate of Higher Education,
NCT of Delhi
BTE Building, Muni Maya Ram Marg,
Pitampura, Delhi-110034
Date: 15.06.2022


Administrative Officer(HE)

DIRECTORATE OF HIGHER EDUCATION
GOVT. OF N.C.T. OF DELHI
GROUND FLOOR, BTE BUILDING
MUNI MAYA RAM MARG, PITAM PURA
DELHI-110034.
Email – dtehedu@gmail.com

No. DHE-20(80)/AUD/GIA/2022-2023/817-26

Dated: 11.11.2022

SANCTION ORDER

Sub:- Release of 2nd & 3rd installment of Grant-in-Aid to Dr. B.R. Ambedkar University during Current Financial Year 2022-23.

I am directed to convey sanction of the Finance Department, Govt. of N.C.T. of Delhi for release of an amount of Rs. 37,66,39,256/- (Rupees Thirty Seven Crore Sixty Six Lakhs Thirty Nine Thousand Two Hundred Fifty Six Only) as 2nd & 3rd installment of Grant-in-Aid- Salary and Grant-in-Aid- General to Dr. B.R. Ambedkar University under Demand No. 6. as per the details given below for the Current Financial Year 2022-23.

The bifurcation of the amount to be released as 2nd & 3rd installment for Current Financial Year 2022-23 is as under:-

Standard Numeric Code	Major Head/ Object Head	Amount to be released in 2 nd & 3 rd as approved by Finance Department (in Rs.)
2202 03 102 88	Grant-in-aid to Dr. B. R. Ambedkar University Delhi	
2202 03 102 88 00 31	Grant-in-aid – General	10,72,65,421/-
2202 03 102 88 00 36	Grant-in-aid – Salaries	26,93,73,835/-

The Expenditure of Rs. 26,93,73,835/- (Rs. Twenty Six Crore Ninety Three Lakhs Seventy Three Thousand Eight Hundred Thirty Five Only) on this account will be debitable to Major Head “2202 03 102 88 00 36” - Grant-in-Aid- Salaries & the Expenditure of Rs. 10,72,65,421/- (Rs. Ten Crore Seventy Two Lakhs Sixty Five Thousand Four Hundred Twenty One Only) on this account will be debitable to Major Head “2202 03 102 88 00 31”- Grant-in-Aid- General for the Current Financial Year 2022-23 subject to compliance of all conditions mentioned in this sanctioned order. The payment to the University will be made through RTGS.


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2. Expenditure out of GIA shall be incurred on the purchase of goods/services, purchase/acquisition of which is necessary and in public interest. Further expenditure shall be incurred only on those projects execution of which is necessary and in public interest.
3. Expenditure will be incurred only for the purpose for which GIA has been sanctioned and for the targets, which have been assigned to University by this Directorate.
4. The Institution/University shall ensure periodical appraisal of the performance for ascertaining as to whether the targets/goals assigned to University are being achieved. Continuation of Grant-in-aid shall depend on fulfillment of these conditions.
5. The Utilization Certificate duly signed by the Chartered Accountant and countersigned by the Institution/University should be submitted within the prescribed time.
6. The procedure as prescribed in GFR-2017 and the OM/circulars by FD/CVC is duly followed while procuring goods/services and the norms/procedures as laid down in CPWD manual/GFR-2017 are/is observed while executing projects/work contracts.
7. The Institution/University will lay down adequate control mechanism/checks for prevention and detection of errors and financial irregularities in the working/functioning of University for avoiding wasteful expenditure and loss of money.
8. The Institution/University will ensure that the mechanism/checks contemplated in PARA 7 are effectively applied for ensuring that the funds are utilized for the prescribed purpose only.
9. A Grantee Institution/University where accounts functionary has been posted by Finance Department on deputation basis shall be consulted in the matter of purchase of goods/services and execution of projects/schemes.
10. The Institution/University will ensure the observance of procedure and fulfillment of conditions as laid down in the Govt. Order No. F.12/3/2010-AC/dsfa/dsIII/914-921 dated 18.07.2011 issued by FD and instruction issued vide Government order No.F. 4 (14)/Fin. (T&E)/08-09/dsv/639 dated 14.07.2015/22.09.2015.
11. The Institution/University will also formulate the 'Pattern of Assistance' with concurrence of the F.D. prescribing therein purpose for which grant may used, the procedure & manner in which GIA may be utilized and conditions/ stipulations which may be fulfilled.
12. In case the 'Pattern of Assistance' has already been formulated then it may be revised /updated in the light of instructions contained in Government Order dated 18.07.2011 and O.M. dated 06.09.2011. The Institution/University shall lay down the following conditions in the "Pattern of Assistance" in respect of the Grantee Institution. "The directives/orders issued by the Govt. of N.C.T. of Delhi from time to time regulating expenditure out of grant-in-aid shall be binding on the Institution/University and contravention thereof shall render the GIA liable to be withheld.
 - (a) The directives /orders issued by government from time to time regulating expenditure out of grant-in-aid shall be binding on the Grantee Institution and contravention thereof shall render the GIA liable to be withheld.
 - (b) "The Grantee Institution shall not do any act or undertake any activity which entails additional financial liability for the government without the approval of Finance/Planning Department, like creation of posts, grant of pay scales higher than those of corresponding posts in Govt. of N.C.T. of Delhi, undertaking of infrastructural projects estimated cost of which is above INR 2.00 Crore, provision/extension of pension to employees etc"
13. A separate GIA register will be maintained as per GFR.
14. The Accounts of the Grantee Institution/University shall be under the audit jurisdiction of the Local Fund Account, Directorate of Audit, Govt. of N.C.T. of Delhi.



15. The Balance Sheet duly Certified by the Chartered Accountant shall be furnished to the Directorate of Higher Education at the close of the Financial Year.

This issues with the concurrence of Finance (Exp.-III) Department, Govt. of N.C.T. of Delhi vide their U.O. No. 66/DS-3 dated 09.06.2022.



Administrative Officer(HE)


No. DHE-20(80)/AUD/GIA/2022-2023/3073-84

Dated: 15.06.2022

Copy forwarded to the following for information & necessary action:

1. The Registrar, Dr. B. R. Ambedkar University, Lothian Road, Kashmere Gate, Delhi-110006.
2. Dy. Secretary, Fin. (Exp), DS-3, Govt. of N.C.T. of Delhi, 4th Level, Delhi Sectt., I.P. Estate, New Delhi-110002 w.r.t. U.O. No. 66/DS-3 dated 09.06.2022.
3. The Jt. Director (Planning), Planning Department, GNCTD, Delhi Sectt., I.P. Estate, New Delhi.
4. The Controller of Accounts, Principal Accounts office, GNCTD, Vikas Bhawan, ITO, New Delhi.
5. The Pay & Accounts Officer No-IX, Old Sectt., Delhi through DDO.
6. Director, Dte. of Internal Audit, 4th Level, Delhi Sectt., I.P. Estate, New Delhi-110002.
7. The Accounts Officer, Directorate of Higher Education, BTE Building, Muni Maya Ram Marg, Pitampura, Delhi-110034
8. DDO, Directorate of Higher Education, BTE Building, Muni Maya Ram Marg, Pitampura, Delhi-110034.
9. The Accountant General Audit(Delhi), AGCR Building, I.P. Estate, New Delhi 110002.
10. Sanction / GIA File.


Drawing & Disbursing Officer
Directorate of Higher Education
S.E. Block of Technical Education
Block TTE Complex
Muni Maya Ram Marg, Pitampura
Delhi-110034


Administrative Officer(HE)

DIRECTORATE OF HIGHER EDUCATION
GOVT. OF N.C.T. OF DELHI
GROUND FLOOR, BTE BUILDING
MUNI MAYA RAM MARG, PITAM PURA
DELHI-110034.

Email – dtehedu@gmail.com

No. DHE-20(80)/AUD/GIA/2022-2023/1034-1043

Dated: 14.02.2023

SANCTION ORDER

Sub:- Release of 4th & final installment of Grant-in-Aid to Dr. B.R. Ambedkar University during Current Financial Year 2022-23.

I am directed to convey sanction of the Finance Department, Govt. of N.C.T. of Delhi for release of an amount of 14,22,25,000/- (Rs. Fourteen Crore Twenty Two Lakhs Twenty Five Thousand Only) as 4th & final installment of Grant-in-Aid- Salary & Grant-in-Aid- General to Dr. B.R. Ambedkar University under Demand No. 6. as per the details given below for the Current Financial Year 2022-23.

The bifurcation of the amount to be released as 4th & final installment for Current Financial Year 2022-23 is as under:-

Standard Numeric Code	Major Head/ Object Head	Amount to be released in 4 th installment as approved by Finance Department (in Rs.)
2202 03 102 88	Grant-in-aid to Dr. B. R. Ambedkar University Delhi	
2202 03 102 88 00 31	Grant-in-aid – General	10,00,00,000/-
2202 03 102 88 00 36	Grant-in-aid– Salaries	4,22,25,000/-

The Expenditure of Rs. 4,22,25,000/- (Rs. Four Crore Twenty Two Lakhs Twenty Five Thousand Only) on this account will be debitable to Major Head “2202 03 102 88 00 36” - Grant-in-Aid- Salaries & the Expenditure of Rs. 10,00,00,000/- (Rs. Ten Crore Only) on this account will be debitable to Major Head “2202 03 102 88 00 31”- Grant-in-Aid- General for the Current Financial Year 2022-23 subject to compliance of all conditions mentioned in this sanctioned order. The payment to the University will be made through RTGS.

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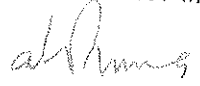
The installment of Grant-in-Aid is being released subject to the following conditions:-

1. Due prudence and frugality shall be exercised by the Grantee Institution/University while incurring expenditure out of GIA and no wasteful expenditure whatsoever shall be incurred in any manner.
2. Expenditure out of GIA shall be incurred on the purchase of goods/services, purchase/acquisition of which is necessary and in public interest. Further expenditure shall be incurred only on those projects execution of which is necessary and in public interest.
3. Expenditure will be incurred only for the purpose for which GIA has been sanctioned and for the targets, which have been assigned to University by this Directorate.
4. The Institution/University shall ensure periodical appraisal of the performance for ascertaining as to whether the targets/goals assigned to University are being achieved. Continuation of Grant-in-aid shall depend on fulfillment of these conditions.
5. The Utilization Certificate duly signed by the Chartered Accountant and countersigned by the Institution/University should be submitted within the prescribed time.
6. The procedure as prescribed in GFR-2017 and the OM/circulars by FD/CVC is duly followed while procuring goods/services and the norms/procedures as laid down in CPWD manual/GFR-2017 are/is observed while executing projects/work contracts.
7. The Institution/University will lay down adequate control mechanism/checks for prevention and detection of errors and financial irregularities in the working/functioning of University for avoiding wasteful expenditure and loss of money.
8. The Institution/University will ensure that the mechanism/checks contemplated in PARA 7 are effectively applied for ensuring that the funds are utilized for the prescribed purpose only.
9. A Grantee Institution/University where accounts functionary has been posted by Finance Department on deputation basis shall be consulted in the matter of purchase of goods/services and execution of projects/schemes.
10. The Institution/University will ensure the observance of procedure and fulfillment of conditions as laid down in the Govt. Order No. F.12/3/2010-AC/dsfa/dsIII/914-921 dated 18.07.2011 issued by FD and instruction issued vide Government order No.F. 4 (14)/Fin. (T&E)/08-09/dsv/639 dated 14.07.2015/22.09.2015.
11. The Institution/University will also formulate the 'Pattern of Assistance' with concurrence of the F.D. prescribing therein purpose for which grant may be used, the procedure & manner in which GIA may be utilized and conditions/ stipulations which may be fulfilled.
12. In case the 'Pattern of Assistance' has already been formulated then it may be revised /updated in the light of instructions contained in Government Order dated 18.07.2011 and O.M. dated 06.09.2011. The Institution/University shall lay down the following conditions in the "Pattern Assistance" in respect of the Grantee Institution. "The directives/orders issued by the Govt. of N.C.T. of Delhi from time to time regulating expenditure out of grant-in-aid shall be binding on the Institution/University and contravention thereof shall render the GIA liable to be withheld.
 - (a) The directives /orders issued by government from time to time regulating expenditure out of grant-in-aid shall be binding on the Grantee Institution and contravention thereof shall render the GIA liable to be withheld.
 - (b) "The Grantee Institution shall not do any act or undertake any activity which entails additional financial liability for the government without the approval of Finance/Planning Department, like creation of posts, grant of pay scales higher than those of corresponding posts in Govt. of N.C.T. of Delhi, undertaking of infrastructural projects estimated cost of which is above INR 2.00 Crore, provision/extension of pension to employees etc".
13. A separate GIA register will be maintained as per GFR.
14. The Accounts of the Grantee Institution/University shall be under the audit jurisdiction of the Local Fund Account, Directorate of Audit, Govt. of N.C.T. of Delhi.

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15. The Balance Sheet duly Certified by the Chartered Accountant shall be furnished to the Directorate of Higher Education at the close of the Financial Year.

This issues with the concurrence of Finance (Exp.-III) Department, Govt. of N.C.T. of Delhi vide their U.O. No. 298/DS-III dated 13.02.2023.



14.02.23
Dy. Director/HOO(HE)

No. DHE-20(80)/AUD/GIA/2022-2023/1034-1043

Dated: 14.02.2023

Copy forwarded to the following for information & necessary action:

1. The Registrar, Dr. B. R. Ambedkar University, Lothian Road, Kashmere Gate, Delhi-110006.
2. Dy. Secretary. Fin. (Exp), DS-3, Govt. of N.C.T. of Delhi, 4th Level, Delhi Sectt., I.P. Estate, New Delhi-110002 w.r.t. 298/DS-III dated 13.02.2023.
3. The Jt. Director (Planning), Planning Department, GNCTD, Delhi Sectt., I.P. Estate, New Delhi.
4. The Controller of Accounts, Principal Accounts office, GNCTD, Vikas Bhawan, ITO, New Delhi.
5. The Pay & Accounts Officer No-IX, Old Sectt., Delhi through DDO.
6. Director, Dte. of Internal Audit, 4th Level, Delhi Sectt., I.P. Estate, New Delhi-110002.
7. The Sr. Accounts Officer, Directorate of Higher Education, BTE Building, Muni Maya Ram Marg, Pitampura, Delhi-110034
8. DDO, Directorate of Higher Education, BTE Building, Muni Maya Ram Marg, Pitampura, Delhi-110034.
9. The Accountant General Audit(Delhi), AGCR Building, I.P. Estate, New Delhi 110002.
10. Sanction / GIA File.


14.02.23
Dy. Director/HOO(HE)