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CONFIDENTIAL

**MINUTES OF THE 11TH MEETING OF THE
BOARD OF MANAGEMENT**

HELD ON 14TH NOVEMBER, 2011 (MONDAY)
AT 11:00 A.M. IN ROOM NO. 11, (BOARD ROOM)
ADJACENT TO VC OFFICE, KASHMERE GATE CAMPUS, DELHI 110 006



**AMBEDKAR UNIVERSITY, DELHI
NEW DELHI 110 077**

AMBEDKAR UNIVERSITY, DELHI

MINUTES OF THE 11TH MEETING OF THE BOARD OF MANAGEMENT HELD ON MONDAY, THE 14TH NOVEMBER 2011 AT 11:00 AM IN BOARD ROOM ADJACENT TO VC OFFICE, KASHMERE GATE CAMPUS, DELHI - 110 006.

PRESENT

1.	Professor Shyam Menon, Vice Chancellor	Chairperson
2.	Professor N. R. Madhava Menon	Member
3.	Dr. Kiran Datar	Member
4.	Shri Anand Prakash, Principal Secretary (Higher Education) Government of NCT of Delhi	Member
5.	Shri D.M. Spolia, Principal Secretary (Finance) Government of NCT of Delhi	Member
6.	Professor Ashok Nagpal	Member
7.	Professor Chandan Mukherjee In his capacity as Officiating Registrar	Member Secretary
Special Invitee:		
	Smt. Asha R. Rungta, Controller of Finance	Special Invitee

Prof. Armaity Desai regretted her inability to attend the meeting.

The Vice-Chancellor extended a warm welcome to all members of the Board of Management. The Vice-Chancellor informed the members that Prof. Armaity Desai has conveyed her detailed comments on the Agenda Items and these will be considered during deliberations.

Then the Board deliberated on the following items:

- 1. Considered confirmation of the Minutes of the 10th Meeting of the Board of Management held on Friday, the 24th June 2011, and**

Resolved to confirm the Minutes of the 10th Meeting of the Board of Management held on Friday, the 24th June 2011 as circulated.
- 2. Considered the Report on Action Taken on the Minutes of the 10th Meeting of the Board of Management held on 24th June, 2011, and**

Resolved to approve the Report on Action Taken on the Minutes of the 10th Meeting of the Board of Management held on 24th June, 2011, as given in *(Annexure-I)*. On Item No. 15 (i) of the Report, it was suggested



to obtain a legal opinion in view of the Supreme Court Judgment in the case of State of Karnataka v/s Uma Devi.

3. **To note constitution of One-Member Committee to consider the representations of the Faculty Members, and**

The Board of Management noted that a One-Member Committee (Prof. C.R. Babu) was constituted by the Vice-Chancellor to consider the representations of the Faculty Members for grant of advance increments.

4. **To note constitution of an Advisory Committee for Academic Services, and**

The Board of Management noted the constitution of an Advisory Committee for Academic Services consisting of the following members to ensure the continuity in the academic services related policies and practices:

- | | |
|---|--------------------|
| i) Vice-Chancellor/Dean (Academic Services) | - Chair |
| ii) Prof. A.R. Khan, IGNOU | - Member |
| iii) Prof. Kuriakose Mamkootam, Director, SBPP&SE | - Member |
| iv) Deputy Registrar, (Academic Services) | - Member Secretary |

5. **To note constitution of a Committee to consider the representation of Dr. Debal C. Kar, Librarian, and**

The Board of Management noted the constitution of a committee by the Vice-Chancellor to consider the case of Dr. Debal C. Kar, appointed as Librarian regarding protection of his last salary.

6. **To note joining of Dr. Preeti Mann, Assistant Professor, after availing EOL, and**

The Board of Management noted the joining of Dr. Preeti Mann, Assistant Professor on 6th July, 2011(Fore noon) after availing the extra ordinary leave (without pay).

7. **To note relieving of Prof. A.R. Khan, Professor and Dean (School of Undergraduate Studies), and**

The Board of Management noted that Prof. A. R. Khan, Dean (Academic Services) has been relieved from AUD with effect from 11th July, 2011 on completion of his lien period to join his parent institution, i.e., Indira Gandhi National Open University.

8. **To note resignation of Ms. Ishita Bharadwaj from the post of Assistant Professor, and**

The Board of Management noted the acceptance of resignation of Ms. Ishita Bharadwaj, Assistant Professor in School of Human Studies, w.e.f. 18.07.2011 (forenoon) waiving the notice period.



9. **To note the grant of higher Academic Grade Pay to Dr. Praveen Singh, and**

The Board of Management noted that on the recommendation of the Committee constituted by him, the Vice-Chancellor has approved to upgrade the Academic Grade Pay of Dr. Praveen Singh to Rs 7000/- per month with effect from the date of his joining.

10. **To note the extension of lien period of faculty members, and**

The Board of Management noted that the lien period of the following faculty members has been extended:

- a) Prof. Ashok Nagpal – Joined as Professor in the School of Human Studies with effect from 01.09.2009 on lien from University of Delhi. The lien was extended by University of Delhi for another year (1.9.2010 to 31.8.2011) and has further been extended for one more year (1.9.2011 to 31.8.2012) by University of Delhi.
- b) Dr. Satyaketu Sankrit – Joined as Associate Professor in Hindi in the School of Undergraduate/Liberal Studies w.e.f. 21.9.2010. He was granted lien for a period of one year w.e.f. 17.9.2010 till 16-9-2011. The Department of Higher Education, Madhya Pradesh Administration has extended the lien for a further period of one year up to 15-9-2012.

11. **To note non-joining of Professor Farida Abdulla Khan as Professor, and**

The Board of Management noted that Professor Farida Abdulla Khan, Professor of Education, Jamia Millia Islamia was offered the position of Professor in the School of Educational Studies. However, Professor Khan could not join AUD as her institution did not relieve her.

While deliberating on this matter, the issues of facilitating portability of the Old Pension Scheme and instituting the New Pension Scheme in AUD also came up for discussion. The Board re-iterated its decision to create institutional arrangements for the Old Non-Contributory Pension Scheme for those who are part of that scheme and who are being employed at AUD. The Board also reiterated its decision to institute the New Pension Scheme for all others who are employed on a regular basis.

12. **To ratify the recommendations of the Selection Committees for various faculty positions, and**

The Board of Management ratified the recommendations of the Selection Committees for various faculty positions (*Annexure-II*).

13. **To ratify the action taken on the implementation of enhanced Dearness Allowance in respect of staff members of Ambedkar University, Delhi, and**



The Board of Management ratified the action taken for the payment of Dearness Allowance to the University employees at revised rate of 58% effective from 01.07.2011.

- 14. To ratify the action taken to adopt the Academic Calendar for year 2011-12, and**

The Board of Management ratified the adoption of the following Academic Calendar for the year 2011-12:

- (i) Monsoon Semester – 18th July, 2011 to 15th December, 2011
- (ii) Winter Break – 16th December, 2011 to 4th January, 2012
- (iii) Winter Semester – 5th January to 20th May, 2012
- (iv) Summer Break – 21st May to 19th July, 2012

- 15. To consider and approve the Minutes of the First Meeting of the Academic Council held on 17th August, 2011, and**

Resolved to approve the Minutes of the First Meeting of the Academic Council held on 17th August, 2011 (*Annexure-III*).

- 16. To consider and approve the Minutes of the Fifth Meeting of the Finance Committee held on 21st September, 2011, and**

Resolved to approve the Minutes of the Fifth Meeting of the Finance Committee held on 21st September, 2011 (*Annexure-IV*).

- 17. To consider the proposal for nomination of a Member of the Board of Management on the Finance Committee in place of Professor A. R. Khan as per clause 27 (1) (d) of the University Act, and**

Resolved to nominate Professor Chandan Mukherjee, Dean, School of Development Studies.

- 18. To consider the proposal to designate the Registrar of Ambedkar University, as (ex-officio) Secretary to the Court, the Board of Management and the Academic Council of the University.**

Resolved to approve the proposal that the Registrar of the Ambedkar University, Delhi, shall act as (i) Secretary to the Court; (ii) Secretary to the Board of Management; and (iii) Secretary to the Academic Council, in terms of Statute 8(7) of the Statutes of the University.

- 19. To consider the re-scheduling of contractual appointment of the Academic Fellows and Research Assistants, and**

Resolved to approve the re-scheduling of contractual appointments of the Academic Fellows and Research Assistants as proposed (*Annexure-V*).

- 20. To consider the proposal for Fee Structure of the MBA Programme, and**

Resolved to approve the Fee Structure of MBA Programme of the School of Business, Public Policy & Social Entrepreneurship (SBPPSE) which will commence in July, 2012 (*Annexure-VI*).



21. **To consider and approve the Annual Report of the University for the year 2010-11, and**

Resolved to approve the Annual Report of the University for the year 2010-11 (*Annexure-VII*).

22. **To consider and approve the recommendations of the Sixth Establishment Committee held on 9th November, 2011, and**

Resolved to approve the recommendations of the Sixth Establishment Committee (*Annexure-VIII*).

23. **To consider and approve the Resolutions passed by the Board of Management as Regulations of the University, and**

The Board desired that three categories of Regulations be formulated to govern the various institutional processes of the University: 1. Academic Regulations, 2. Financial Regulations, and 3. Service Regulations. These Regulations may also subsume the relevant resolutions that the Board of Management has already passed from time to time. The Board authorized the Vice Chancellor to constitute a Committee to formulate the draft Regulations to be duly approved by the Competent Authorities.

24. **To consider and nominate a member of the Board of Management to the Establishment Committee, and**

Resolved to re-nominate Dr. Kiran Datar to the Establishment Committee.

Any other item:

25. The Board noted that in pursuance of the deliberations in the 9th Meeting of the Board of Management, the Terms of Reference for a Mid-Term Review have been drawn up (*Annexure-IX*). The Board authorized the Vice Chancellor to finalize the Terms of Reference in consultation with the various stake-holders and pursue the Mid-Term Review making the necessary arrangements for administrative and financial support.

The meeting ended with a vote of thanks to the Chair.



Annexure-I
Resol. No. 2/BOM(11)/14.11.2011

Report on Action Taken on the Minutes of the 10th Meeting of the Board of Management held on Friday, the 24th June, 2011

Resol. No.	Decision	Action Taken
1.	Resolved to confirm the Minutes of the 10 th Meeting of the Board of Management held on Friday, the 24 th June 2011 as circulated.	Recorded
2.	Resolved to approve the Report on Action Taken on the Minutes of the 10 th Meeting of the Board of Management held on 24 th June, 2011.	Recorded
3.	The Board of Management noted the launch of three new BA Honours Programmes from the Academic Session 2011-12 namely:- 1) BA Honours with Major in English; 2) BA Honours with Major in Sociology; and 3) BA Honours with Major in Mathematics.	Noted
4.	The Board of Management noted the launch of four new MA Programmes from the Academic Session 2011-12 namely:- 1) MA in Economics; 2) MA in English; 3) MA in History; and 4) MA in Sociology.	Noted
5.	The Board of Management noted the launch of M.Phil Programmes in Hindi and History and Ph.D Programmes in Hindi, History, Mathematics and Sociology from July 2011	Noted
6.	The Board of Management ratified the recommendations of the Selection Committees for various faculty positions. <u>ASSOCIATE PROFESSOR (Economics)</u> The Committee recommended the following candidates in the order of merit for the appointment to the position of Associate Professor in Economic in the School of Undergraduate Studies / Liberal Studies: 1.Dr. Chirashree Dass Gupta(App.no.18) 2.Dr.Priya Bhagowalia (App. No. 12)	Dr. Chirashree Dass Gupta joined w.e.f.28.07.2011 as Associate Professor

	3.Dr.Mousumi Dutta (App.No.11) 4.Dr.Indrani Roy Chowdhury(App No.19) 5.Dr. Kakali Mukhopadhyay (App.No.6) may be considered for a Visiting Faculty position.	
	<u>LIBRARIAN</u> The Committee recommended the following candidates in the order of merit for the appointment to the post of Librarian, Ambedkar University, Delhi 1.Dr. Debal Chandra Kar (App. No.7) 2.Dr.Tariq Ashraf (App. No. 22) 3.Dr.V.K. Jagajeevan (App.No.24)	Dr. Debal Chandra Kar joined w.e.f. 12.10.2011 as Librarian
	<u>ASSOCIATE PROFESSOR (Sociology)</u> The Committee recommended the following candidates in the order of merit for the appointment to the post of Associate Professor in Sociology in the School of Undergraduate Studies/Librarianial Studies: 1.Dr.Amites Mukhopadhyay (App. No.2) 2.Dr.Jayati Lal (App. No. 30) 3.Dr.Kiranmayi Bhushi (App.No.12) 4.Dr.Mahuya Bandyopadhyay (App.No.14) 5.Dr. Manisha Sethi (App. No. 16) may be offered a position of Assistant Professor with three additional increments over and above her present pay and protecting her grade pay.	Dr. Amites Mukhopadhyay joined w.e.f. 1.8.2011 as Associate Professor Dr. Jayati Lal joined w.e.f. 30.8.2011 as Associate Professor. Dr.Kiranmayi Bhushi joined w.e.f. 10.8.2011 as Associate Professor - Dr. Manisha Sethi did not accept the offer of appointment for the post of Assistant Professor.
7.	The Board of Management ratified the recommendations of the Selection Committees for the positions of Assistant Registrar and System Administrator (IT) (on deputation) initially for one year.	Noted
8	The Board of Management ratified the payment of Dearness Allowance to University employees at revised rate of 51% effective from 01.01.2011.	Recorded for implementation

9.	After deliberations and taking note of the fact that the Regulations for Hostels proposed for interim, as the University at present do not have its own hostel. Resolved to approve the Regulations for Hostels maintained by the University after incorporating the suggestions given by the members of the Board of Management.	Recorded for implementation
10	Resolved to approve the regulations on Recognition of Examinations/Degrees - constitution of Equivalence Committee as Standing Committee of the Academic Council.	Recorded for implementation
11a	Resolved to approve the proposal for establishing a Centre for Community Knowledge (CCK) with the following decisions: i) the initial financial support, if required, may be provided out of University funds; ii) a faculty position for a limited period may be assigned to the Centre for coordinating its activities; and iii) the efforts of the Centre may be to make itself self supporting through projects, grants, etc. from various funding agencies and through fees, consultancies, etc., from clients.	Recorded for implementation
11b	Resolved to approve the proposal for establishing a Centre for Social Science Research Methods with the following decisions: i) the initial financial support, if required, may be provided out of University funds; ii) a faculty position for a limited period may be assigned to the Centre for coordinating its activities; iii) Expertise in research methods among AUD's existing faculty across various Schools and programmes may be deployed in the Centre in sustainable manner; iv) Funds already received from the Sage 2Publications may be efficiently invested so as to get the maximum interest which could be utilized for the activities of the Centre; and	Recorded for implementation

	v) The efforts of the Centre may be to make itself self-supporting through projects, grants, etc. from various funding agencies and through fees, consultancies, etc. from clients.	
12.	Resolved to approve the Regulations on Credit Transfer and Lateral Admission with the minor modifications suggested in the meeting.	Recorded for implementation
13.	Resolved to approve the Regulations on Flexible Exit.	Recorded for implementation
14	Resolved to approve the recommendations of the Committee to formulate a procedure for short-term and visiting appointments and norms for payment with the minor modification: The external members of the University's Court may be paid sitting allowance similar to that proposed for the external Members of the Board of Management.	Recorded for implementation
15	Resolved to approve the recommendation of the Establishment Committee in its meeting held on Thursday, the 23 rd June 2011 with the following modifications: i) slightly higher consolidated salary than the gross salary at the minimum of the scale admissible to regular employees may be given to the contractual staff to be engaged on long term basis; and ii) An annual increase of 8% may be given to this long term contractual employees to take care of inflation and an annual increment.	Recorded for implementation
16	Resolved to approve the proposal on the allocation of faculty in various Schools and Programmes. This will be reviewed in 2012.	Noted for compliance
17	Resolved to approve the recommendations for inviting the following eminent scholars as Professors under provisions of Statute 15(I) of the Statutes of the Ambedkar University, Delhi: i) Prof. Anjan Mukherji as Professor of Economics; ii) Prof. Alok Bhalla as Professor of English;	Appointment letters have been issued to all the Professors and the following have joined: Prof. Alok Bhalla – w.e.f. 13.7.2011; and

	iii) Prof. K. Mamkoottam as Professor in the School of Business, Public Policy and Social Entrepreneurship. Resolved further that the tenure of such eminent scholars will be as per the relevant UGC Resolution of 2010, i.e. for a limited period of three years in the first instance and then for a further period of two years against available vacant positions without affecting selection or promotion prospects of eligible teachers. Resolved further their emoluments may be fixed as per the rules governing reemployment of superannuated teachers.	Prof. K. Mamkoottam - w.e.f. 25.07.2011
18	Resolved to authorize the Vice-Chancellor to propose the Amendments to the University Act, 2007 (under Clause 43 of the Act) on behalf of the Board of Management and submit the same to the Chancellor for his consideration and approval.	Recorded for implementation
19	Resolved to approve the recommendations of the Shri C. R. Pillai Committee regarding remuneration paid to (a) Prof. Vijaya S. Varma, Advisor (Planning) and (b) Shri C.M. Sharma, Advisor (Finance), keeping in view the exceptional circumstances of the case, and as a special case, not to be quoted as precedent. Resolved further that the term of appointment of Prof. Vijaya S. Varma and Shri C.M. Sharma may be extended upto 24th June, 2011. The term of engagement of Professor Vijaya S. Varma beyond the age of 70 years is approved as a special case, keeping in view the peculiar circumstances, and not to be quoted as precedent.	Recorded for implementation Professor Vijaya S. Varma and Shri S.M. Sharma, were relieved on 24th June, 2011
20.	Any other item with the permission of the Chair: The Board took note that Dr. A.K. Malik, Registrar and Secretary, Board of Management, would be relinquishing the charge of the post of Registrar on 30th June, 2011 and resolved to place on record its deep appreciation of the invaluable services rendered by Dr. Malik to the University and the Board of Management.	----

Annexure-II
Resol. No. 12/BOM(11)/14.11.2011

Ratification of the recommendations of the Selection Committees for various faculty positions

The Vice-Chancellor approved the recommendations of the Selection Committee meetings as authorized by the Board of Management at its meeting held on 16.7.2010. The list of recommendations of the Selection Committees held after 10th meeting of Board of Management is as under:

S.No.	Name of Position	Date and Time of Meeting	Recommendations
1.	Professor (Visual Arts)	6 th July, 2011, 10.00 a.m.	The Committee recommended the following candidates in the order of merit for the appointment to the position of Professor in Visual Arts in the School of Culture & Creative Expressions: 1. Prof. Shivaji K. Panikkar
2.	Assistant Professor, Human Ecology	7 th and 8 th July, 2011, 10.00 a.m. onwards	The Committee recommended the following candidates in the order of merit for the appointment to the position of Assistant Professor in the School of Human Ecology: 1. Dr. Amit Love 2. Dr. Suresh Babu 3. Dr. Rajah Jayapal 4. Dr. Hema Diwan 5. Dr. Satyanarayan Shastri Those on the recommended panel who are not being offered appointment may be considered for Adjunct/Visiting Faculty positions. In the case of Dr. Amit Love and Dr. Suresh Babu their salary should be protected and they should be placed at the AGP Rs.7,000/- In the case of Dr. Rajah Jayapal, five additional increments be given.
3.	Professor (Education)	3 rd September, 2011 at 10.00 a.m.	The Committee recommended the following candidates in the order of merit for the appointment to the position of Professor in Education in the School of Educational Studies: 1. Dr. Farida Abdullah Khan, Jamia Millia Islamia

Annexure-III
Resol. No. 15/BOM(11)/14.11.2011

Resolved to approve the Minutes of the First Meeting of the Academic Council held on 17th August, 2011.

The First Meeting of the Academic Council was held on 17/8/2011.

The Minutes of the meeting placed at Annexure-I, are submitted for consideration and approval.

CONFIDENTIAL

**MINUTES OF THE 1ST MEETING OF THE
ACADEMIC COUNCIL**

HELD ON 17TH AUGUST, 2011 AT 10:00 A.M.
IN THE AMBEDKAR UNIVERSITY, DELHI (DWARKA CAMPUS)



**AMBEDKAR UNIVERSITY, DELHI
NEW DELHI 110 077**



**MINUTES OF THE FIRST MEETING OF THE ACADEMIC COUNCIL
HELD ON WEDNESDAY THE 17TH AUGUST, 2011, AT 10.00 A.M.
AT AMBEDKAR UNIVERSITY, DELHI (DWARKA CAMPUS)**

PRESENT

Professor Shyam B. Menon, Vice-Chancellor	Chairman
Professor A.K. Sharma, UGC nominee	Member
Professor Ashok Nagpal,	Member
Professor Salil Misra,	Member
Professor Geetha Venkataraman,	Member
Professor Shivaji Panikkar,	Member
Professor Honey Oberoi Vahali,	Member
Professor Venita Kaul,	Member
Professor Denys Leighton,	Member
Dr. Sumangala Damodaran,	Member
Dr. Praveen Singh,	Member
Professor Kuriakose Mamkoottam	(Special invitee)

Professor Chandan Mukherjee could not participate in the meeting as he was on duty elsewhere.

The Vice-Chancellor extended a warm welcome to all the members of the Academic Council and gave a brief account of the academic programmes of the University.

ITEM NO. 1-

To consider the recommendations of the Standing Committee Research with regard to proposal for M.Phil. Programmes in

- Psychotherapy and Clinical Thinking proposed by the School of Human Studies;**
- Hindi proposed by the School of Liberal Studies;**
- History proposed by the School of Liberal Studies.**

The Council took into cognition the minutes of the meetings held so far of the Standing Committee Research. (Annexure 1)

The Council deliberated at length on the concept note, programme framework and course structures of the M.Phil. programme in Psychotherapy and Clinical Thinking proposed by the School of Human Studies. The Council was informed that a few mid-course corrections may have to be incorporated in the programme details and course structures of the M.Phil. programme after reviewing the implementation of the programme for a finite period of time. Such corrections and changes will be taken through due process and will be brought to the Academic Council for its consideration.

Resolution No. 1

Resolved to approve the M.Phil. Programme in Psychotherapy and Clinical Thinking proposed by the School of Human Studies and its launch in the Monsoon Semester 2011. (Programme Document as approved by the Academic Council is annexed-vide Annexure 2).

The Council considered the proposal from the School of Liberal Studies for M.Phil. Programmes in Hindi and History. The Council deferred a decision on this matter till the School of Liberal Studies puts up a comprehensive proposal through due process for the aforementioned M.Phil. programmes with detailed course structures for the course work component. The proposal thus brought to the Council will be considered through circulation.

ITEM NO. 2

To consider the brief proposal for MBA Programme in the School of Business, Public Policy and Social Entrepreneurship.

The Council deliberated on the brief proposal for MBA programme brought up by the School of Business, Public Policy and Social Entrepreneurship. The Council was informed that this brief proposal was the consequence a long consultative process that took place during 2009-10 and that a concept note that had emerged from this process had already been presented to the Board of Management in 2010. The Council further took into cognizance that the brief proposal needed approval at this stage so that the pre-admission and admission processes can be put in place in time for the proposed launch of the programme in July 2012. A detailed programme document with course structures and other details will have to be prepared once the faculty in the School of Business, Public Policy and Social Entrepreneurship is in place. This will have to be taken through the Board of Studies of the School before it is brought for consideration before the Academic Council.

Resolution No. 2

Resolved to approve in principle the 2 year (full time) MBA Programme of the School of Business, Public Policy and Social Entrepreneurship and its launch in the Monsoon semester 2012. This is subject to the approval of the detailed programme document with course structures and other details to be brought to the Academic Council through due process. (The programme brief as approved by the Academic Council is annexed vide Annexure 3).

ANY OTHER ITEM:

Resolution No. 3

Resolved to reconstitute the Standing Committee Research as below:
Vice Chancellor (Chairperson)
Deans of all Schools of Studies (Members)
Professor Denys Leighton (Convenor and Member Secretary)

The meeting ended with a vote of thanks to the Chair.

Annexure-1
Resol. No. 1/AC/17.08.2011

**REPORT ON STANDING COMMITTEE RESEARCH [of the A.C.],
JANUARY - AUGUST 2011, INCORPORATING MINUTES OF MEETINGS.**

- (1) SCR meeting minutes, 13 January 2011
- (2) SCR meeting minutes, 18 May 2011
- (3) SCR meeting minutes, 31 May 2011
- (4) SCR meeting minutes, 16 August 2011
- (5) Addendum 1: Convenor SCR's report on doctoral application received Winter Semester 2011.
- (6) Addendum 2: Report from School of Development Studies on doctoral admissions, WS 2011.
- (7) Addendum 3: Report from School of Human Ecology on doctoral admissions, WS 2011.
- (8) Addendum 4: Report from School of Liberal Studies on doctoral admissions, WS 2011.

17

MINUTES: Standing Committee Research Meeting
13 January 2011 (Dwarka, 11 a.m.-12:30 p.m.)

Attending: Prof. Denys Leighton (Convenor), Prof. Shyam Menon (Chair), Prof. A. R. Khan (Member), Prof. Ashok Nagpal (Member). The Convenor had circulated by email a list of questions/issues to be considered at the meeting. (See attached memo.)

The Convenor reported on the doctoral studies applications received through the first week of January, 2011. 63 applications were received via email and in hard copy. Some technical problems arose with the University server, with reports from some faculty and applicants that applications submitted via email after 1 January had been rejected by the server. (The published deadline was 3 January 2011.) The Convenor noted that more than a few applicants had failed to indicate the Schools they wished to be enrolled/located in, making it difficult to determine which interim Research Studies Committees should receive those applications. The Chair suggested that an application could be directed to multiple RSC's and that, in such cases, the concerned RSC's be notified of 'multiple' application.

Professor Khan asked how/when it had been decided that doctoral applicants in some Schools needed M.Phil. degrees in order to be eligible for admission, while those in other Schools did not. The Convenor explained that that in the absence of 'full faculty discussion' of doctoral eligibility criteria, and in the interest of announcing minimum criteria on the AUD webpage ('Doctoral Studies at AUD'), the Convenor and Chair had decided on the following: in Schools with MA programmes in operation (viz., Development Studies, Environment and Development, Gender Studies, Psychology), doctoral scholars without M.Phil. (or equivalent) could be directed to engage in modified M.A. coursework as their pre-dissertation coursework (e.g., certain modules of existing courses plus special work designed by the Research Studies Committee); this would not be possible for doctoral scholars admitted to Schools without M.A. programmes (as of January 2011), such as SLS and SES, so it was decided that only doctoral scholars holding an M.Phil. degree could presently be admitted. It was the work of each interim Research Studies Committee to decide whether/how some coursework could be prescribed for research scholars in absence of relevant M.A. courses. The AUD research studies regulations (sections 13-14) allow considerable flexibility in designing coursework and extra-institutional learning activities for research scholars. However, Boards of Studies of the Schools would have to approve courses specifically for M.Phil. and Ph.D. scholars. (This might need to be done retroactively for doctoral scholars joining during the next few months.)

Re: point (4) of the circulated memo, SCR members agreed that applications should continue to be accepted up until the actual meeting dates (late Jan./early Feb.?) of the interim RSC's. The research studies regulations (May 2010) also stated that doctoral admissions would be conducted on a year round basis. In response to the Convenor's question about how round-the-year admissions could be conducted, members agreed that RSC's could accumulate applications and review them every few months. The Convenor asked (see point 5 of the circulated memo) what his role allowed him to do in the way of pre-screening applications: e.g., whether he could unilaterally disqualify applicants due to ineligibility (according to the stated norms). Many applications



were incomplete, were not submitted on the prescribed pro forma, or lacked the stipulated supporting documentation. Would the SCR review the applications before they were forwarded to the RSC's? The Chair observed that the SCR would not have the time to do this, nor was it the role of the SCR to do so. The Convenor, SCR might do some pre-processing. It was up to the RSC's to review the applications and report on them to the SCR while maintaining records of their actions. The Convenor, SCR could provide the RSC's with an abstract of their duties and a detailed list of issues to consider. The RSC's would have to provide the SCR accounts of their meetings/review of applications.

Re: point (7) of the circulated memo, the SCR decided on the membership of the various interim RSC's (for present, one per School). This would be officially notified and sent to the chairs of the RSC's. Some quick arrangement would need to be made for the School of Educational Studies. Each interim RSC shall be directed to name a Convenor/Member Secretary to call meetings and keep records of the decisions/actions of each RSC.

The UGC regulations allow institutions to decide the maximum quota of Ph.D. scholars per doctoral supervisor. The SCR decided on the following quotas to be recognized by all Schools: for a full Professor, 8 doctoral supervisees; for an Associate Professor, 6 supervisees; for each Assistant Professor, 4 supervisees.

Re: point (1) of the circulated memo, the Convenor observed that the AUD website (instructions for doctoral applicants) indicated funding offers could be made to applicants along with their offers of acceptance as doctoral scholars. It had already been announced that employment was no bar to enrollment at AUD, so long as employers recognized their employees' engagement as doctoral scholars. The RSC's should be informed that the VC shall request the Board of Management a.s.a.p. to allocate funds for scholarship schemes similar to those made available by Central Universities. The RSC's could then determine which doctoral scholars would be offered scholarships/research stipends. Re: points (2) and (8) of the circulated memo, it was decided that AUD faculty members registered as doctoral scholars could keep their faculty positions but there would be no possibility for 'study leave'. There was no provision for changing the status of an appointed faculty member registered as a research scholar to a part-time faculty member: job comes first. Ditto for Research Assistants and Associates. No AUD employee registered for doctoral studies should expect official relief from their job duties.

As per AUD research studies regulation 15(vii), research scholars could be assigned duties relating to instruction/learning activities of junior students for up to five hours per week (unless specifically appointed as Teaching Assistants?). Details would be worked out subsequently by the University (the Academic Council, when it is constituted, and the SCR?).

RSC's shall be directed that when deciding on coursework for research scholars, they should take into account issues of transaction, attendance, nature of work to be assessed. These decisions should be put in writing and clearly explained to the doctoral scholars so that there could be no disputes about the demands on them. When RSC's decide on classes/courses to be completed by research scholars, they could stipulate that courses designed for groups of students be taken in particular



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order. Thus, a research scholar might have to start 'work' at a point in the year determined by the RSC. Scholars might then be asked to defer their enrollment until such time as the required courses are taught.

It was agreed that the 'Regulations Concerning Degrees of Master of Philosophy (M.Phil.) and Doctor of Philosophy (Ph.D.)' notified by the Board of Management in May 2010 be circulated to the chairs of the RSC's. Any corrections to these deemed necessary by the Convenor, SCR and Chair, SCR should be added and labeled as corrections. The Convenor, RSC shall also circulate a memo indicating the duties of the RSC's with respect to admission of doctoral scholars, design of their coursework, and pre-dissertation requirements.

Signed:

Denys P. Leighton, Convenor

Interim Standing Committee Research



MINUTES: Standing Committee Research meeting, 18 May 2011

An SCR meeting had been announced for 18 May 2011, to be held at the AUD Kashmere Gate campus in the School of Human Studies Committee Room. Attending were Profs. S. Menon (Chair), A. R. Khan, A. Nagpal and D. Leighton (Convenor). Professor C. Mukherjee (Dean, SDS) communicated his inability to attend. The meeting ran from 10:20 a.m. to 11:45 a.m. This was the second meeting of the SCR in the Winter Semester 2011.

Prof. Leighton presented a report of the (doctoral admission) activities of the School of Liberal Studies Research Studies Committee during the Winter Semester. SCR members stated that although this report clearly described the deliberations and actions of the RSC, some crucial information about the doctoral applicants was missing from the report. It was acknowledged, however, that the SCR had not given clear instructions (in its 13 January meeting or subsequently) to the RSC's about the how and what to report to the SCR. As no other RSC had to date provided a report to the SCR, it was agreed that the *Convenor should request comprehensive reports from the other RSC's as soon as possible (before 31 May 2011)*, so that the SCR could review and ratify the decisions of RSCs and that doctoral scholars could be registered (before July 2011?).

The other decisions of the SCR were as follows:

1. Re: report of SLS RSC: candidate Bhagwat Prasad (Hindi) *cannot at this time be enrolled*—as per suggestion of RSC—as M.Phil. scholar; he may now be considered eligible for M.Phil. (or Ph.D., if RSC changes eligibility criteria?) and he could be admitted after undergoing entrance test/interview along with other M.Phil. applicants. Practical implication of this is that his enrollment will be delayed until later in 2011.
2. The SCR must construct a general schedule/annual calendar for M.Phil./doctoral admissions in all Schools of the University. (See notes below.)
3. The process of reporting information to the SCR by the RSC's should be standardized, even a pro forma generated for such purposes. About applicants recommended admission by RSCs the reports should provide information re: candidate's (provisional) topic title and project abstract; candidate's external co-supervisor, if any (including latter's biodata and particulars about professional qualifications). Other necessary info.?
4. The application form for M.Phil. and Ph.D. should be revised if necessary and relevant information for bulletins, the AUD website, etc. collected. (It was implied that this was a particular responsibility of the SCR Convenor.)
5. The role of the Dean/Office of Student Services in the enrollment etc. of research scholars should be spelt out. Some responsibilities of Student Services in this regard would be issuing identity cards, collecting tuition/fees, and (perhaps) managing research fellowships/stipends and teaching assistantships awarded research scholars.
6. Enrollment of doctoral scholars in the School of Human Studies would be deferred by 6 months. The RSC of the School—not the SCR—must duly notify the applicants impacted by this decision.

7. [frequency of admission: M.Phil. and Ph.D. scholars to undertake 'M.Phil.' course work vs. Ph.D. scholars not undertaking course work? Once in a year vs. year-round?]

Details re: calendar (2. above).

'Advertisement' of M.Phil./doctoral studies to commence in April/May.

Deadline for submission of applications: **15 July.**

Meetings of RSC's and entrance activities (tests, interviews): **15 July - mid-August.**

Admission lists ('results'): **third week of August.**

SCR meeting to ratify RSC decisions: **last week of August.**

Letters of acceptance to candidates and public announcement of successful candidates' lists: c. **1 September.**

Enrollment of research scholars through deposit of fees: **two-week period ending c. 15 September.**

Note: a research scholar's enrollment period begins on the date of payment of tuition/fees.

The last part of the meeting was occupied by discussion of question of research stipends, 'financial aid', etc. as raised in letter (dated 14 April: copy attached herewith) of the SCR Convenor to the V-C. It was agreed that AUD could and should offer monthly stipends of Rs 3,000 per M.Phil. scholar and Rs 5,000 per doctoral scholar to those w/o NET/JRF/'national' fellowships—since Indian Central Universities do this. This proposal would have to come before the BoM. Implementation of this would have implications for (i.e. would put limits on) total number of research scholars that could be enrolled in the University. 'University Teaching Assistantships' could be offered comparable to those at Delhi University (in amount of approx. Rs 25,000/month). Allocations of these would be calibrated to the numbers of research studies programmes (areas) per School and the number of scholars enrolled in each. Tuition/fees would be charged as announced (Nov. 2010) on the website: Rs 7,000 per year (two semesters) for Indian nationals PLUS course work fee of Rs 1,000 per credit hour. Thus, many scholars would pay Rs 16,000 + Rs 7,000 for their first year of enrollment at AUD, offset for some by fellowships/stipends/TA-ships. There was some discussion about whether TA-ships should be offered to students at top of AUD MA programme merit lists. This question could be taken up again in the next SCR meeting.

NEXT SCR meeting: Tuesday, 31 May @ 10 a.m. in AUD Kashmere Gate campus.

{Minuted by the Convenor, SCR, 18 May 2011}

MINUTES: Standing Committee Research meeting, 31 May 2011

An SCR meeting had been announced for 31 May 2011, to be held at the Dwarka campus at 10 a.m. Attending were Profs. S. Menon (Chair), A. R. Khan, A. Nagpal, C. Mukherjee and D. Leighton (Convenor). The meeting ran from 10:20 a.m. to 12:30 p.m. This was the third meeting of the SCR in the Winter Semester 2011.

Prof. Leighton presented reports of the (doctoral admission) activities of the RSC's of School of Liberal Studies, School of Development Studies and School of Human Ecology. Not all of these reports were complete. A decision made in the previous meeting was confirmed: namely, that admission of doctoral scholars to SHS be deferred by 6 months and admission of doctoral scholars to SES be deferred for an unstated period. (Admission of candidates who applied to SES Winter 2010-11 would be combined with later admission: Aug./Sept. 2011?).

In principle, the recommendations for admission of doctoral scholars made by the RSC's were accepted by the SCR. Each RSC should now communicate a.s.a.p. with all doctoral applicants to the School.

Candidates recommended for admission:

In SHE - 2 candidates: **Sreeja Shanta Nair** (application no. 21: 'Socio-Economic and Environmental Vulnerability for Drought in Bundelkhand-A case Study') and **Madhuri Ramesh** (app. no. 75: 'Political ecology of state-driven conservation initiatives in India').

In SDS - 2 candidates: **Aditya Ray** (applic. no. 71: 'Deliberating state and Democracy through Social Policy') and **Balram Rao** (applic. no. 19: 'Migrants in the informal sector and their livelihoods').

In SLS - 2 candidates: **Nirmesh** (application no. 30, Hindi: 'Fiction and Plays of Jayshankar Prasad: Different Dimensions of the Portrayal of Women') and **Jyoti Gupta** (application no. 41, Hindi: 'Portrayals of Women's Lives in Women's Autobiographies').

There was lengthy discussion of the following questions:

- (1) Some doubts were expressed about the integrity of the admission process. How much about each candidate should the SCR know, given that it plays no direct role in deciding on candidates' admission? The Chair moved that the RSC prepare tabular profiles for at least the applicants recommended for admission (see below 'Research Candidate Profile')). These would be prepared by the RSC's and submitted to the SCR upon actual enrollment of the scholars. In future, these profiles would be presented to the SCR at its 'confirmation' meetings, viz., the present meeting.
- (2) Should every candidate invited to entrance test and interview be interviewed by the entire RSC? What were the implications of separate candidates being

interviewed only by faculty 'sections' of the RSC, assisted by non-RSC faculty in the same field? The Chair argued that the whole RSC should be involved in interviewing all (eligible) candidates; there could be a second round of interviews involving the whole RSC after the initial interviews by faculty sections. The Convenor opined that this was not practical or necessary. In the admissions for SLS, at least, the ad hoc interview boards in each case included one or more 'non-subject' members of the RSC. In response to a direct question by the Chair, the Convenor stated that there was no reason to doubt the care with which applications had been reviewed and applicants tested and interviewed.

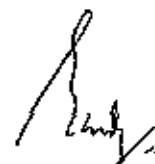
(3) What must the RSC's decide about successful candidates prior to/upon their enrollment? The Convenor argued that many specific requirements could not be set down before the return of the faculty (and RSC members) from the summer holidays in July. Details of course work and supervisory arrangement should be determined then. There could be at least one meeting of each RSC in July prior to the enrollment of the new scholars.

(4) When (on what date) shall the candidates be enrolled? Should their enrollment wait until their taught courses begin? It was agreed that letters could be sent the successful candidates reading in part): 'Your official enrollment at AUD shall commence from [5th] August 2011.' Tuition of Rs 7,000 per year (2 semesters) shall be charged. Decided that date of official enrollment would be the date of deposit of fees. Registrars and other admin. personnel needed to be briefed about enrollment of doctoral scholars before fees could be paid.

The descriptions of M.Phil. and Ph.D. programmes to be placed on the AUD website (by 6 June 2011) were discussed. Application deadline 15 July 2011 in all Schools. **Confirmed M.Phil. programmes in Hindi, History, Psychotherapy and Clinical Thinking (final version of description not yet available); Ph.D. programmes in Hindi, History, Mathematics, Sociology, Development Studies, Environment and Development.** Number of seats available in each programme to be finalized before publication/uploading of material. (Implied that such could be done without direct supervision or consent of the SCR.)

Research Candidate Profile for SCR (to be submitted with RSC report to SCR)

- (1) Name of applicant/candidate.
- (2) Date of birth.
- (3) Male or Female. (3b) Whether candidate admitted under a reservation category other than 'General', and whether marks/percentage of qualifying degrees were 'relaxed' in determining the eligibility of the candidate.
- (4) Academic qualifications (beginning with most recent: full details must be given).
- (5) Professional experience (if any, including present employment).
- (6) Statement of purpose (submitted as part of original application).
- (7) Title of proposed research project.
- (8) Supervisor (provisional) and co-supervisor (if any).



- (9) Biodata/relevant professional details of proposed external co-supervisor.
- (10) Details of course work recommended by the RSC.
- (11) Is the candidate a recipient of a fellowship or scholarship? (Give details, if applicable.)

Note: If information cannot now be given re: items 8 - 10, indicate when a determination shall be given. E.g., conditions of supervisory arrangement (or course work) will be made in the next meetings of the respective RSC's (July 2011?).

{Minuted by the Convenor, SCR, 4 June 2011, from notes of 31 May. Project titles of candidates recommended for admission were added on 13 June.}



MINUTES: Standing Committee Research meeting, 16 August 2011

An SCR meeting had been announced for 16 August 2011, to be held at the Kashmere Gate campus at 3:30 p.m. Attending were Profs. S. Menon (Chair), A. Nagpal, D. Leighton (Convenor), and H. Oberoi (special invitee). The meeting ran from 3:40 p.m. to 4:40 p.m. This was the first meeting of the SCR in the Monsoon Semester 2011.

The main and first issue of the meeting was review of the proposed M.Phil. programme in Psychotherapy and Clinical Thinking (PCT), a description of which had been previously circulated, in preparation for Academic Council meeting on 17 August 2011.

- It was agreed that 20 study seats could be filled instead of the 15 proposed in the original draft plan.
- The possibility of 'mid-point' exit from the 3-year course was highlighted. It was thought that this would be a popular option, given that M.Phil. programmes are commonly of 2 years' duration. It was agreed that the degree of 'M.A. Psychotherapy and Clinical Thinking' could be awarded such students instead of a mere postgraduate diploma. Students completing 64 credits of work over two years would have done equivalent work of other AUD M.A. degrees.
- The possibility of continuing to a doctorate was discussed. It was explained that at first a degree 'Psy.D.' was envisioned with a major clinical component and that this was abandoned because the degree was not (yet) recognized in India. The very detailed and structured nature of this was at odds with the degree of Ph.D., which requires a dissertation but does not typically stipulate a fixed process towards production of the dissertation. It was suggested that two paths could be recommended post M.Phil.: Psy.D. and Ph.D.
- The M.Phil programme would depend significantly on involvement of practitioners who were not AUD faculty members.
- Financial implications of the proposed programme were discussed. Some students could be 'employed' in the University clinics. Grants for support of the programme could be from foundations, etc.

Prof. Leighton talked about the applications received since May 2011 for M.Phil and Ph.D. programmes of all Schools (application deadline 15 July 2011) and the admission activities under way in the Schools.

- A total of 123 Ph.D. applications have been received in SDS (31 applications), SHE (24 applications) and SLS (67 applications). (One application was received with no indication of the School to which admission was sought.) No new applications for Ph.D. were received/accepted in SHS and SES as doctoral admission to those Schools was not open at the time.
- A total of 113 M.Phil applications have been received in SLS (56) and SHS (57).
- Recommendations by the School of Liberal Studies for admission of M.Phil. and Ph.D. candidates in History and Hindi were discussed. The recommendations for admission to History were accepted (see attached

documents): 12 for M.Phil and 4 for Ph.D. Four M.Phil candidates whose M.A. results had not yet been reported could be admitted provisionally.

- Recommendations for admissions in Hindi were questioned: the advertised quota of M.Phil and Ph.D. seats (on the AUD website, from 1 June 2011) was 'up to 8 scholars', yet the list of candidates proposed by the RSC numbered 14 candidates. **It was decided that only 8 M.Phil and Ph.D. scholars in Hindi could be admitted.** In any case, the maximum allocation of students to the two Hindi faculty members allowed by the regulations was 12 (2 x 6).

Signed:

Denys P. Leighton, Convenor, SCR

[ADDENDUM 1]

31 May 2011

To: The Standing Committee (Research), AUD

A total of seventy-six (76) applications for doctoral studies at AUD was received by the Convenor, SCR between late December 2010 and early March 2011. Print advertisements were placed in *Economic and Political Weekly* (late November 2010) and *Down to Earth* (issue of 1-15 December 2010). The application form and detailed instructions for prospective applicants were placed on the AUD website in late November 2010 under the heading/link 'Doctoral Studies @ AUD'. An initial application deadline of 3 January 2011 was publically announced. However, in part because of technical difficulties concerning the University internet server and partly in response to requests by potential applicants, the SCR agreed that the Convenor (SCR) should continue to accept applications until the first meetings of the respective Research Studies Committees (RSC's). The Convenor (SCR) forwarded the collected applications to the RSC's on the basis of information provided by the applicants in the form (viz. identification of research area and relevant AUD School). In some cases, applicants identified multiple research areas and Schools. In other cases, no research area or School was identified. As a result of this, some applications were not forwarded to the relevant RSC's until early March of 2011, and others were circulated among the RSC's.

On 15 February 2011, 28 applications were forwarded to the RSC School of Human Studies.

On 17 February 2011, 8 applications were forwarded to the RSC School of Human Ecology.

On 18 February 2011, 14 applications were forwarded to the RSC School of Liberal Studies.

On 21 February 2011, 16 applications were forwarded to the RSC School of Development Studies.

On 21 February 2011, 10 applications were forwarded to the RSC School of Educational Studies.

Ten (10) additional applications were forwarded to the RSC's between 21 February and 3 March 2011, since some of the RSC's had not yet convened and the application period had not yet closed.

Even incomplete and obviously non-eligible applications were forwarded to the respective RSC's by the Convenor (SCR). Applications received after the first meetings of the RSC's were deemed 'late' applications and were not forwarded to the RSC's.

Sincerely:

Prof. Denys P. Leighton, Convenor, SCR



[ADDENDUM 2]**Record of Admission Test and Interview for PhD Programme held on
May 11, 2011, School of Development Studies, AUD**

Admission test and interviews were conducted on May 11th, 2011 for the PhD programme of School of Development Studies. Thirteen candidates had been shortlisted for the interviews out of the 16 applications received:

1. Swati Ranjan
2. Balram Rao
3. Aditya Rai
4. Manorama Dei
5. Anima Mali
6. Bindiya Narang
7. Priyadarshan Amitav Khuntia
8. Manish Kumar Srivastava
9. Suryamani Roul
10. Subhadra Khaperde
11. Nrusingh Prasad Mohapatra
12. Shaguna Gahilote
13. Arpita Mehta

Two candidates, Shreejaya Sudheeran and Shaguna Gahilote, had applied for specifically working in Gender studies with faculty members in the SHS and their applications had been passed on to Dr. Shubhra Nagalia for consideration by the Gender Studies PhD programme.

One candidate, S Masood Akhtar's application was received in an incomplete form by the committee and was hence not entertained.

Of the shortlisted candidates, six appeared for the entrance test and interview as follows:

1. Aditya Ray
2. Suryamani Roul
3. Subhadra Khaperde
4. Balram Rao
5. Priyadarshan Amitav Khuntia
6. Bindiya Narang

Interviews: The interview was conducted by the Research Studies Committee of the School of Development Studies, consisting of Dr. Anirban Sengupta, Dr. Subrata Mandal, Dr. Aruna Kumar and Dr. Sumangala Damodaran.



Written Test: Two readings were circulated to the candidates at the beginning of the test. They were asked to choose one, to read them write an essay based on a question related to the chosen set of readings. They were given a time of 2 hours for reading and for completing the essay.

Results: -----

Name	Marks - Interview (25)	Marks in Written Test (75)	Total (100)
Aditya Ray	22	60	82
Suryamani Roul	13	45	58
Subhadra Khaperde	5	2	07
Balram Rao	17	50	67
Priyadarshan Amitav Khuntia	11	40	51
Bindiya Narang	12	42	54

Based on the results (above) two candidates have been selected for the PhD programme by the Research Studies Committee of the School of Development Studies: Aditya Ray and Balram Rao.

Dr. Sumangala Damodaran
Convenor
Research Studies Committee
School of Development Studies
AJD.



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[ADDENDUM 3]**Report on the Admissions Process for PhD Programme in School of Human Ecology (2011-2012)**

The School of Human Ecology received a total of ten applications for the PhD programme advertised in January 2011. The list of applicants along with their proposed research topics is given below:

File.No.	Name of Applicant	Title of Research Proposal
6	Vandana Sharma	"Socio-economic status of rural communities affected by man-animal conflict around Dachigam National Park, Kashmir"
17	Prakash Chandra	"Wildlife Forensics"
21	Sreeja Shanta Nair	"Socio-Economic and Environmental Vulnerability for Drought in Bundelkhand- A case Study"
47	Mohammad Arif	"Impact on Environment and Human Health from Biomass Fuel Burning in Rural Areas"
51	Suresh Birajdar	"Water Quality Issues"
56	Raghav Saraswat	"Rhesus Macaque-Human Conflict in Northern India : Attitudes and Perceptions"
66	Steven Raj Padakandia	"Climate change impact on Cropping Patterns and Productivity - Case study of Andhra Pradesh"
72	Saloni Bhatia	"Understanding leopard ecology and leopard-human interactions in an urban landscape"
75	Madhuri Ramesh	"Political ecology of state-driven conservation initiatives in India"
76	Shivani Agarwal	"Land use/ land cover changes in Western Ghats India"

Out of the ten applicants, seven were considered eligible to apply for the PhD programme. The list of ineligible applicants is given below along with reason for ineligibility.

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<u>Ineligible applicants</u>	<u>Reason for ineligibility</u>
Prakash Chandra	Proposed research topic not relevant to areas of research indicated in the website
Mohammad Arif	Proposed research topic not relevant to areas of research indicated in the website
Suresh Birajdar	Research synopsis not relevant to areas of research indicated in the website

Results of Admission Test and Interview

Only four of the seven shortlisted candidates arrived for the interview and admission test which were held on April 21, 2011. The candidates were interviewed by the RSC of the SHE, along with special invitee Dr. Rohit Negi. The marks obtained by the candidates are as follows:

Name	Score in Written Test (75 marks)	Score in Interview (25 marks)	Total (100)
Vandana Sharma	25	5	30
Sreeja Shanta Nair	50	20	70
Raghav Saraswat	27	9	36
Madhuri Ramesh	60	20	80

Recommendation:

Two candidates are recommended for admission to the PhD programme, Sreeja Shanta Nair and Madhuri Ramesh. It is proposed to admit them to the PhD programme starting in the Monsoon Semester 2011.

Signed:

Dr. Ghazala Shahabuddin
Convenor
Research Studies Committee
School of Human Ecology
AUD.



[ADDENDUM 4]

17 May 2011

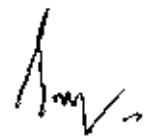
Report on Actions of Liberal Studies RSC, Winter Sem. 2011, with Recommendations for Doctoral Admission.

This report is submitted to the (interim) Standing Committee Research for ratification and recognition of the results of doctoral admissions conducted between January and May 2011. The School of Liberal Studies RSC was constituted by the Vice-Chancellor on 31 January 2011 as an interim body to manage admission of doctoral students to the School, under the provisions of the Research Studies Regulations (5.7/BOM.31.05.2010). There were four meetings of the Committee, on 25 February 2011, 7 March 2011, 29 March 2011, and 13 April 2011.

At these four meetings the RSC members made decisions about admissions under the following rubrics: (1) eligibility of applicants, (2) procedures for selecting candidates for admission, (3) prescribing course work for doctoral scholars and condition of completing course work, (4) research stipends/scholarships/'financial assistance' for doctoral scholars.

'Pre-history': There was a meeting in November 2010 of AUD faculty of various Schools that included the Vice-Chancellor and all members of the Standing Committee Research constituted in late November 2010. Research fields/disciplines were identified through which doctoral scholars would be admitted to the University. It was decided that M.Phil. programmes would not be launched until sufficient faculty had been recruited to engage in BA/MA/M.Phil. teaching in the relevant areas. It was decided that M.Phil. would not be an eligibility requirement for doctoral scholars in some areas. However, it was decided that SLS would admit no doctoral scholars without M.Phil. for the present, until M.Phil. programmes were implemented in the School. Some basic requirements of doctoral programmes recently notified by the University Grants Commission (July 2009) were discussed by the faculty members in order to ensure the University's compliance. In November 2009, an application form for doctoral studies and instructions (including eligibility requirements) were prepared and posted on the University website, under title 'Doctoral Studies @ AUD'. Print advertisements were prepared and placed in November – December 2010 issues of *Economic and Political Weekly* and *Down to Earth*, announcing an application submission deadline of 3 January 2011. Arrangements were made to accept applications in hard copy and electronic form (via email). In part because of technical limitations of the website/computer server and in part because it was learned that applicants wished to submit applications after early January, some faculty members recommended that the original submission deadline be extended. At the first meeting of the SCR (13 January 2011), it was decided that applications could be accepted until the first meetings of the SCR's.

14 applications submitted up to 7 March 2011 were received by the RSC from the Convenor, SCR. Applications forwarded to the RSC were accepted in such cases where they indicated research areas included in the School. These were in the fields of Political Science (3 applications), Hindi (3), Mathematics (2), English (2), Economics (1), Sociology/Economics (1), Sociology (1), History (1).



The applications initially reviewed were from:

Saloni Rathee (Application No. 11: Mathematics)

Sushil Kamble (No. 14: Political Science)

Nirmesh (No. 30: Hindi)

Nitika Chhillar (No. 31: English)

Raju Kumar Singh (No. 35: Sociology)

Awadesh Kumar Mishra (No. 39: Mathematics)

Jyoti Gupta (No. 41: Hindi)

Aarif Mohammed (No. 42: Political Science)

* Shahin Marjan Nanaje (No. 43: not identified; application forwarded to Human Studies)

Satadru Sikdar (No. 48: Economics; appl. forwarded to Development Studies)

Bishnu Prasad Mohapatra (No. 52: Political Science)

Deepanjali (No. 63: History)

Arpita Mehta (No. 70: Sociology, Economics; appl. forwarded to Dev. Studies)

Bhagwat Prasad (No. 74: Hindi)

Decisions of the SCR under headings (1) – (4) above.

(1) Eligible applicants had to have $\geq 55\%$ in MA (same field as proposed research or a related field) and an M.Phil. (same field as proposed research or a related field: no minimum marks). Applicants with MA and NET/JRF but without M.Phil. were not considered eligible. This was as stated on the websited instructions.

(2) RSC members initially decided that a formal (written) entrance test should *not* be part of the admission process. The Chair RSC later pointed out that the UCG regulations clearly state the requirement of a written test, and this was conceded by the RSC. In the cases of Maths. applicants it was decided that a test consisting of objective as well as 'subjective' questions should be given. Since the Maths. faculty at that time consisted of a single member, the faculty member contacted the applicants and clearly stated that she could direct research work only in certain specified areas; these areas in particular would be addressed by the written test. For applicants in Hindi, a test in Hindi consisting of essay answers would be conducted. In all other research areas, it was decided to administer 'individualized' tests requiring applicants to read a short 'research' text relating to their areas of research and to answer essay questions about the texts. AUD faculty constructed all of these tests. It was decided that each eligible candidate should be interviewed by the RSC; no minimum performance on the written tests was required for interview. The weightage between written test and interview in determining the final entrance 'result' should be 3:1. All eligible candidates were sent invitations (written and email) to the entrance activities about three weeks prior to the events. The two Maths. candidates completed the written test on 26 April 2011. The same candidates were interviewed on 3 May 2011. None of the three candidates in Political Science appeared for the written test on 3 May 2011 and none appeared for interviews scheduled for the same day. Candidates in English and Hindi took their respective written tests on the morning of 4 May 2011 and all were interviewed on the afternoon of 4 May

2011. Candidates in regular employment who enroll as doctoral scholars would need to provide 'no objection certificates' from their employers. Reports on the tests/interviews are attached herewith.

- (3) The AUD research studies regulations stipulate that doctoral scholars without M.Phil. in a discipline related to their research must complete a minimum of 16 credits of course work and that even doctoral scholars with M.Phil. could be prescribed course work. It was decided that the RSC would prescribe course work and other pre-submission requirements on an individualized basis. 'Residency' is not required by the research studies regulations and this presents potential problems with respect to completion of formal course work and attendance of classes. It was decided that the RSC could make special attendance arrangements for some 'out-station' scholars who would find it difficult to attend regular classes over a period of one semester (16 credits is the average course load on one semester: 16 weeks). The RSC would construct a kind of contract between itself and each doctoral scholar. For example, the RSC could stipulate that no attendance of 'classes' was necessary but that scholars would need to complete x assignments of y nature and meet with the teachers concerned (with assessment) with a certain frequency. Scholars not abiding by the stated condition would not be given credit for course work and would be required to repeat the 'courses' as per the research studies regulations.

- (4) It had been agreed by the SCR (in its January 2011 meeting) that doctoral scholars not availing of 'external' research fellowships/stipends be offered equivalent financial packages by the University. The RSC accordingly requests the SCR to explore the possibilities of such funding, their financial implications to the University, and the terms/conditions of receiving these awards. Specifically, the RSC recommends: (A) a system of teaching assistantships for qualified doctoral scholars in the range of Rs 15,000 – 20,000 per month; (B) stipends of at least Rs 5,000 per month (plus 'contingencies') for other qualified doctoral scholars.

Applicants deemed ineligible, or whose applications were recommended for review by others RSC's:

Satadru Sikdar. No M.Phil. and therefore ineligible for admission thru' SLS; applic. forwarded to Dev. Studies.

Arpita Mehta. Application forwarded to Dev. Studies.

Raju Kr. Singh. No M.Phil.

Deepanjali. No MA or M.Phil.

Shabin Mariam Nanaje. Candidate had not identified field of research in application. Clarification was requested and, upon receiving this, RSC forwarded her application to Human Studies (Gender Studies).

Candidates recommended for admission to doctoral studies:

Nirmesh (Hindi).

Iyoti Gupta (Hindi).

Candidate recommended for admission to M.Phil. programme:

Bhagwat Prasad (Hindi). The candidate does not hold M.Phil. but his application was sent to the Committee by Convenor, SCR. The RSC decided that candidate should participate in doctoral admissions activities and that, pending successful result, he be admitted to **M.Phil. programme**.

Candidates not recommended for admission to doctoral studies:

Saloni Rathee (Mathematics).

Awadesh Kumar Mishra (Mathematics).

Sushil Kamble (Polit. Science: did not attend test or interview).

Aarif Mohammed (Polit. Science: did not attend test or interview).

Bishnu Prasad Mohapatra (Polit. Science: did not attend test or interview).

Nitika Chhillar (English).

Convenor:

Submitted for the RSC by its

Denys P. Leighton,
School of Liberal Studies



Annexure-2
Resol. No. 1/AC/17.08.2011

M.Phil. in Psychotherapy and Clinical Thinking

*(with a possible vertical linkage with a Psy.D. or Ph.D.
programme which will be proposed later as Phase 2)*

School of Human Studies
Ambedkar University Delhi

**Duration: M Phil: 3 years
(Psy.D. or Ph.D. to be proposed as Phase 2 later: 2 years)**

Total Credits: 96

Medium of Instruction: English _____

Nature of Programme: Interdisciplinary (drawing from cultural studies, psychodiagnostics, sociology, anthropology, literature, arts and aesthetics, history, philosophy and neurosciences)

Number of Seats: 20

Eligibility: Masters with 55% in Psychology and allied disciplines or evidence of exceptional interest and potential in a prospective candidate to pursue the proposed training as a psychodynamic therapist, thinker and researcher. The latter must be demonstrable through writing and/or one's past commitment to the field of mental health.

Reservation of Seats: In accordance with Government of NCT Delhi rules

Preamble and a note to the Academic Council: -

The following document carries the detailed curriculum of the M.Phil Programme in Psychotherapy and Clinical Thinking. This constitutes phase-1 of our effort and we are bringing this to the Academic council for approval at present. However as indicated by the title, the full potential of the proposed draft lies in its realization as an integrated M Phil and Psy D / PhD programme. We are still working on the curriculum details of the Doctorate level (Psy D/PhD) proposal. Kindly consider this as Phase -1 of our work. The School of Human Studies will seek the advice and the approval of the Academic Council to integrate the M Phil with the Psy D/ Ph D within the next eighteen months.



DISTINCTIVE FEATURES OF THE PROFESSIONAL TRAINING PROGRAMME IN PSYCHOTHERAPY AND CLINICAL THINKING

FOUNDATIONAL MATRIX

- **Psyche As Formed Through Relationships**

Engaging with the intrapsychic and interpersonal conflicts lived and experienced in human relationships and exploring them in the contours of intimacy, loss, separation and trauma. The framework will involve drawing from the psychodynamic, play therapy and family therapy model.

- **Culture And Its Symbolic Products**

A deep going immersion in cultural processes, myths, folk tales, stories and native systems of healing and understanding life would be part of the overall mode of understanding the human psyche

- **Research And Interdisciplinarity**

Clinical practice engenders a form of research thinking with crucial bearing upon dynamics of history, politics, and conceptions of social relationships as well as for non-directive education. The aim would be to develop and study models of thinking and formulations which facilitate the assessment of such "data" with processes of larger social consequences whilst maintaining a discrete sensitivity about issues of its dissemination and publication for the "outside"

Respecting the richness of the plurality of psychotherapeutic traditions as well as the need for a psychotherapist to work with a range of human states and to respond to emotional distress in a variety of ways, even as this *Integrated M.Phil and Ph. D.* programme will centrally draw from the psychodynamic tradition; it will incorporate salient insights from other psychotherapeutic perspectives and incorporate aspects of psychological testing. The aim would be to create a professional who is sensitive and competent, open minded and flexible and who understands culture, history and politics, even as they emerge in the consultation room, i.e., the psychotherapeutic context.

Anchored by the larger values and principles which Ambedkar University stands for, namely, engaged scholarship, praxis based generation of knowledge that seeks to approximate the contours of lived life, an active concern for social justice and equity and regard for those existing at socio-economic margins, the proposed programme for training psychotherapists, hopes to create reflective and involved professionals who will make significant contributions to the field of mental health. We hope our trainees will be eager to grapple with the challenges that face the professional landscape and will be empathically responsive to the emotional crises of human beings across class, gender and caste boundaries. While we believe that, within our context it is imperative to relate to the "felt poverty" of the one who has internalized this concern in a tangible even if gross manner, we also think it is equally important to offer our services to those who exist surrounded by economic affluence but are plagued by deprivation and poverty. Therefore the training programme envisages a twin focus i) on lives and communities existing on the social margins and ii) with the felt emotional pain of those

THE CLINIC

A University based clinic which will offer services to members of the neighbouring community and resident population of Delhi will also function as a training field for the MPhil and Ph. D trainees. A special low cost and free clinic is being envisioned to respond to those who are in need but cannot pay. In addition, the students and faculty members will also devote hours of clinical work to the family therapy unit, children's play room and a regular psychoanalytical clinic, all of which are in the process of being set up. A part of the MPhil and Ph. D trainees' time allocated to clinical work will be spent in engaging with community oriented work in a deprived neighbourhood, where each student will possibly work in a concentrated manner with a small group of people affected by special circumstances, be they violence, communal hatred, displacement, forced migration or homelessness and poverty. A significant direction amidst this broad-spectrum endeavour to connect with patienthood and other states of confusion and dilemmas is also to develop a Counselling unit to especially address the needs of students at diverse points of their stay in our University.

The location of this Clinic in the University mandates a vision that clinical practice engenders a form of research thinking with crucial bearing upon dynamics of history, politics, and conceptions of social relationships as well as for non-directive education. It is a privileged space enabling the practitioner to come upon sensitive intimate matrices in the secure confidentiality of the therapist-patient relationship within which new theoretical conceptions have a potential to be born. This program symbolizes a unique responsibility to evolve consistent sturdy attention towards such models of thinking and formulations which facilitate the assessment of such "data" with processes of larger social consequences whilst maintaining a discrete sensitivity about issues of its dissemination and publication for the "outside". A component of supervised clinical research, (culminating into a research dissertation) to be carried on by the trainee will be an intrinsic part of the MPhil, Ph. D curriculum coming into existence right at the inception even as our trainees awaken to its presence through regular supervision at different points of time.

Salient aspects of the proposed programme

- An understanding of symptoms as rooted within a life-historical, familial and cultural envelope, i.e. symptoms as meaningful, condensed statements of a person's life.
- Advances in understanding core concepts of psychoanalysis: the unconscious, dreams, sexuality and relationships
- Post-Freudian developments- stress on object relationships and psychology of self, the interpersonal and relational schools of thought.
- Connections between psychiatry, clinical psychology, humanistic and existential therapy, spiritual traditions of healing and psychodynamic therapy will be explored. The relationship between psychoanalysis and family therapy and child psychotherapy, neuroscience and the arts, theatre, literature, painting and education is being envisioned to be furthered.

- Emphasis on a thorough going theory of clinical work and a clinical mode of thinking
- The strength of the psycho-social clinical axis will guide the MPhil PhD curriculum. A deep going immersion in cultural processes, myths, folk tales, stories and native systems of healing and understanding life would be part of the overall mode of understanding the human psyche.
- We would focus on reading and researching special currents in psychotherapy of relevance to our social context- for instance the work of feminist psychotherapists and psychoanalysts, that of the Frankfurt School, growth of psychotherapeutic currents in Latin America and non-American and non-European contexts, links between psychoanalysis, psychotherapy and liberation psychology as well as the work of independent thinkers offering relevant critiques from within the tradition.
- Personal exploration/ personal work to be undertaken by the aspiring clinician
- Supervised Clinical work
- An attempt will be made to link psychodynamic thought to psychiatry and neuroscience on the one hand, and education, arts, theatre, film analysis and film as text, literature and creative-cultural imagination on the other.
- The programme will proceed through reflective and immersion based pedagogy
- We aspire to develop the trainees' clinical acumen so as to be able to work with adults, adolescents and children, as well as families in brief psychodynamic therapy and long term clinical work.

Learning research through clinical processes:

One of the hallmarks of the proposed MPhil/PhD programme is the creation of a clinician with the curiosity of a researcher. There are three imaginations of the link between the clinic and the process of research. The first of these that is also manifested in certain psychoanalytic and anthropological works, involves the capacity of the researcher for an enhanced reflexivity (one of the hallmarks of qualitative research). This emerges from a keen observation of the processes of transference and counter transference in any significant human interaction. The psychoanalytic method provides a researcher with the capacity to bear ambiguity, and contain the tensions of knowing that any encounter with otherness is deeply involved with processes of one's own selfhood which are in turn transformed in the process of knowing others. The ultimate data yielded is always inter-subjective in nature but the clinically trained observer is more capable of seeing processes of one's self in these.

Clinically trained researcher can be closer to the process of transformational research, understanding that the ethics of a conversation comes with a responsibility towards the registration of pain and the possibility of its transformation.



Within the clinic, we hope to be able to create in our students a capacity of double-listening. Training them to enter the clinic with the understanding that what comes to the clinic is also the manifestation of the pathology of the social. Students could be encouraged to enter the clinic with research questions that can be answered as they observe their clients from the first session to the last, focussing on identifications one carries through participation in social processes. How do questions of gender, abuse, violence, creativity and identity reveal themselves in the clinical encounter. (It is inclusive of questions of gender, abuse, violence, creativity and identity along with their revelation in clinical encounters.)

LINKAGES WITH OTHER DISCIPLINES

Clinicians in their work with the 'personal' of the individual retain the capacity to evolve links across the expanse of shared, social realities. The way in which these social realities are condensed in the lives of people differs. To make sense of this difference, the clinician needs to remain not only sensitive to its individual manifestation but requires a more focused nuance to hear its echo in larger context. The perception of the personal spaces of the individual as mirroring questions of group, identity, violence and creativity by the clinician becomes the site of growth for/as a researcher. Larger questions of society, community and culture manage to be addressed from the depth of the clinician, where the interface between the personal and social can be imagined and consequently evolved.

Admission, entry and exit points for the proposed programme

The current programme is being envisioned as a post Master's level programme. In most cases apart from in exceptional circumstances, a prospective candidate will be admitted at the MPhil level and would have to go through the first five semesters of the MPhil programme. During the fifth semester of the MPhil programme every candidate who is desirous of proceeding on to the Ph. D will be assessed and evaluated for one's readiness, sensibility and clinical preparedness. At this juncture, candidates could either be advised to complete the MPhil requirements and earn an MPhil degree in Psychotherapy and Clinical Thinking or be allowed to put in an additional two years (in total five years) of clinical research based work and be eligible for an Integrated MPhil-Ph. D degree.

Only in exceptional circumstances will a candidate be admitted directly for the Ph. D degree. These may be cases where one has already completed a clinically oriented M Phil or put in years of work in a psychodynamic or psychiatric post graduate programme (or any other exceptional context). In such situations the candidate's readiness for Ph. D will be ascertained through a rigorous evaluation by an equivalence committee, constituted by the School for the above purpose.

Selection Process

Selection of candidates to the M. Phil programme will be through a written test. The written test will tap their knowledge of theory, clinical and research orientation and sensibility to train as a therapist. This will be followed by a rigorous process of interviewing, in which a candidate's potential to listen, to communicate and to sustain

work with individuals in distress will be focused on. A capacity for undertaking self work and working in the community will also be explored with prospective candidates.

- Content: A three hrs. exam based on 3 to 4 readings aimed at assessing
 - i) Intuitive grasp of Clinical data,
 - ii) Foundational thought in Clinical Theory &
 - iii) Sensibility of Mutuality between Clinical and Cultural Processes (70% weightage)
- Interview with a Panel of Experienced Psychotherapists (30% weightage)

1. Objectives

- To cultivate an ethic of care and sustained relational engagement with states of emotional despair, trauma and psychic suffering
- To place questions of suffering, healing and maturing in the ethical order of Indian cultural processes;
- To evolve and establish a professional identity of Indian psychotherapist as a Thinker in a budding "scientific" system founded on the praxis of Clinical work in
 - i) well-established space of the individual,
 - ii) with groups
 - iii) interventions in community drawing from personal associations and deliberately acknowledging the vulnerability and gaps vis-à-vis this work by the researcher-clinician
- To enable the growth of a future professional who is open and flexible and who has a perspective vast and deep enough to see psychological distress as emanating from the bio-psycho-social matrix, the arena where human life and its compelling realities emanate from.
- To draw from various arts, including literature as well as portrayals from lived life- the inspiration to represent nuances of affects and emotions in Clinical interactions in durable ways which accomplish a delicate balance between authenticity and curiosity.
- To incorporate ways of writing Clinical work which does justice to cultural processes marked by psychological modernity but not exclusively /necessarily by life-historical traditions as evolved in the West.
- To facilitate the growth of a person with a deep interest in clinical work, culture and social justice who wants to deepen her capacity to contain suffering of various types- with origin in familial or social pathology or in biological dysfunction.

2. Clinical and Community¹ Work:

As has already been indicated above, over the entire three years of the MPhil programme, the teaching modules are being envisioned to be complemented with ongoing clinical and community based work, to which each trainee would devote a considerable amount of time and effort. Five special sites of practice- experience are at present being envisaged by us, namely:

- Internship in a mental hospital/ psychiatric ward in a general hospital/ drug de-addiction centre/ N.G.Os working in relevant area or any field where clinical work is being actively pursued
- Internship in a school setting and/or Child guidance clinic
- Ongoing work in community for two years
- Ongoing work in the university clinic ranging across the entire three years of the programme), i.e. adult, child and family clinics (learning here would be along the lines of long term and brief dynamic therapy/short term individual work and the running of therapeutic groups)
- Participation in group analysis, and
- Introduction to Personal work

3. Research Writing:

Candidates will be required to complete three comprehensive, in-depth profiles of psychological assessments and five case studies and/or psychotherapeutic narratives. One amongst these will be about a long term psychotherapeutic engagement.

A written profile of work with a special section of the community will also be submitted by each candidate.

Moreover for successful completion of the M Phil programme a research dissertation based on clinical and/or community work will be necessary.

Along the duration of the programme, each candidate will be encouraged to write an original/ critical theoretical paper of a publishable quality (or an assignment of equivalent value decided by the supervisor and the candidate jointly).

4. Periodic Assessment Schedule:

In addition to the above writing assignments, which too will function as partial assessments, candidates will be regularly required to make presentations on theory based topics, offer seminars and present clinical case records of ongoing work with patients. Reflective writing, autobiographical account, poster presentation, group work, end term exams and reflections on field processes will comprise a section of innovative

¹ We are not satisfied with the term community work, as it carries certain assumptions of what constitutes a community. We are in search of a word that communicates our intention to offer socio-clinically sensitive work with life at the margins.

and creative assessment possibilities. Ongoing processes of assessment will accompany the teaching process and field based observations and experiences wherever required.

5. Duration of the Programme:

The duration of the training programme in Psychotherapy and Clinical Thinking is being envisioned as five years. A candidate could earn an MPhil degree by investing three years of work. As already indicated above, after the completion of the M Phil degree and based on an evaluation process during the third year, a candidate could put in another two years of clinical and research oriented work and graduate with a Ph. D (Doctor of Philosophy/Professional Doctorate degree in Clinical practice).

6. Credit distribution of the M Phil programme:

Total credits for the MPhil programme - **96**

Community based field work to be engaged in for two years - **8 credits**

Internship in a mental hospital/ psychiatric ward in a general hospital/ drug de-addiction centre/ N.G.Os working in relevant area or any field of special interest to the candidates where clinical work is being actively pursued - **4 credits**

Internship in a school setting and/or Child guidance clinic/ NGO working with mentally challenged persons/NGO working with gender based violence and women' issues/old age home/neuropsychiatric and neuropsychological rehabilitation centre/ any other clinical site of special interest to the candidate - **4 credits**

Clinical work at the university clinic across three years - **8 credits**. Each candidate's work will be supervised in regular case supervisory sessions.

Research Dissertation - **8 credits**

M Phil taught course - **64 credits** to be distributed across six semesters

Semester 1 - Credits **11**

Semester 2 - Credits **10**

Semester 3 - Credits **10**

Semester 4 - Credits **11**

Semester 5 - Credits **12**

Semester 6 - Credits **10**

PROGRAMME OUTLINE

Year One

Semester: 1

August 2011- December 2011

1.1 Psychotherapy: Introduction to principles and processes {Course -1, Credits-2}

a. Psychotherapy: A relational process

The Birth of the Clinical Relation: Cure, Healing or Care?

b. The initial contact with the patient: considerations from a psychotherapeutic perspective.

c. Focus on initial few sessions in psychotherapy. Formulating a clinical case study and "opening the Self to receive the Other's life".

d. Psychotherapy: principles and processes The *settings* of psychotherapy and psychoanalytic work: a brief introduction.

e. Thinking about fees, issues of boundaries and the analytical significance of session time.

f. An initial dialogue on class, caste, gender, poverty and race through a psychoanalytic lens, also reflecting on the poverty of the economically rich and elite

1.2 The Psycho-Social Clinical mode of thinking: Reading Erik Erikson {Course 2; Credits 2}

Rethinking clinical practice from a Psycho-social clinical perspective

Drawing inspiration from the selected writings of Erik Erikson: The First psychoanalyst; The Nature of clinical evidence, The Golden rule in the Light of new insight, Foundations in Observation, Psychological Reality and Historical Actuality (with a special focus on reinterpreting Freud's Dora and the quest of womanhood and young adulthood), Womanhood and Inner Space; Erikson's theory of Identity, Life History and the historical moment and reading Freud through Erikson

Erikson and the ethics of insight oriented clinical work and research: Reflections on the meaning of "insight and responsibility"

1.3 Psychotherapy in Indian context: Challenges and struggles *{Course: 3; Credits 3}*

The cultural world within which psychodynamic psychotherapy has struggled, survived and grown in India: A history of the tradition and its clinical challenges; A reflection on its possibilities

Introduction to psychotherapeutic efforts in the Indian context by focusing on selected writings of Bose, and other early psychoanalytic thinkers

Journeying into clinical work and Indian culture along with Kakar, Nandy, Chatterjee, Roland, Akhtar and other significant clinical thinkers from India and those writing on India.

An acquaintance with the clinical thoughts of Indian Psychiatrists: N. Surya, Vidya Sagar, Erna Hoch, Neki and Wig

Science, Spirituality, healing and psychotherapy

The place of mental illness in the Indian cosmology: Some Indian case illustrations and the phenomenology of the healing process

From empathy to compassion: exploring Buddhist, Sufi and indigenous healing traditions from the East

1.4 The Indian context continued: Forging creative linkages from Bose to Kakar *{Course: 4; Credits: 2}*

A focused half at Kakar's works: Kakar's comparison of Hindu Developmental Stages, his writing in *Tales of Love Sex and Danger*; Universality and Cultural distinctiveness, Desire Oedipality and Dreaming; *The Indians*; Shamans Mystics and Doctors and selected essays from *Culture and psyche*, *Mad and Divine*

A reflection on how psychoanalysis has been appropriated in the Indian context and the ways in which it has been transformed in the process

1.5 Preparing to work in the 'community': Reflections and selected readings *{Course 5; Credits 2}*

Discourses on 'Community' (reflecting on how the debate on 'what community is' has taken shape in the West and in India)

Psychotherapy as a political tool: Listening to human voices from the social margins

Psychotherapy in India: special challenges

Reaching the 'Other'- the poor, the untouchable, the migrant, refugee or homeless.

Can material affluence too be experienced as poverty? Engaging with the poverty of the "rich" in India

A brief overview: growth and movement of psychodynamic work in other Asian, South African and Latin American contexts.

1.6 Community based work- 8 credits across two years

At the end of the 1st semester, each student will be encouraged to choose one group in a nearby community of their choice and sustain work within that context for duration of two years. A document of one's experiences and insights from relationships forged, evolving understandings and interventions attempted from this immersion based process will culminate in a field report, to be submitted to the MPhil committee.

Throughout the semester, students will participate in clinical activity at the university based clinic and also involve themselves in outreach work in nearby schools and colleges (also in a bid to create awareness and interest in the university clinic).



Semester -2

January 2012 to May 2012

2.1—Psychoanalysis and psychotherapy: Origins and Early developments {Course-6; Credits 4}

Origins and early developments in psychotherapy/psychoanalysis:

- a. The pre-history of psychotherapy /psychoanalysis: Antecedents and philosophical influences
- b. Historical dialectics of late 19th century Europe: a fertile ground for the emergence of psychoanalysis
- c. Freud's relation with 'Aphasia'/Biology/19th century Neurology
- d. Freud's relation with 19th century Discourses on Sexuality-Perversion
- e. Freud's relation with Christianity-Judaism
- f. Freud and the contextualization of Hysteria – the question of woman, repression, sexuality and the psyche; hysteria and the dynamics of the male psyche, introduction to gender and social class and unconscious modes of expressing emotional conflicts
- g. A brief introduction to:
 - The unconscious,
 - Dream as psychic text,
 - Resistance and repression
 - Defense mechanisms
 - Symptoms as the muffled voice of life-history, socio-cultural and historical repression transference and obstacles to transference
- h. The culture of psychoanalysis
- i. The science and art of the psychoanalytic process: Reflections on the emergent Psychoanalytic Method

For each sub unit in the course, a focused selection of Freud's early writings (in the original) will be delved into as also an introduction to post-Freudian advances in thinking around each concept too will be taken up in class discussions and readings.

2.2 The inter-subjective and relational in clinical work: Reading D.W. Winnicott {Course- 7; Credits: 2 }

From the Classical to the Relational School of Psychoanalysis: the opening up of the inter-subjective space in clinical work and rethinking culture from an inter-subjective position

A special focus on D.W. Winnicott: Winnicott's writings on Infancy, Childhood, his amendments of Kleinian concepts, Transitional phenomena, the use of regression in

clinical work, Use of an Object, Review of Setting and Technique; Relational Psychoanalysis; Capacity for being Alone and the notions of holding and surviving (in spite of the patient's destructive attacks on the therapeutic dyad and the positive transference)

2.3 The unconscious in Clinical manifestations {Course-8; Credits: 2}

- a. exploring the unconscious: nature and processes of the dynamic and receptive unconscious
- b. listening through the receptive unconscious and receiving the articulations of both the dynamic repressed and receptive unconscious
- c. Understanding repression
- d. dissociation
- e. somatisation
- f. the unspeakable dreads and primitive anxieties and agonies
- g. metaphors of the unconscious: the 'unthought-known' and the enigmatic signifier

Even as this module will build on readings from Freud's opus, it will introduce the students to post-Freudian conceptualizations on the unconscious and its processes, as well as to the understanding of clinical states primarily affected by repression, dissociation and splitting. Klein, Winnicott, Eigen, Searles, Bollas, Sandler and Kernberg would be notable amongst the thinkers who will be introduced to the students at this stage.

2.4 Introduction to Psychological assessment: Rationale, Issues, concerns and considerations {Course 9; Credits: 2}

Understanding psychological assessment

Assessment as a process

An acquaintance with Intelligence testing for adults and children

Demonstrations and practical experience with a few selected I.Q tests

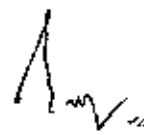
Assessment of cognitive functions

Interpreting and Representing a profile of scores.

Learning to present and write a report of psychological assessment

2.5 Internship 1 – {Credits: 4}

Following the summer break and before the commencement of Semester 3, (July 2012) candidates will immerse themselves into a one month long internship in a mental hospital/ psychiatric ward in a general hospital/ drug de-addiction centre/ N.G.Os working in relevant area or any field of special interest to the candidates where clinical work is being actively pursued.



Year Two

Semester 3

August 2012 to December 2012

3.1 The unconscious in cultural crucible *{Course: 10; Credits: 2}*

Understanding Myths, legends and folklore and their relationship to psychic processes

Revisiting Oedipus, Electra and narcissus: Exploring European and culturally relevant interpretations from the Asian context. Comparing and contrasting interpretations of myths in European and Asian traditions and importing their relevance for Self and identity related processes

Revisiting male and female mythic characters from the Ramayana and the Mahabharata and from local, 'little' and folk cultures: a selective list

Explorations between cultural signifiers/ mythic characters and individual life history, reflections of cultural archetypes in states of psychopathology

Cultural scripting of intimacy in relationships; culture as a transitional phenomena; intimacy in cultures as lived rather than as thought

Psychoanalysis as a subversive culture refuting the linearity of cultural revivalism and positivist social history

3.2 Human development: Infancy, childhood and young adulthood *{Course: 11; Credits: 4}*

The inner world of the infant: Post-Freudian reflections

Struggles of early childhood, latency, adolescence and young adulthood

The capacity to play, symbolise or its absence in a child's life

The dead mother, dead child

An introduction to experiential states of emotional distress in children and Childhood disorders

Psychosis in childhood

Childhood autism and Asperger's Syndrome

Childhood and society: Special challenges in the Indian situation

Reflections on children growing in contexts of acute economic deprivation

Introduction to child psychotherapy and play therapy with children

This course will draw from the works of Erikson, Klein, Milner, Green and Ogden, Winnicott as well as from work in India in child psychology and psychiatry

3.3 Introduction to childhood assessment {Course: 12; Credits: 2}

In this course candidates will be acquainted with a selected list of psychological scales, tests and projective techniques (including assessment of specific Learning Disability) which can be and are frequently useful while working with children and adolescents². Candidates will also be encouraged to interpret children's drawings, creative responses, narratives and play sequences

During this semester, candidates will be expected to gain some competence in working with children and a complete profile/case study of a child's life and assessment would be required to be submitted by each MPhil student

3.4 Guided reading course: Preparing to work with a special interest group {Course: 13; Credits: 2}

Each candidate will choose one special interest group, for instance, clinical work with children; working with mentally challenged persons, with old people; in states affected by brain damage, in gender related issues or any other possible site and space where one is keen to develop one's professional acumen and also where one is eager to undertake internship following the completion of the third semester.

Candidates will continue to work in the university clinic throughout the semester.

Summer break of one month for candidates

3.5 Internship-2 {Credits: 4}

Dec 2012: Internship in a special interest group: praxis based reflection and experience

² For instance the list of children's tests could draw from: Sacs Sentence completion test, Draw a person test, Childhood diary, Raven's controlled Projective technique, Corner's rating scale, Barkely's Home and school Questionnaire, Indian adaptation of WISC, VSNL and Learning disability battery, CAT and other relevant projective techniques for children.

Semester: 4

January 2013 to May 2013

4.1 Dreaming Re-enthroned: Dreams and psychic life {Course 14; Credits: 2}

Dream as a universal, core, psychic activity

Dreaming, imagining, hallucinating, negative hallucination, day dreaming and fantasizing

Freudian reflections on dreams and processes of dream interpretation

Readings from "Interpretations of Dreams"

Post-Freudian reflections on dreaming

Relationship between Dreams, symptoms, creativity and the unconscious

Social dreaming

Inspiration, dreams and political change

Dreaming and Neuropsychanalysis

4.2 Transference-Counter-transference: A distinctive axis of psychoanalytic setting {Course 15; Credits: 2}

Intuiting, feeling and sensing the ongoing transferences and relating them to the life of the patient, patterns of transference in borderline patients and states of psychosis.

Working with positive transference and the extend of interpreting the negative transference

Listening to the rhythms of one's counter-transference: explorations into the notion of counter-transference and its evolving meaning, as well as a glimpse of specific forms of counter-transference with specific patient groups

Attending to the patient's free association through the analyst's/psychotherapist's reveries, free floating and evenly suspended attention

Listening through the not-knowing mode

Becoming aware of transference patterns while working in cross-cultural, cross-class and cross-caste contexts.

The writings of Freud, Searles, Kernberg, Giovaccini, Ogden, Marie Langer, Altman, and Fromm will provide a foundational basis for this module

4.3 Reading Freud and post Freudian thinkers {Course 16; Credits: 3}

Reading Freud's papers on Technique 1904-1911; Remembering, Repeating and Working Through; On Narcissism 1914; Papers on Metapsychology 1915-1917; Inhibitions, Symptoms and Anxiety, 1926 Analysis Terminable and interminable

Selected writings of Ogden, Bollas and Eigen will be taken up with a special pause at Green's work (Green's writing on Experience and Thought; Subject and Object lines of Development; clinical thinking and learning to diagnose along a dual axis of hysteria-borderline, the central phobic position and primary anality, an introduction to the work of the negative, the dead mother, mourning and negative hallucination)

4.4 Research Methodology: Models of psycho-social clinical research { Course: 17; Credits: 4}

Revisiting Culture, clinical process and issues of human subjectivity

Focusing on Case study, life history and narrative studies of human life

The intrapsychic and the intersubjective locations in researching into human experience

Research in the clinical context

Research into socio-historical contexts

Reading a few classical research works

Formulating a research topic and question

Hence forth candidates will be initiated into research work. They will choose a research topic and work towards the MPhil dissertation to be submitted a year later. The M Phil dissertation will carry 8 credits

Candidates may also begin participating in a process of group therapy from the second year onwards.

Year Three

Semester 5

August 2013 to Dec 2013

5.1 The Psychotherapeutic setting, Analytic Setting and the Analytic process {Course: 18; Credits: 2}

The dyad in psychotherapy

Therapeutic alliance, therapeutic relationship

Holding, containing, receiving and surviving: The use of the psychotherapist/analyst

Relevance of meta-psychological aspects of regression in psychotherapy: with special relevance to borderline and psychotic states of human experience

Are there some "unengagable or unanalyzable" states of patienthood?

An introduction to the work of the Negative

5.2 Explorations into Primitive states of emotional distress and suffering-I {Course: 19; Credits: 4}

A look at mental structure

Hysteria to borderline: the journey of psychotherapy

Severe character disorders and addictive personalities

The psychotic experience and the psychotic process

5.3 Assessment of human personality {Course: 20, Credits: 2}

Introduction to personality assessment with a special focus on

Personality scales, questionnaires and inventories: An overview

Projective techniques: Rorschach, TAT and DAP

Interpreting and representing a profile of the human personality

5.4 Revisiting different models of psychotherapy: Forging linkages and exploring complimentary spaces *{Course: 21, Credits: 4}*

- a. Post 1950's: Emerging dialogue between existential, humanistic, cognitive, behaviour and psychoanalytic psychotherapy. Convergence, complementary and distinctive features

What have we learnt from each other in the last fifty years of clinical practice?

- b. Reading selected essays from Beck's Cognitive Behaviour Therapy and Albert Ellis, Rational Emotive Therapy
- c. Selected readings from R.D. Laing's, M. Boss, Binswanger and Yalom's existential psychotherapy and Victor Frankl's existentialism and psychotherapy
- d. Selected reading from Roger's Client centred psychotherapy and On becoming a person
- e. Exploring the field of cognitive-dynamic psychotherapy
- f. Selected readings from Family therapy: A Systems perspective

This course will help students focus on emerging possibilities of dialogue and distinctive processes of work in varied traditions of clinical work.

Candidates will continue to work at the university clinic as well as spend a day each week in the community of their choice. Along with this they will continue to participate in group therapy and personal work, if possible, as well.



Semester: 6

January 2014 to May 2014

6.1 Further explorations into Primitive states of emotional suffering and crisis-II *{Course: 22; Credits: 4}*

Psychosomatic disorders

Neo-sexualities/ Perversions

Personality disorders

States characterized by paranoid-schizoid defences

The eroticised transference: understanding the multiple meanings of sexualizing affects in the human situation

6.2 Neuropsychology and neuro-psychoanalysis: A special focus on old age and organic problems *{Course 23; Credits: 2}*

Exploring the links between the psyche, mind and brain

An introduction to organic disorders, with a focus on dementia and states of brain damage

Caring for the elderly and imagining their world

Psychosocial education and work with families of those suffering from dementia and psychological care for the elderly patient

Assessment of organic states

Links and advances between neuroscience, human cognition and psychoanalysis

Special lectures

Lectures on basic psychiatry and pharmacology

{Indian Mental Health Act and the Act of Rights of Persons with disabilities}

6.3 Psychoanalysis and Critical social traditions {Course 24; Credits: 2}

The co-evolution of psychoanalysis in the context of critical social traditions - a focus on the emergence of post-structuralist, surrealist, feminist and margin centric tendencies and thought

An introduction to the contribution of Lacan, Deleuze and Guattari

Feminist reflections and readings from the corpus of women psychotherapists and psychoanalysts .

Revisiting and consolidating a view of human experience and suffering from economic and socio-political margins

6.4 Representations of the psyche in art forms {Course 25; Credits: 2}

The human psyche and its representations in aesthetics, painting, theatre and literature

Exploring links between madness, cultural modes of expression, creativity, passion and inspiration

From the beginning of the third year, as already mentioned candidates will also immerse themselves in personal work.

The candidates will submit their research dissertation as well as remaining clinical submissions by end July 2014

For those candidates who wish to pursue a Ph. D degree, during the third year of the M Phil training a detailed and in depth process of selection and evaluation will take place with the primary purpose of considering their sensibility and readiness to pursue the Ph. D degree. It will be necessary for them too (as for the M Phil candidates) to complete their course work and all clinical and written submissions. However in their case, the writing of the M Phil dissertation may be waived off. Instead they would be required to work on a more detailed and rigorous Ph. D thesis and also put in two additional years of supervised clinical practice, community work, intensive engagement with special interest groups, personal work and also successfully participate in an additional number of guided reading courses. Candidates pursuing the Ph. D degree will also have to undertake long term clinical work with at least two persons and submit case narratives/ case studies of the work done there in. A theoretical paper of publishable quality with original or significant contributions to the clinical field would also be desired in order to earn a Ph. D degree

Programme Outline

Integrated MPhil and Ph. D Programme

Brief Outline of courses with Credits

No of semesters	Proposed courses					
Semester 1	Credits-2 Psychotherapy: Introduction to principles and processes	Credits 2 The psycho-social clinical mode of thinking: Reading Erik Erikson	Credits 3 Psychotherapy in Indian context: Challenges and struggles	Credits :2 The Indian context continued: Forging creative linkages from Bose to Kakar	Credits 2 Preparing to work in the 'community': Reflections and selected readings	Credits-8. Initiating community based work- to be evaluated periodically over two years of sustained engagement
Semester- 2	Credits 4 Psychoanalysis and psychotherapy: Origins and Early developments	Credits: 2 The Inter-subjective and relational in clinical work: Reading D.W. Winnicott	Credits: 2 The unconscious in Clinical manifestations	Credits: 2 Introduction to Psychological assessment : Rationale, Issues, concerns and considerations	Internship 1 - Credits: 4	
Semester 3	Credits: 2 The unconscious in cultural crucible	Credits: 4 Human development: Infancy, childhood and young adulthood	Credits: 2 Introduction to childhood assessment	Credits: 2 Guided reading course: Preparing to work with a special interest group	Credits:4 Internship- 2 With special interest group	
Semester 4	Credits:2 Dreaming Re-enthroned: Dreams and psychic life	Credits: 2 Transference-Counter-transference: A distinctive axis of psychoanalytic setting	Credits: 3 Reading Freud and post Freudian thinkers	Credits: 4 Research Methodology: Models of psycho-social clinical research		

Semester 5	Credits: 2 The Psychotherapeutic setting, Analytic Setting and the Analytic process	Credits:4 Explorations into Primitive states of emotional distress and suffering-I	Credits:2 Assessment of human personality	Credits: 4 Revisiting different models of psychotherapy: Forging linkages and exploring complementary spaces		
Semester 6	Credits: 4 Further explorations into Primitive states of emotional suffering and crisis-II	Credits:2 Neuropsychology and neuropsychanalysis: A special focus on old age and organic problems	Credits: 2 Psychoanalysis and Critical social traditions	Credits: 2 Representations of the psyche in Art and aesthetics	Credits:8 Evaluation of Research dissertation; Credits 8	Credits: 8 Evaluation on Clinical work at the university clinic for three years-credits 8

Candidates found suitable to pursue the Ph. D programme will put in two additional years of clinical work. During this time they would pursue a more rigorous and complete Ph. D dissertation. They will also participate in additional guided reading courses, in the direction of their future areas of professional interest. Two written case records/ case studies/ case narratives of clinical work undertaken with patients on a long term basis will be required to be submitted. They will be encouraged to write a paper of a publishable quality, reflecting original or significant ideas in the theoretical field of psycho-social-clinical studies.

Programme Outline

Semester-I

Course -1, Credits-2

Psychotherapy: Introduction to principles and processes

Course 2; Credits 2

The psycho-social clinical mode of thinking: Reading Erik Erikson

Course: 3; Credits 3

Psychotherapy in Indian context: Challenges and struggles

Course: 4; Credits: 2

The Indian context continued: Forging creative linkages from Bose to Kakar

Course 5; Credits 2

Preparing to work in the 'community': Reflections and selected readings

Initiating community based work- 8 credits, over two years of sustained engagement

Brief outline of Integrated MPhil-Psy D programme

Semester-2

Course-6; Credits 4

Psychoanalysis and psychotherapy: Origins and Early developments

Course- 7; Credits: 2

The inter-subjective and relational in clinical work: Reading D.W. Winnicott

Course-8; Credits: 2

The unconscious in Clinical manifestations

Course 9; Credits: 2

Introduction to Psychological assessment: Rationale, Issues, concerns and considerations

Internship 1 - Credits: 4

Semester: 3

Course: 10; Credits: 2

The unconscious in cultural crucible

Course: 11; Credits: 4

Human development: Infancy, childhood and young adulthood

Course: 12; Credits: 2

Introduction to childhood assessment

Course: 13; Credits: 2

Guided reading course: Preparing to work with a special interest group

Internship-2; Credits:

Semester: 4

Course 14; Credits: 2

Dreaming Re-enthroned: Focus on dreams and psychic life

Course 15; Credits: 2

Transference--Countertransference: A distinctive axis of psychoanalytic setting

Course 16; Credits: 3

Reading Freud and post Freudian thinkers

Course: 17; Credits: 4

Research Methodology: Models of psycho-social clinical research**Semester 5**

Course: 18; Credits: 2

The Psychotherapeutic setting, Analytic Setting and the Analytic process

Course: 19; Credits: 4

Explorations into Primitive states of emotional distress and suffering-I

Course: 20; Credits: 2

Assessment of human personality

Course: 21; Credits: 4

Revisiting different models of psychotherapy: Forging linkages and exploring complimentary spaces**Semester 6**

Course: 22; Credits: 4

Further explorations into Primitive states of emotional suffering and crisis-11

Course 23; Credits: 2

Neuropsychology and neuropsychanalysis: A special focus on old age and organic problems

Course 24; Credits: 2



Psychoanalysis and Critical social traditions

Course 25; Credits: 2

Representations of the psyche in Art and aesthetics

Research dissertation; Credits 8

Evaluation on Clinical work at the university clinic for three years- credits 8





Annexure-3

Resol. No. 2/AC/17.08.2011

School of Business, Public Policy & Social Entrepreneurship (SBPPSE) 2-year (full-time) MBA programme: A Programme Brief

The School:

During the year 2010 AUD organized several rounds of consultative meetings in which professionals from the field of education, NGO and other thinkers and experts participated and deliberated in detail. While expressing the need for creating a School which will promote research and provide education in the field of business administration (Management), it was also agreed that the proposed school will focus on equally important areas of public policy and social entrepreneurship. Within the larger philosophy of AUD that believes in equality and social justice, it was decided that the proposed School of Business, Public Policy & Social Entrepreneurship will engage in research and teaching of all three and will sooner than later offer three degree programmes, namely, Masters in Business Administration (MBA), Masters in Public Policy (MPP) and Masters in Social Entrepreneurship (MSE). However, it was decided to start the 2-year full time MBA programme immediately (in July 2012) and bring in appropriate faculty and other resources before the School can launch the other two programmes during the next 3-5 years.

Advisory Board:

Meanwhile, a non-statutory Advisory Board has been constituted consisting of Academicians, Senior Managers from the Corporate World and Professionals from NGOs and public life.

The Course Structure:

The curriculum of the two-year (full time) MBA programme has an innovative course structure incorporating latest developments in management education. While maintaining the main thrust of the MBA curriculum, the uniqueness of this programme is based on its approach to business and profit within the holistic context of the wider society and economy. The curriculum is designed to bring about radical change in the way students think, act, and perceive the world around them.

The Master of Business Administration (MBA) Programme will be of two year duration. Instruction to the two year MBA programme will be imparted in three terms each year with a total of six terms. Each term will be of 10 week's duration with approx. 32 hours of class room input (2 credits). In each term 9 papers will be offered amounting to 108 credits during the two years of the programme.



During the first year of the programme, the curriculum will cover topics on Society, Economy, global context of business, ethics and values, Personality development & Leadership, Basic elements of Business Management, Public Policy & Social Entrepreneurship. During the summer break after the first year, students will be required to undergo internship for a period of 8-10 weeks in suitable organisations. During the second year of the programme, students will choose electives of their choice, apart from compulsory papers in Corporate Social Responsibility, Interpersonal & Group Processes, International Business Environment, and Business Policy & Strategic Analysis. All students of the MBA programme will be required to learn an additional (foreign) language during the course of their study.

Admission Process:

In order to gain credibility and professional recognition of the programme it is necessary to admit students through a rigorous process such as aptitude test followed by Group Discussion and Interview. Apart from the fact that at present the School does not have the necessary resources to conduct its own test internally, multiplying such tests will only add further stress for potential applicants. AUD has, therefore, signed a MoU with the CAT administration on payment to enable the School to use CAT scores for shortlisting applicants to the MBA programme.

Applications to the MBA programme will be invited through on-line registration which will be managed by an external agency. To meet expenses related to the admission processes, an application fee of Rs.1000/- (Rs.500/- for SC/ST candidates) is proposed to be charged from applicants. Advertisement announcing the MBA programme will be inserted in newspapers and websites during the first week of September 2011, and applications will be received through on-line registration in the month of October 2011. The list of screened applications has to be submitted to the CAT administration not later than last week of November 2011 to secure their CAT scores. On the basis of CAT scores candidates will be shortlisted for GD and interview which will be conducted during March/April as most interested students finalise their decisions around this time by paying non-refundable (large) sums of money to secure admission to an institute of their choice.

Fee Structure:

It is also proposed that a rational and differential fee structure may be developed and a reasonable amount of tuition fee may be charged from students who take admission to the MBA programme, while extending fee waivers to the needy and meritorious students. It may be noted that the annual fee charged by other reputed institutes which offer full time MBA programme such as the IIMs, XLRI, ISB range from Rs.5,25,000 to 20,00,000/- A final decision will be taken and approval for the same will be sought after due deliberations at appropriate level.



Academic Calendar:

In order to be in tune with IIMs and other leading B-Schools, the following academic calendar is proposed for the MBA-programme.

Academic Calendar (SBPPSE)2012-2013	
Term-1	2 nd July 2012 – 12 th September 2012
Term -2	17 th September 2012- 30 th November 2012
Summer placement	01 st – 15 th December 2012
Winter Break	16 th December 2012 – 6 th January 2013
Term – 3	8 th January – 31 st March 2013
Summer Break / Summer Internship	1 st April 25 th June 2013
Term – 4	1 st July 2013- 15 th September 2013
Term – 5	16 th September 2013-30 th November 2013
Summer/ Final Placement	1 st December 2013-15 th December 2013
Term – 6	7 th January 2014 – 31 st March 2014
Convocation	31 st March 2014

Annexure-IV
Resol. No. 16/BOM(11)/14.11.2011

To Consider and approve the Minutes of the Fifth Meeting of the Finance Committee held on 21st September, 2011

The Fifth Meeting of the Finance Committee was held on 21/9/2011.

The Minutes of the meeting placed at Annexure-II, are submitted for consideration and approval.

Ambedkar University, Delhi

Minutes of the Fifth Meeting of the Finance Committee

Wednesday, 21 September 2011, 11 AM

Venue: Board Room, Kashmere Gate Campus

Members Present:

- | | |
|--|-------------------|
| 1. Professor Shyam B. Menon, Vice – Chancellor | (Chairperson) |
| 2. Shri D M Spolia,
Principal Secretary (Finance), GNCT of Delhi | (Member) |
| 3. Shri Anand Prakash,
Principal Secretary (Higher Education), GNCT of Delhi | (Member) |
| 4. Dr. Kiran Datar | (Member) |
| 5. Mrs. Asha R Rungta, Controller of Finance | Secretary |
| 6. Professor Ashok Nagpal, Dean, School of Human Studies | (Special Invitee) |
| 7. Professor Chandan Mukherjee,
Dean, School of Development Studies and I/C Registrar | (Special Invitee) |

Item 1 Appreciation for the outgoing members and Welcome of new members.

The Committee expressed its deep sense of appreciation for the services rendered by the outgoing member Shri P.K. Tripathi, former Principal Secretary (Finance), GNCT of Delhi. The Committee also welcomed the new member Shri D.M Spolia, Principal Secretary (Finance), GNCT of Delhi.

Item 2 (a) To confirm the Minutes of the Fourth meeting of the Finance Committee

Minutes of the Fourth meeting of the Finance Committee held on 14 March 2011 were read and confirmed.

Item No. 2 (b)

To report the Action taken on the decisions taken in the fourth meeting of the Finance Committee held on 14th March 2011.

The committee noted the actions taken on the decisions of the fourth meeting of the Finance Committee held on 14th March 2011. (Annexure-1)

Item No. 3 (3rd meeting of the Finance Committee held on 29th April 2010) on the New Pension Scheme and the pending approval of the government on its implementation in the AUD was discussed. A comparative between the schemes available in the market and the NPS was to be drawn and sent to the Directorate of Higher Education which was done and sent by AUD on 12.9.11 and again faxed on 14.9.11. The sense of urgency on the same was once again reiterated by the VC, as apart from other problems communicated earlier, the Auditors had also commented on the same.

Item No.3

To consider and approve the proposed allocation for creation of a 'Sinking Fund' under Old non-contributory Pen Scheme for its employees as agreed to under the item 3(b) of the third meeting of the Finance Committee held on 29th April 2010.

The Committee considered this proposal and approved it in principle and resolved that provision be made for this in the Revised Estimates 2011-12 and the Budget Estimates 2012-13.

Item No. 4

To consider and approve the Revised Estimates for the Financial Year 2011-12 and Budget Estimates for the Financial year 2012-13.

The Revised Estimates for the financial year 2011-12 and the Budget Estimates for the financial year 2012-13 were considered. The following decisions were made:

- a) The committee decided in principle to adopt the Old Pension scheme. It was decided that a brief on the same be sent to the Pr Secretary (Finance). It was resolved further that a provision for this be incorporated in the Revised Estimates 2011-12 and the Budget Estimates 2012-13.
- b) The Committee decided that only a token allocation should be provided for at present for the purchase of land in Dheerpur, with the assumption that funds shall be made available by the Government for this purpose at an appropriate stage in the process of allocation of land.

Incorporating these decisions, the Revised Estimates for 2011-12 and the Budget Estimates for 2012-13 were approved by the Finance Committee (**Annexure-2**).

Item No.5

To consider the replies to the audit observations made by Examiner Local Fund Accounts (ELFA) audit for the year 2008-09 and 2009-10.

The Committee took note of this. (**Annexure-3**)

Item No.6

To consider the Annual Accounts of the financial year 2010-11 and internal Audit report.

The Audited Annual Accounts were considered and approved. (**Annexure-4**).

The meeting ended with vote of thanks to the chair.


(Asha B. Rungta)
 Secretary, Finance Committee

ANNEXURE-1

Action Taken on the decisions taken in the fourth meeting of the Finance Committee held on 14th March 2011

Item	Description	Action Taken
4	To consider Revision of Fee of Chartered Accountants	The term of engagement of present Chartered Accountants has been extended for the year 2011-12 on the fee of Rs 1.5 lakhs as approved by the Finance Committee.
5	To consider Grant of Imprest amount Director (IT Services) and Hostel Wardens	Action taken as approved by the Committee. Imprest was sanctioned to the Director (IT Services) and the Hostel Warden. Subsequently in view of the authorization to the Vice-Chancellor to sanction imprest accounts, imprest amounts were also sanctioned to Dean, School of Developmental Studies; Dean, School of Human Ecology; Dean, School of Culture and Creative Expressions; and Dean, School of Educational Studies.
9	To consider draft Medical Rules for the staff of AUD	The Medical rules have been adopted.
10	To consider Insurance of Computer Hardware, vehicles and other valuables/property of the University.	Relevant information is being collected.
11	To consider incurring of Capital Expenditure for repair and renovation of Kashmere Gate Campus	The suggestion of the Finance Committee was noted. So far an amount of Rs.2,45,77,065/- has been released to PWD as an advance for repair and renovation work at Kashmere Gate. Reminders were also sent to PWD for expediting the work. An amount of Rs. 40.79 lakhs has been adjusted so far.
3	Item No. 3 of the 3rd Meeting of the Finance Committee held on 29th April 2010: To consider adoption of New Pension for University Employees	The G.O. dated 18-7-2011 from Finance & Accounts Department, Govt. of NCT of Delhi had asked the AUD to avail the pension schemes available in the market. A comparative analysis of the NPS and other pension schemes in the market through SBI and LIC has been prepared and forwarded to the DHE. It clearly projects the non-viability of the schemes offered in the market for Govt. employees.

ANNEXURE-2**EXPLANATORY NOTES TO THE REVISED ESTIMATES 2011-12 AND BUDGET ESTIMATES 2012-13 (PLAN & NON-PLAN)****1. PREAMBLE**

Bharat Ratna Dr. B R Ambedkar University, Delhi was established in July, 2008 with a view to promote studies, research and extension work in higher education with focus on liberal arts, humanities and social sciences. Its entire expenditure during that period was met out of plan grants. From the financial year 2009-10 onwards, the University has been preparing separate budget proposals for Plan expenditure.

As per the provisions contained in the Act, the budget estimates of the University are to be prepared and placed before the Finance Committee and the Board of Management for their consideration and approval. A communication to this effect has also been received from the DHE, Govt. of NCT of Delhi vide their letter No. DHE-3(S)/RE-BE/2011-12/1436-39 dated 8th August 2011 wherein they have requested the University to submit these proposals to enable the DHE for allocation of appropriate provisions. The proposals, therefore, are submitted as under with detailed explanations.

2. ACADEMIC PROGRAMMES**Schools**

AUD plans to develop its postgraduate and research programmes within interdisciplinary Schools of study. Its undergraduate programmes will however have a discipline-based orientation. At present the University is offering Eight (8) PG programmes and Eight (8) Undergraduate programmes under the following schools:

School of Undergraduate Studies
 School of Development Studies
 School of Human Ecology
 School of Human Studies
 School of Culture and Creative Expressions
 School of Design
 School of Business, Public Policy and Social Entrepreneurship
 School of Educational Studies
 School of Law, Governance and Citizenship
 School of Liberal Studies

Centres

AUD proposes to set up Centres for research, documentation and training. Centres will function in a project mode and will work in areas of contemporary importance and will be linked with the

University's academic and research programmes. It is proposed to set up the following Centres in the next financial year.

Centre for Community Knowledge
 Centre for Leadership and Change
 Centre for Equality and Social Justice
 Centre for Early Childhood Education and Development
 Centre for Engaged Spiritualities and Peace Building

A small write-up on these Schools and Centres is available in the enclosed Annual Report.

I. PLAN

RENOVATION OF CAMPUS EXPENDITURE

A token allocation, as decided by the Finance Committee of the AUD, on the advice of Principal Secretary, Finance, of Rs. 3.39 Crores in RE 2011-12 and Rs. 2.04 Crores in BE 2012-13 is being proposed in Plan Capital for land and related construction activities for Campus creation and development, subject to the allotment of land at Dheerpur and Rohini, after which as decided, the required allocation shall be provided by the government soon after the allocation of land.

In addition, some renovation and construction work is currently required to be affected at the existing Kashmere Gate Campus which was also highlighted in AUD's letter dated 26/8/2011 addressed DHE, Govt. of NCT of Delhi. For this 3.10 crores in the RE 2011-12 and Rs.2.00 crores in BE 2012-13, over and above the land allocation amount, has been proposed in Plan Capital, and Non-Plan capital (mentioned under Non-Plan) respectively.

NEW INITIATIVES

The Budget Estimates have taken into account the token provision for necessary infrastructure for the new programmes being contemplated during the remaining part of current year and in the year 2012-13. A provision of Rs.0.25 Crores under Revised Estimate 2011-12 and Rs.2.00 Crores under Budget Estimates 2012-13 has been made under Plan Capital.

1. PLAN CAPITAL

(Figures in rupees)

Sl. No.	Account Description	Actuals 10-11	BE 11-12	Expenditure upto July 2011	RE 11-12	BE 12-13
1	Construction & Renovation	2,15,00,000	0	27,99,775	3,10,00,000	0
2	New Initiatives	0	0	0	25,00,000	2,00,00,000
1	Purchase of Land*		30,00,00,000	0	1,00,000	1,00,000
2	Development of Land*		5,00,00,000	0	1,00,000	1,00,000
3	Boundary Wall of Land*		3,00,00,000	0	1,00,000	1,00,000
4	Construction of Buildings*		30,00,00,000	0	1,00,000	1,00,000
	TOTAL - PLAN (CAPITAL)	2,15,00,000	68,00,00,000	27,99,775	3,39,00,000	2,04,00,000

NOTE:-

As per the decision of the Finance Committee of the AUD on the advice of the Principal Secretary (Finance) only a token amount need to be provided under the above heads and as and when the land is allotted, the amount shall be made available to the University.

2. PLAN REVENUE**(a) SALARY****(Figures in rupees)**

Account Description	Actuals 2010-11	BE 2011-12	Expenditure upto Sept.2011	RE 2011-12	BE ** 2012-13
SALARY					
Academic Staff (including Pay, Grade Pay, DA, CCA/TA, TA on DA, HRD and LTC)				7,80,00,000	
Administrative Staff (including Pay, Grade Pay, DA, CCA/TA, TA on DA, HRD and LTC)				4,80,00,000	
New Pension Scheme*				25,00,000	
Leave Salary & Pension Contribution/ (Sinking Fund)*				65,00,000	
Total – (a) SALARY	4,18,93,906	10,00,00,000	3,96,70,070	13,50,00,000	

(b) ACADEMIC EXPENSES

Sl. No.	Account Description	Actuals 2010-11	BE 2011-12	Expenditure upto Sept. 2011	RE 2011-12	BE** 2012-13
1	Seminars/Conference/ Workshops/Functions	19,70,455	50,00,000	3,08,730	25,00,000	
2	Books/Library Newspaper & Periodicals/Audio/ Video etc.	93,07,401	2,00,00,000	1,86,630	1,00,00,000	
3	Examination Expenses	59,832	20,00,000	3,925	2,00,000	
4	Scholarships/Prizes/Awards/ Fee Waivers	0	40,00,000	2,88,500	20,00,000	
5	Research Projects	0	60,00,000	0	30,00,000	
6	Field Based Learning	2,16,065	20,00,000	0	8,00,000	
7	Adjunct & Visiting Faculty	8,72,872	10,00,000	1,64,886	7,00,000	
Total – (b) ACADEMIC EXPENSES		1,23,76,625	4,00,00,000	9,43,671	1,92,00,000	

*For both Academic and Administrative Staff

(c) ADMINISTRATIVE EXPENSES

(Figures in rupees)

Sl. No.	Account Description	Actuals 2010-11	BE 2011-12	Expenditure upto Sept. 2011	RE 2011-12	BE ** 2012-13
1	TA/DA (Domestic)	3,19,790	30,00,000	1,19,493	7,00,000	
2	TA/DA (Foreign)	0	30,00,000	87,100	8,00,000	
3	Electricity Charges	20,94,108	50,00,000	13,87,840	40,00,000	
4	Water Charges	23,400	5,00,000	0	5,00,000	
5	Pol. Charges/ Maintenance/Hiring of Vehicles	18,68,942	35,00,000	7,32,137	20,00,000	
6	Telephone & Internet	5,42,172	15,00,000	8,72,195	15,00,000	
7	Security	21,17,625	30,00,000	16,63,883	35,00,000	
8	Repair, Maintenance & Renovation	4,33,369	50,00,000	1,62,036	7,00,000	
9	Office Contingency	2,27,026	50,00,000	4,08,130	5,00,000	
10	Advertisement & Publicity	23,94,138	1,50,00,000	2,02,447	30,00,000	
11	Professional Charges	0	1,00,00,000	0	5,00,000	
12	Rent, Rates, & Taxes	0	5,00,000	0	0	
13	Postage	34,254	5,00,000	38,876	1,50,000	
14	Printing & Stationery	7,10,120	40,00,000	4,52,721	12,00,000	
15	Horticulture	0	20,00,000	0	3,00,000	
16	Sanitation	16,22,958	50,00,000	0	25,00,000	
17	AMCs/Repairs & Maintenance of Equipments	51,493	40,00,000	95,058	4,00,000	
18	Legal Expenses	0	10,00,000	0	1,00,000	
19	Audit Expenses	1,65,450	4,00,000	50,000	0	
20	Insurance	0	20,00,000	0	20,000	
21	Student/Staff Welfare	0	10,00,000	1,62,647	0	
22	Student Volunteers	0	0	0	0	
23	Gym/Games/Sports Items	0	25,00,000	0	8,00,000	
24	Medical	3,37,147	50,00,000	8,47,212	20,00,000	
25	Consultative/Course/ Curricular/Selection Committee Meetings	17,22,287	50,00,000	1,26,591	15,00,000	
26	Entertainment	61,488	20,00,000	0	0	
27	Meetings of Statutory Bodies	1,61,146	20,00,000	0	5,00,000	
28	Purchase of Vehicles	0	50,00,000	0	8,00,000	
29	Furniture & Fixtures	62,54,536	2,00,00,000	1,88,46,019	2,60,00,000	
30	Climate Control (Air Conditioning & Heating)	0	1,00,00,000	7,25,282	40,00,000	
31	Computer Hardware & Other Equipments	1,01,50,020	2,00,00,000	29,35,076	1,50,00,000	
32	University Wide Resource Management System – ERP & purchase of other softwares	0	1,00,00,000	0	1,00,000	
33	Refund of Fee	0	0	0	10,00,000	
34	Convocation	0	0	0	10,00,000	

35	Educational Tours	0	0	0	5,00,000	
36	Hostel Expenses (including refund of caution money and mess charges)	0	0	0	40,00,000	
	Total (c) ADMINISTRATIVE EXPENSES	3,12,67,503	13,10,00,000	2,99,30,911	7,95,70,000	
	TOTAL PLAN REVENUE (a+b+c)	8,55,38,034	27,10,00,000	3,21,03,995	23,37,70,000	
	GRAND TOTAL (PLAN) (1 + 2) (REVENUE + CAPITAL)	10,70,38,034	95,10,00,000	7,05,44,652	26,76,70,000	2,04,00,000

**** The BE 2012-13 have been shifted to Non-Plan as the 12th Plan is commencing w.e.f 1/4/2012.**

SUMMARY OF RECEIPTS (PLAN)

(Rs. in Lakhs)

Sl. No.	Classification	Actuals 2010-11	BE 2011-12	Actuals upto July 2011	RE 2011-12	BE 2012-13
1.	Grants-in-aid from Department of Higher Education, Govt. of NCT of Delhi	700.00	700.00@@	550.00	2676.70	204.00
2	Balance carried over from previous year (as on 1.4.2011)	258.89	0	325.59	325.59	0.00
3.	Fees from Students including caution money (refundable)	80.62	100.00	92.03	190.00	0.00
4.	Hostel Fee including caution money (refundable) (Boys/Girls)	3.08	3.00	1.63	54.00	0.00

@@ The actual proposed amount only under revenue account was Rs 29.64 crs.

II NON-PLAN

1. NON-PLAN CAPITAL

The expenditure for the construction and renovation work under Plan capital during the first Plan period has now been taken to the Non-Plan Capital. This is primarily for the renovation work being done at Kashmere Gate Campus handed over to the AUD by the IP University. The state of the premises is quite dilapidated hence requiring immediate repair, renovation addition and alteration.

Sl. No.	Account Description	Actuals 10-11	BE 11-12	Expenditure upto July 2011	RE 11-12	BE 12-13
1	Construction & Renovation	0	0	0	0	2,00,00,000

2. NON-PLAN REVENUE

Under the non-plan revenue the two items mentioned below have been especially flagged as highlighted in the Finance Committee meeting, as these two issues are crucial to the existence of the University. Otherwise a tabulated detailed proposal has been enumerated below, which includes these two items also.

PROVISION FOR NEW PENSION SCHEME

A number of new posts have been created and appointments have taken place for new Schools/Divisions/Centres etc. In view of the fact that the employees and employer contributions are being deducted and deposited, a **lumpsum provision of Rs. 0.30 Crores** under RE 2011-12 and **Rs.0.50 crores** BE 2012-13 as Employer Share towards scheme have been proposed subject to the approval of the Directorate of Higher Education, Govt. of NCT of Delhi.

PROVISION FOR OLD PENSION SCHEME

A sizeable number of senior faculty and administrative officers have joined AUD either on Deputation or on lien and even on extra ordinary leave, who have shown willingness to join AUD permanently, provided pension portability in the Old Pension Scheme is allowed, and there is no loss of pensionary benefit. AUD, being a new University is in dire need of this experienced staff. Since AUD does not have an approval for the 'Old Pension Scheme', the idea for a '**Sinking Fund**' to park the current and the previous (with the parent organization) contributions was proposed and in-principle agreed to by the Finance Committee of the AUD. An amount of Rs 1 Cr. has been proposed towards this in the RE and the BE, which, even pending the approval of 'Sinking Fund', needs to be sent to the parent organizations. **Hence there is no new liability.**

(a) SALARY

Account Description	Actuals 2010-11	BE 2011-12	Expenditure upto July 2011	RE* 2011-12	BE 2012-13
SALARY					
Academic Staff					
Academic Staff (including Pay, Grade Pay, DA, CCA/TA, TA on DA, HRD and LTC)					13,15,00,000
Administrative Staff (including Pay, Grade Pay, DA, CCA/TA, TA on DA, HRD and LTC)					5,00,00,000
New Pension Scheme*					45,90,000
Leave Salary & Pension Contribution/ (Sinking Fund)*					80,00,000
Total – (a) SALARY					19,40,00,000

(b) ACADEMIC EXPENSES**(Figures in rupees)**

Sl. No.	Account Description	Actuals 2010-11	BE 2011-12	Expenditure upto July 2011	RE 2011-12	BE 2012-13
1	Seminars/Conference/ Workshops/Functions					30,00,000
2	Books/Library Newspaper & Periodicals/Audio/ Video etc.					1,00,00,000
3	Examination Expenses					7,00,000
4	Scholarships/Prizes/Awards/ Fee Waivers					20,00,000
5	Research Projects					30,00,000
6	Field Based Learning					10,00,000
7	Adjunct & Visiting Faculty					10,00,000
Total – (b) ACADEMIC EXPENSES						2,07,00,000

(c) ADMINISTRATIVE EXPENSES**(Figures in rupees)**

Sl. No.	Account Description	Actuals 10-11	BE 11-12	Expenditure upto July 2011	RE 11-12	BE 12-13
1	TA/DA (Domestic)					12,00,000
2	TA/DA (Foreign)					15,00,000
3	Electricity Charges					40,00,000
4	Water Charges					5,00,000
5	Pol. Charges/ Maintenance/Hiring of Vehicles					25,00,000
6	Telephone & Internet					20,00,000
7	Security					35,00,000

8	Repair, Maintenance & Renovation					10,00,000
9	Office Contingency					8,00,000
10	Advertisement & Publicity					40,00,000
11	Professional Charges					10,00,000
12	Rent, Rates, & Taxes					0
13	Postage					2,00,000
14	Printing & Stationary					20,00,000
15	Horticulture					10,00,000
16	Sanitation					30,00,000
17	AMCs/Repairs & Maintenance of Equipments					12,00,000
18	Legal Expenses					5,00,000
19	Audit Expenses					0
20	Insurance					20,000
21	Student/Staff Welfare					1,00,000
22	Student Volunteers					0
23	Gym/Games/Sports Items					8,00,000
24	Medical					30,00,000
25	Consultative/Course/ Curricular /Selection Committee Meetings					20,00,000
26	Entertainment					1,00,000
27	Meetings of Statutory Bodies					8,00,000
28	Purchase of Vehicles					0
29	Furniture & Fixtures					1,00,00,000
30	Climate Control (Air Conditioning & Heating)					50,00,000
31	Computer Hardware & Other Equipments					1,00,00,000
32	University Wide Resource Management System – ERP & purchase of other softwares					50,00,000
33	Refund of Fee					15,00,000
34	Convocation					12,00,000
35	Educational Tours					10,00,000
36	Hostel Expenses (including refund of caution money and mess charges)					54,00,000
	TOTAL ADMINISTRATIVE EXPENSES					7,58,20,000
	TOTAL NON-PLAN REVENUE (a+b+c)					29,05,20,000
	TOTAL NON-PLAN (1+2) (REVENUE + CAPITAL)					31,05,20,000
	GRAND TOTAL (I + II) PLAN + NON-PLAN	10,70,38,034	95,10,00,000	3,49,03,770	26,76,70,000	33,09,20,000

*The RE2011-12 does exist under Non-Plan as the 11th Plan is coming to an end by 31/3/2012.

SUMMARY OF RECEIPTS (Non-Plan)

(Rs. in Lakhs)

Sl. No.	Classification	Actuals 2010-11	BE 2011-12	Actuals upto July 2011	RE 2011-12	BE 2012-13
(1)	(2)	(3)	(4)	(5)	(6)	(7)
1.	Grants-in-aid from Department of Higher Education, Govt. of NCT of Delhi					3105.20
2.	Balance carried over from previous year (as on 1.4.2011)					0.00
3.	Fees from Students including caution money (refundable)					320.00
4.	Hostel Fee including caution money (refundable) (Boys/Girls)					60.00

Dr. B.R. Ambedkar
Ambedkar University, Delhi



Dr. B.R. Ambedkar
Ambedkar University, Delhi

No AUD/Fin./ELFA Audit 2011-12/

Date 19-08-2011

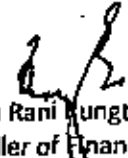
The Director of Audit
Govt. of NCT
Delhi sachivalaya, I.P. Estate,
4th floor C-Wing
New Delhi-110002

Subject: - Compliance to the ELFA audit report for the year 2008-09 and 2009-10 of Ambedkar University, Delhi.

Sir,

Reference is invited to your letter no 11(20)/ELFA/2009-10 Dated 08-06-2011 on the above subject for which replies to the audit para for the above period's audit report are being forwarded with the request to settle and drop the audit paras.

Yours Faithfully


(Asha Rani Bhangta)
Controller of Finance

Encl: As Above

Copy for information to:-
Director of Higher Education, Govt. of NCT of Delhi, 5 Shyamnath Marg, Delhi-110054

AUDIT REPORT

PARA #1	Pattern of Assistance not prepared	Remarks
	The University has not so far neither prepared any financial Pattern of the Assistance nor got it approved by the Administrative/ Planning/finance Department. Necessary action may immediately be taken in consultation with Administrative Department to frame the pattern of assistance under intimation to Audit	The University came into existence from August 2008 in the middle of 11 th Plan and was in the nascent stage without the required set-up, therefore the pattern of Assistance could not be finalized. However the pattern of Assistance of 12 th Plan is under preparation and the necessary action for its approval shall follow.
PARA #2	Conditions mentioned in the grant sanction letter were not adhere to	Remarks
	As per one of the condition of grant sanction letter a suitable portion of the cost of the courses conducted by the university may be recovered through fees. AUD with the collaboration of Council for Social Development started a postgraduate diploma programme in development studies on a pilot basis. For the CSD contribution token compensation of Rs. 10 lakhs and the reimbursement of faculty hired on contract basis was made against the 24 seats 12 students were admitted after written test. Two of them later withdrawn. 10 student were give fee waiver and scholarships in the first and second semester. After fee waiver total fee of 1,20,000 was collected against which scholarship of 1,12,000/- was awarded. No suitable portion of fee was set aside to run the cost of the course.	AUD was established in August 2008 and it required credentials as well as faculty to start the courses. Hence it collaborated with the CSD for starting this programme on a pilot basis barely four months after the creation of the University, riding on the goodwill of CSD. Covering the cost of the course through fee collection could not have been the motive then. However, guidelines of the government will be communicated to the Authority Bodies of the university. Although AUD is a social science and humanities based University and making the courses self-sufficient, would, prima-facie be difficult and right from the initial consultations with the government, this reality has always been kept in perspective..

Seme ster	Fee	No. of Students	Total Fee	Fee Waiver	Fee Collected	Scholarship awarded
1	16000	12	1,92,000	1,60,000 (9 full fee waiver and tow half fee waiver) 75% full fee waiver, 16% partial fee waiver	32,000	80,000 (5 scholarships of Rs.16,000 each)

2	16000	10	1,60,000	72,000 (30% full fee waiver 30% partial fee waiver)	88,000	32,000	
Total			3,52,000	2,32,000	1,20,000	1,12,000	
PARA # 3	Irregularity in hiring of Vehicles					Remarks	
	As per GFR-178 certain services can be outsourced in the interest of economy and efficiency without, however, contravening the provision of GFR-179, 180 & 181. On scrutiny of the records, it was revealed that no advertised tender enquiry made whereas it is mandatory to invite advertised tender enquiry in case the estimated value of the services is more than Ten Lakhs. M/s. Leela Travels has been providing the service for hiring the taxi since November 2008 till date. The detail of the payment made to the firm in the year 1009-10 is appended below					With the University just commencing functioning in August 2008, required staff for establishing and setting up the infrastructure, procedures was still not available. Some emergent decisions were taken and since vehicles were mandatory for movement, M/S Leela Travels was asked to provide the services on qualifying as L1 on limited tender basis.	

Sl. No.	Vr. No. & date	Amount in Rs.
1.	39 dt. 08.05.2009	1,48,498
2.	70 dt. 15.06.2009	1,44,874
3.	109 dt. 09.07.2009	1,48,271
4.	216 dt. 10.08.2009	1,50,308
5.	315 dt. 08.09.2009	1,51,518
6.	419 dt. 09.10.2009	1,44,376
7.	519 dt. 05.11.2009	4,578
8.	524 dt. 09.11.2009	8,945
9.	535 dt. 12.11.2009	1,14,401
10.	659 dt. 11.12.2009	1,41,498
11.	704 dt. 23.12.2009	5,853
12.	822 dt. 18.01.2010	1,59,542
13.	938 dt. 18.02.2010	1,53,110
14.	1016 dt. 09.03.2010	1,53,110
	Total	16,36,299

Since the total expenditure of Rs. 16,39,299/- was incurred on hiring of vehicles in the year 2009-10, advertised tender enquiry was necessary to ensure the competitive and economy rates. Awarding the contract to M/s. Leela travels in the absence of TIN/TAN in the year 2008-09 and 2009-10 and without advertised tenders enquiry in violation of GFRs ibid led to avoiding competitive/economic rates, which is against the financial propriety.	However from 2010-11 advertised open tender process has already been followed.
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Para # 4	Advertisement not released on DAVP Rates	Remarks
	As per Govt of NCT of Delhi advertisement policy the advertisement whether displayed or classified will be released only at DAVP rates by Gr."A" agencies to the main GNCTD department and to the other nonprofit oriented GNCTD bodies fully financed by GNCTD. On scrutiny of record it was found that during the audit period i.e. 2008-09 & 2009-2010 advertisement released on commercial rate though non empanelled advertisement agencies instead of on DAVP rate. Only profit oriented bodies can release advertisement on commercial rates. By not releasing the advertisement on DAVP rates the university violated the rules ibid and thereby causing loss to government exchequer :	Audit is legitimately correct to suggest that the advertisement should be published on DAVP rates but in this connection it may be mentioned that daily national newspapers viz. Hindustan Times, Times of India, Hindu, Indian Express which have wide circulation do not agree to publish the ads on DAVP rates on the ground that the universities are not a non-profitable institutions. The AUD approached the government authorities concerned for getting the ads published on DAVP rates. However, the request was not acceded to. Efforts however, shall be continued to be made in future to get the ads published through the registered agencies of the DAVP. In view of the above the advertisement para may be dropped.

Sl No	Name of the Agency	Bill No. / Date	Amount
1.	M/s. City Desk	Vr. No. 34 dt. 25.11.08	1,36,892
2.	M/s. Copy Desk	Cd/12/21/2008 dt. 31.12.2008	96,393
3.	M/s. Copy Desk	Cd/05/14/09 dt. 30.04.09	14,03,758
4.	M/s. Copy Desk	Cd/05/14/09/ dt. 23.05.09	1,19,726
5.	M/s. Copy Desk	Cdcs/06/24/09/ dt. 23.06.09	6,18,149
6.	M/s. Copy Desk	Cd/07/04/2009 dt. 10.07.09	6,18,149
7.	M/s. Nirman	PB/001405/09-10/AMB dt. 27.02.2010	6,52,071
8.	M/s. Nirman	05199 dt. 31.03.10	40,13,462
Total			40,13,462

Para No. 5	Irregular Expenditure	Remarks
	As per GFR 146 purchase of goods costing above 15,000/- only and upto Rs. 1,00,000/- may be made on the recommendation of duly constituted local purchase	During the year 2008-09 and 2009-10, the University was surviving on a bare minimum staff and in an emergency

	committee consisting of three members at appropriate level. The committee will survey the market to ascertain reasonableness of rate, quality and specification and identify appropriate supplier. Before recommending placement of the purchase order, the members of the committee will record a certificate to this effect. On scrutiny of the record it was found that the provisions of the GFR not adhere to while making the following purchases and the reasonableness/competiveness of rates not ensured by doing market survey which is against the financial propriety:			mode. As a result certain unplanned and emergent purchases were made where GFR instructions could not be followed. Instructions have since been issued to all concerned to ensure that the provisions of GFR 146 are adhered to.	
Sl No	Name of the Firm	Bill No. / dt	Amount	Remarks	
1.	M/s. Wow Communication	0809233 dt. 12/12/2008	30,000	Website designing	
2.	Anita Verma	Bill dt. 01/08/09	50,000	Logo	
3.	M/s. Bakshi Steel Industries M/s G M Furnitures Gujrat Emporium	-	70,000	CECED Furniture	
4.	M/s. Godrej & Mfg. Co. Ltd	811/44010096	33,250	Locks	
5.	M/s. Godrej & Mfg. Co. Ltd	778 dt. 22/06/09	16,575	Lock	
6.	Subham Valley Restaurant	898 dt. 25.07.09 900 dt. 26.07.09	69,750	Lunch	

PARA No. 6	Irregular Purchase of Cellular Phones	Remarks
	Govt of Delhi, Finance (Exp.10 department vide OM No. F1/69/Fin(E-I)/2002-03/1057 dated 24.01.03 have issued the guidelines in respect of cellular phones for official use on the basis of the GOI instructions. As per the guidelines the cost of handset for cellular phone would be limited to Rs.10,000/- but in violation of above instructions costly handsets were purchased for the senior management and Deans of the University.	The decision to purchase of 7 Cellular phone(I-phones blackberry) costing Rs.31,000/-, 23500/- was taken in the Sr. Management meeting for providing good connectivity, for conversing and email access to the Sr. Management Level Officers/Deans as land line connection was not available and even the premises/ offices were set up much later. However, no further purchases of I-phones have since been made and GOI instructions in this regard being kept in view while making any purchase.

Sl No.	Handset	Cost	Remarks	
1.	1 Phone 8 GB	31,000	The Hand sets were issued to the Senior Management & Deans of the University.	
2.	1 Phone 8 GB	31,000		
3	1 Phone 8 GB	31,000		
4	Black Berry 8900	23,500		
5	Black Berry 8900	23,500		
6	Black Berry 8900	23,500		
7	1 Phone	29,500		

Para No.7	Irregular appointment of Data Entry Operator	Remarks
	Quotations were invited from the 8 firms empanelled for providing Data Entry Operators on contractual basis with IT Department, GNCTD. M/s Aims Consultants Pvt. Ltd. Was engaged to deploy data entry operators on the monthly remuneration of Rs. 5,550/- being the L-1. Shri Ritesh Kumar Jha and Ms. Sangeeta were appointed on contractual basis through the agency. No formal contract was made with the firm. In the month of Oct. 2009 Shri Ritesh Kumar Jha and Ms. Sangeeta made the representation that they have been paid by the firm only Rs. 3,000/- p.m. against the payment of Rs. 5550/- released by the university to the firm. A committee was constituted to enquire in to the matter. As there was no formal contract with the firm no penalty was imposed on the firm and the service of the firm was discontinued and amongst the two data entry operators Ms. Sangeeta was appointed on the monthly salary of Rs. 7000/-. The direct appointment of Ms. Sangeeta without open advertisement of the vacancy is not in order. After discontinuing M/s. Aims Consultants the process of inviting fresh quotations from the firm empanelled agencies of IT Deptt. GNCTD should have been initiated and the services should have been outsourced as per provisions of GFRs.	In the early days of AUD, when trained personnel was not available in AUD, Ms Sangeeta was retained to fill that immediate gap. However due care has been taken to follow the government norms since then.
Para No. 8	Irregular terms of appointment of research associates	Remarks
	During the audit period, the following 7 research associates were appointed by the university :-	

Sl. No	Name	DOA	Salary	Remarks	
1.	Ms. Kasturi Datta	31.07.2009	38,000	(Further revised as per sixth pay commission report)	
2.	Mr. Akha Kaihrii Mao	31.07.2009	38,000		
3.	Ms. Manasi Thapliyal	31.07.2009	38,000		
4.	Ms. Sanju Thomas	31.07.2009	38,000		
5.	Ms. Neetu Sareen	31.07.2009	38,000		
6.	Ms. Deepti Sachdeva	31.07.2009	38,000		
7.	Ms. Anshumita Pandey	31.07.2009	38,000		
8.	Mr. Anand Saurabh	01.01.2010	38,000		
9.	Ms. Palak Gupta	31.07.2009	38,000		
10.	Ms. Kopal Chaube	15.03.2010	38,000		
11.	Ms. Shyamoliima G. Chyoudhury	31.07.2009	38,000		
12.	Ms. Surabhi Sachdeva	31.07.2009	38,000		

	Research Associates were appointed on the monthly consolidated salary of an Assistant Professor. Whereas, Delhi university and other Central Universities and State owned universities were paying research associate salary of 16,000 – 18,000/- HRA (depending on the experience) on the guidelines issued by UGC and MHRD. The payment of salary equivalent to the consolidated monthly salary of Assistant Professors to the Research associates is not in order. As per GFR 209 terms and conditions of the employees should not be higher than those applicable to similar category of employee in government.	The duty assignment in the two cases of the AUD and the Delhi University are different, hence despite a similar nomenclature, the Research Associates are being paid more. After this para by the Audit, AUD has consciously changed the designation of the Research Associates to Academic Fellows, to make it distinctive. There is no guideline from UGC/ MHRD on Research Associates. Research Associates, now called, Academic Fellows, have the same qualification as those called Associate Professor and have identical responsibility. The Board of Management of AUD made a cocious decision to create this cadre keeping in view the faculty structure envisaged. Further AUD is much smaller in scale compared to other Universities.
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Para No.9	No. Advertised Tender Enquiry made for the purchase of furniture above Rs. 25 lakhs.	Remarks
	During the scrutiny of audit it was found that in the month of March 2010 furniture items worth 43,15,122/- were purchased as per detail given below :-	From the details furnished by auditor in the above audit para. It may be mentioned that none of the items purchased were more than Rs. 25 lakh and as such did not attract the provision contained in GFR 150.

Sl. No.	Name of the firm	Vr. No. & dt	Amount	
1	Godrej	1003 dt. 02.03.2010	33,250	
2.	M/s. Vinar	1062 dt. 23.03.2010	5,53,289	
3.	M/s. R. R. Builders	1152 dt. 31.03.2010	86,906	
4.	M/s. empire Safe	1160 dt. 31.03.2010	48,520	
5.	M/s. Rajdhani	1162 dt. 31.03.2010	23,85,104	
6.	M/s. Rajdhani	1199 dt. 31.03.2010	78,103	
7.	M/s. Rajdhani	1200 dt. 31.03.2010	11,29,950	
Total			43,15,122	

	GFR 150, stipulates that for making procurement of more than 25 lakh open tender enquiry is to be made. In the last week of the March furniture costing Rs. 43,15,122/-, was purchased without going for open tender and the goods were procured on the basis of market survey and procuring Ltd. Quotations, thereby restricted the competitiveness and economy of rates. Further purchase of Rs. 35,93,157/- was made from the M/s. Rajdhani Furnishers alone by splitting the purchases to avoid open tender violating the provision of GFRs. Reasons for such irregularities may be elucidated to audit.	The procurement of furniture items which was urgently required by the university for setting up classrooms and faculty rooms for the newly established schools, were resorted through limited tender enquiry and by way of constituting purchase committee where the purchases were for less than 1 lakh.
Para No.10	Irregularity in award of contract of Sanitation & Security work	Remarks
	During the scrutiny of audit it was found that University awarded the contract to M/s. Excellent Security Services (Regd.) for the security of premises w.e.f. 22.06.09 and Shree Mahendra Kumar Suryavanshi for Housekeeping and Sanitation w.e.f. July 2009	The contract on Security was actually inherited with the premises from the Integrated Institute of Technology, by default. After this AUD has awarded the contract on the basis of an open tender. The sanitation and housekeeping was then managed as an emergency measure for the

	without observing and codal formalities till the time formal invitation of tender for security and sanitation may be completed but no formal tender enquiry was made as per provision GFR 181 up to 31.03.2010. Awarding of contract without ensuring the competitiveness and economy of rates is violation of GFRs and against financial propriety.	students then, before the tender procedures could be ensured. The same has been complied with now.
Para No.11	Irregularities in procurement of computers and Accessories	Remarks
	Deptt. of IT, GNCTD vide order no. 10(6)/2005/IT/3139-68 dt.24.07.08 and F10 (135)/2009/II/1402-05 dt. 09.02.2010 issued guidelines for the procurement of computer Hardware, software and computer accessories etc. These guidelines are also applicable to the autonomous bodies under GNCTD As per these guidelines :- (i) Computer hardware, software and accessories can be procured from any of the following agencies :- 1. DGS& D against DGS&D rate contract 2. NICSI 3. ICSIL and from 4. The open market as per GFR. Only Those hardware and accessories will be procured from open market whose specifications are given in DGS& D or NICSI rate contract. In case specifications of hardware and accessories are different from DGS&D or NICSI or the items are not available with them reasons for the same have to be recorded in writing and prior approval of department of IT, GNCTD is to be required. All the purchases of hardware and software from open market shall have to be done through e-procurement platform of Deptt of IT GNCTD. (ii) Concurrence of Finance and IT departments, GNCTD Technical clearance for purchase of computers hardware and accessories and Laptops, from IT department is required in each case and technical clearance by IT	It may be observed from the audit observations that most of the purchases of hardware & software I-T items were made on DGS& D rate contract or otherwise on call of quotation. However on account of urgency certain purchases of IT items were made on single source procurement. Audit observations in this regard have been noted for future compliance.

department the proposal will be forwarded to Finance Department for obtaining financial approval before placing any order.

During the audit period following purchases were made by the University :-

Year	Name of the Agency	Items	Amount	Remarks	
2008-09	M/s. Capital Digitech	3 Laptops	2,09,500	Quotations	
	M/s Infotech Computer Suppliers Esteem Tech	Desktop and Printers	3,33,780	Quotations	
	M/s. Esteem Tech	Apple Macbook Laptop	86,424	Quotations	
	M/s. Mikrotek	UPS	29,540	DGS&D Rate contract	
	M/s. Computerman	5 computers and 5 UPS	1,54,180	Quotations	
	M/s. Kamptron System Pvt. Ltd.	Software	1,95,559	Single Source Procurement	
	M/s. Land Mark Infonet Pvt. Ltd.	80 PC & Server	29,70,247	DGS&D rate contract	
2009-10	M/s. Uniline Energy Systems Ltd.	UPS	5,35,588	DGS&D rate contract	
	Libsys	Software for Library	2,96,400	Single source procurement	
	M/s. Dell Computers	Laptops	6,49,501	Single Source Procurement	
	M/s. Natasha Iny. Inc.	Software	1,00,325	Two Quotations	
	M/s. Electro Sales Corp	Software	99,822	Quotations	
	M/s. Associate Business Computers	Printers	2,03,635	DGS&D rate contract	
	M/s. Corporate Infotek	-	8,17,9591	DGS&D rate contract	

Audit scrutiny revealed following irregularities/ discrepancies in purchase of computer hardware, software and accessories in the year 2008-09 and 2009-10

- Technical clearance and financial approval from the IT Deptt and finance departments have not been obtained.
- The purchases of hardware and software from open market have not done through e-procurement platform of Deptt of IT, GNCTD.

Apart from the above mentioned discrepancies following irregularities were found :

1. **Delay in Installation:** An expenditure of Rs. 1,54,180/- was incurred for purchase of 5 computers and 5 UPS FROM M/s. Computerman vide bill no. 1061 dt. 20.03.09. The procedure of purchase was initiated in the month of January, 2009 for purchase of 5 Desktop computers and UPS for the use of student of post graduate diploma course of the university at the Council for Social Development. In the month of January 2009, the letter was sent to Director DGS& D to arrange the supply of five desk top computers. DGS& D informed that no rate contract of desktop was available at that time the finalization of the same might take some time. Instead of waiting for the finalization of rate contract of DGS&D the university invited electronic Quotation for purchase of computers and UPS on the ground that computers were to be arranged on the priority basis for the use of students and on the basis of it purchase order was made to M/s. Computerman in the month of Feb. 2009. But the computers and UPS were installed only in the month of June 2009 by the agency. Not making purchase from DGS& D on the basis that the supply was needed on urgent basis, whereas the computers installed in the month of June, 2009 is not justified.

Again in the month of March 2009, expenditure of Rs. 29,70,247/- was incurred for the purchase of 80 no. of computers, one server and 8 no. of allied items. But the computers not installed by the company for 3 months. The installation was done in the month of July.

2. **Laptop purchases – without observing provisions of GFRs:** 15 Laptops purchased from M/s. Dell

Although the requirement was emergent in nature, piecemeal allocation of temporary premises to AUD also delayed the allotment of rooms and installation of computers. Care shall be taken to ensure that the same does not happen in future.

	Computers without observing provisions of GFRs by ensuring competitiveness and economy of rates. University first invited quotations from vendors for the Dell laptop and then the purchase was made directly from the M/s. Dell Computers. Quotations should be invited on the details specification instead of giving any brand name. The purchased is irregular.	
Para No.12	Expenses booked without obtaining final adjustment bill from the CPWD	Remarks
	A sum of Rs. 1,58,00,000/- was paid as advance to CPWD during the year 2008-09 for providing and installation of AC. CPWD vide their letter dt. 07.04.2010 informed that a sum of Rs. 1,18,75,377/- was spent by them for the work entrusted, however the bills/supporting detail of expenditure have not been provided by them. The university have capitalized the amount of Rs. 11875377 under the head "AC fitting and installation" on the basis of letter dt. 07.04.2010. The adjustment of Advance of Rs. 1,58,00,000/- without obtaining final bill and completion certificate not in order.	PWD has been requested to return the balance amount of Rs 39,24,623/- and also provide the completion certificate.
Para No.13	Grant/Advance given to CECED wrongly treated as expenses	Remarks
	In the year 2009-10 grant/advance amounting to Rs. 2,17,000/- was disbursed to Centre for Early Education & Development (CECED). As per the accounts notes appended with the balance sheet the grant was accounted for as an expense. Booking the grant as an expense without final adjustment bill/utilization certificate is not in order	Amount has since been adjusted and error noted for future.
Para No.14	Admission Fee	Remarks
	In the year 2009-10 total fee collected was Rs.30,83,565/-, out of this amount fee waiver of 80,000/- was given and fee refunded to the amount of Rs. 57,000/- as per detail given, after adjusting the fee waiver and refund of fee total fee of Rs.29,46,565 is to be reflected in the balance sheet but in the balance sheet total fee collected in the year shown as Rs. 28,34,565/- the same may be reconciled under intimation to audit :	A scholarship of the differential amount of Rs 1,12,000/- was paid to the students in the same year but was wrongly deducted from the income instead of separately being shown as expenditure. Care shall be taken to avoid the same in future.

Fee Waiver		Refund of Fee		
Student Name	Amount	Student Name	Amount	
L. Adani Nelina	8,000	Namarata Acharya	14,000	
Sheema Devi	8,000	Anjali Srivastava	15,000	
Balram Rao	8,000	Niddi Verma	14,000	
Kezvi Edmond	8,000	Ragini Kapoor	14,000	
Rahil	8,000			
Abinav Singh	16,000			
Naveen Dabas	8,000			
Deepa Thateja	8,000			
Inderdeep Chadha	8,000			
Total :	80,000	Total :	57,000	

Para No.15	Reimbursement of Children Education Allowance to Prof. Satyajit Singh	Remarks
	In the year 2009-10 reimbursement of Children Education Allowance of Rs. 24,000/- was made to the Prof. Satyajit Singh for his two children. Prof. Satyajit Singh joint AUD in the Month of August 2009 but the reimbursement of the tuition fee was made for the full year, whereas the proportionate allowance should have been made.	Recovery as proposed by audit is being made. The officer concerned has reverted back to his parent department viz. Delhi University.
Para No.16	Filling up the post of Accounts Functionaries	Remarks
	As per department of Finance, Govt. of NCT of Delhi order no. F.2/3/99-AC/2536 dated 17.03.99 post of Account functionaries i.e. Accountants/Accounts Officers etc. in autonomous bodies/grantee Institutions shall be filled up by transfer on deputation basis from among the officers of Delhi Administration Accounts Services only. Finance department will directly post account functionaries in these bodies on requisition. In case finance department unable to provide by transfer on deputation basis, these bodies can fill up their accounts posts after obtaining NOC from Finance Department, Govt. of NCT of Delhi. Therefore the posts of Accounts functionaries in the University may be filled as per the above guidelines through Finance Department.	The post of Accounts functionaries have since been filled in. Audit para may be dropped.

Para No.17	Physical verification of dead stock/consumable stock/Library books	Remarks
	As per GFR of 190 (2) separate account shall be kept for Fixed Assets such as equipment, furniture, fixtures etc. Consumable Goods/Library Books in Form G.F.R.-40-41 & 35 and Physical verification thereof has to be done every year as provided in G.F.R.-192 in the presence of the officer responsible for the custody of the inventory and outcome of the verification recorded in the register, discrepancies if any has to be investigated and brought of accounts. A certification to verification along with the findings shall be recorded in the stock register. Discrepancies including shortage, damages and unserviceable goods if any, identified during verification, shall immediately be brought to the notice of the competent authority for taking appropriate action in accordance with provision in Rule 33 & 38 G.F.R. On scrutiny of records it has been observed that no Centralized stock register for Fixed Assets such as equipment, furniture etc. Consumable Goods/Library Books in form G.F.R.-40 & 35 have been prepared. In the year 2009-10 Stock register have been maintained without B/F of the previous year balance which is contrary to the principle of the inventory management and in the absence of which it could not be ascertained, the actual Stock of the each items such as Laptops, Computers, LCDs, Printers, ACs, Furniture, Telephone equipments available as on date. This shows the poor inventory management and may lead to misuse of the Government Assets. It also not understands as how the stock position is being monitored and requirement assessed.	Physical verification of stocks of consumable/non-consumable item is being undertaken and the Asset Register is under preparation.
Para No.18	Appointment of Cashier	Remarks
	As per instructions contained in GFR-275, AS Govt. servant who is required to work as cashier and handle cash should furnish security/fidelity bond for an amount prescribed by Head of the Department depending upon the amount of cash transactions involved. The security should be supported by a Bond executed by the Govt. servant in form GFR 30 or 31. Such security deposit should be retained for at least six months from the date	Cashier has since been appointed on deputation and asked to furnish fidelity bond. Para may be dropped.

	the Govt. servant vacate his post in terms of rule 277 of GFT. In AUD it was found that no security/fidelity Bond was furnished and work was handled by a retired individual. This practice is against interest of Government and need clarification.	
Para No.19	Non maintenance of records	Remarks
	It was found in the audit that organization is not maintaining these records as per provisions of GFRs :- 1. Cash Book 2. Fixes Assets Register 3. Fee Register 4. TA/LTC/Medical Register 5. Advance Register 6. Bill Register 7. Telephone/Electricity Bill Register 8. Tender Form Register 9. Tender Opening Register The organization should immediately get these records prepared and the compliance shown to the next audit.	Records are under preparation. Shall be produced to next audit.

Bharat Ratna Dr B R
Ambedkar University, Delhi



भारत रत्न डा. बी. आर.
अम्बेडकर विश्वविद्यालय, दिल्ली

No AUD/Fin /ELFA Audit 2011-12/ 1947-48

Date 19-09-2011

The Director of Audit
Govt. of NCT of Delhi
Delhi sachivalaya, I.P. Estate,
4th floor C-Wing
New Delhi-110002

Subject: - Compliance to the ELFA Audit Report for the year 2008-09 and 2009-10 of Ambedkar University, Delhi.

Sir,

In continuation to this office letter of even number dated 19.08.2011 on the above subject, enclosed please find a copy of errata issued in respect of Para no. 8 of the above audit report for which replies sent already.

Yours Faithfully

— sd —
(Asha Rani Rungta)
Controller of Finance

Encl. As Above

Copy to:-

Director of Higher Education, Govt. of NCT of Delhi, 5 Shyamnath Marg, Delhi-110054 along with copy of above errata


(Asha Rani Rungta)
Controller of Finance

Para No. 8	Irregular terms of appointment of research associates	Remarks
	During the audit period, the following 7 research associates were appointed by the university :-	

Sl. No	Name	DOA	Salary	Remarks	
1.	Ms. Kasturi Datta	31.07.2009	38,000	(Further revised as per sixth pay commission report)	
2.	Mr. Akha Kaihrii Mao	31.07.2009	38,000		
3.	Ms. Manasi Thapliyal	31.07.2009	38,000		
4.	Ms. Sanju Thomas	31.07.2009	38,000		
5.	Ms. Neetu Sareen	31.07.2009	38,000		
6.	Ms. Deepti Sachdeva	31.07.2009	38,000		
7.	Ms. Anshumita Pandey	31.07.2009	38,000		
8.	Mr. Anand Saurabh	01.01.2010	38,000		
9.	Ms. Palak Gupta	31.07.2009	38,000		
10.	Ms. Kopal Chaube	15.03.2010	38,000		
11.	Ms. Shyamolima G. Chyoudhury	31.07.2009	38,000		
12.	Ms. Surabhi Sachdeva	31.07.2009	38,000		

	Research Associates were appointed on the monthly consolidated salary of an Assistant Professor. Whereas, Delhi university and other Central Universities and State owned universities were paying research associate salary of 16,000 – 18,000/- HRA (depending on the experience) on the guidelines issued by UGC and MHRD. The payment of salary equivalent to the consolidated monthly salary of Assistant Professors to the Research associates is not in order. As per GFR 209 terms and conditions of the employees should not be higher than those applicable to similar category of employee in government.	The duty assignment in the two cases (AUD and the Delhi University) are different, hence despite a similar nomenclature, the Research Associates are being paid more. After this para by the Audit, AUD has consciously changed the designation of the Research Associates to Academic Fellows, to make it distinctive. There is no guideline from UGC/ MHRD on Research Associates. Research Associates now called, Academic Fellows, have the same qualification as those of Assistant Professor and have identical responsibility. The Board of Management of AUD made a conscious decision to create this cadre keeping in view the faculty structure envisaged.
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AUDIT REPORT

We have examined the balance sheet of **Dr.B.R.Ambedkar University, Delhi** as at **31.03.2011** and the Income and Expenditure account, Receipt and Payment account for the year ended on that date which is in agreement with the books of account maintained by the said Institution.

We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purposes of the audit. In our opinion, proper books of account have been kept by the above named Institution so far as it appears from examination of the books of accounts.

In our opinion subject to **Notes to Accounts Schedule - VI (B)** and to the best of Information given to us, the said accounts give a true and fair view:

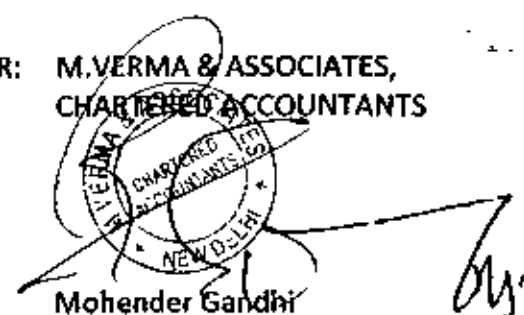
(i) In the case of the balance sheet, of the state of affairs of the above named institution as at 31.03.2011 and

(ii) In the case of the Income and Expenditure Account of the profit or loss of its accounting year ending on 31.03.2011

Date 20.09.2011

Place: New Delhi

FOR: M.VERMA & ASSOCIATES,
CHARTERED ACCOUNTANTS


Mohender Gandhi
M.No: 088396

BALANCE SHEET AS ON 31.03.2011

LIABILITIES	SCHEDULE	AMOUNT Rs. P.	ASSETS	SCHEDULE	AMOUNT Rs. P.
UNDISBURSED GRANTS AS PER INCOME & EXPENDITURE A/C		39,947,929.00	FIXED ASSETS	II	57,802,205.00
CURRENT LIABILITIES & PROVISIONS	I	3,578,281.00	CURRENT ASSETS AND LOANS AND ADVANCES	III	43,526,210.00
CAPITAL FUND		57,802,205.00			
TOTAL		101,328,415.00	TOTAL		101,328,415.00

PLACE : NEW DELHI
DATE : 20.09.2011

AS PER OUR REPORT OF EVEN DATE ATTACHED

SHYAM B. MENON (VICE CHANCELLOR)
AMBEDKAR UNIVERSITY
PROF. SHYAM B. MENON
Vice Chancellor
Ambedkar University, Delhi

ASHA R RINGTA (CONTROLLER OF FINANCE)
AMBEDKAR UNIVERSITY

M. VERMA & ASSOCIATES,
CHARTERED ACCOUNTANTS

वित्त नियंत्रक
CONTROLLER OF FINANCE
अम्बेडकर विश्वविद्यालय, दिल्ली
AMBEDKAR UNIVERSITY, DELHI
सि-9, द्वारका, न.द. - 77/Sec-9, Dwarka, N.D.-77
वेबसाइट / Website: www.aud.ac.in



INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR ENDING 31.03.2011

EXPENDITURE	SCHEDULE	AMOUNT Rs. P.	INCOME	SCHEDULE	AMOUNT Rs. P.
FIXED ASSETS	II	21,848,142.00	UNDISBURSED GRANT C/O FROM PREVIOUS YEAR		45,610,564.00
ADMINISTRATION COST	IV	63,689,892.00	GRANT RECEIVED		70,000,000.00
			SALE OF FORMS/ PUBLICATIONS/TENDER DOC ETC		246,070.00
			RECEIPT FROM WORKSHOP/CONFERENCE		1,150,000.00
UNDISBURSED GRANT C/O TO BALANCE SHEET		39,947,929.00	COURSE FEES		6,728,785.00
			HOSTEL FEES		308,900.00
			FEES FOR PARTICIPATION IN SSRM FESTIVAL		73,000.00
			MISCELLANEOUS RECEIPTS		88,202.00
			<u>BANK INTEREST</u>		
			INTEREST ON FIXED DEPOSIT		203,129.00
			INTEREST ON SAVING ACCOUNT		1,077,313.00
TOTAL		125,485,963.00	TOTAL		125,485,963.00

PLACE : NEW DELHI

DATE : 20.09.2011

SHYAM B. MENON (VICE CHANCELLOR)
AMBEDKAR UNIVERSITY

PROF. SHYAM B. MENON
Vice Chancellor
Ambedkar University, Delhi

ASHA R RUMTA (CONTROLLER OF FINANCE)
AMBEDKAR UNIVERSITY

विन निरुद्ध
CONTROLLER OF FINANCE
अम्बेडकर विश्वविद्यालय, दिल्ली
AMBEDKAR UNIVERSITY, DELHI
सं-9, द्वारका, नं.दि-77/Sec-8, Dwarka, N.D.-77
वेबसाइट/ Website: www.aud.ac.in

AS PER OUR REPORT OF EVEN DATE ATTACHED

M. VERMA & ASSOCIATES,
CHARTERED ACCOUNTANTS



**BHARAT RATNA Dr. B.R. AMBEDKAR UNIVERSITY
DWARKA SECTOR - 9, DELHI-110077**

RECEIPT AND PAYMENT ACCOUNT FOR THE YEAR ENDING 31.03.2011

RECEIPTS	SCHEDULE	AMOUNT Rs. P.	PAYMENTS	SCHEDULE	AMOUNT Rs. P.
OPENING CASH & BANK BALANCE		41,728,586.00	FIXED ASSETS	II	21,848,142.00
GRANT RECEIVED		70,000,000.00	ADMINISTRATION COST	IV	61,373,305.00
CECED		146,858.00			
RECEIPTS FROM WORKSHOP		1,150,000.00			
HOSTEL FEES		308,900.00			
FEES FOR PARTICIPATION IN SSRM FESTIVAL		73,000.00			
MISCELLANEOUS RECEIPTS		88,202.00			
SALE OF FORMS/PUBLICATIONS/TENDER DOC		246,070.00	ADVANCE PAID TO STAFF AND OTHERS	V	22,044,130.00
COURSE FEES (Net of Refund etc.)		6,728,785.00			
CAUTION MONEY		504,000.00			
SECURITY DEPOSIT		150,000.00	PAYMENT OF PREVIOUS YEAR LIABILITY		196,960.00
<u>BANK INTEREST</u>					
INTEREST ON FIXED DEPOSIT		203,129.00	CLOSING BALANCE		
INTEREST ON SAVING ACCOUNT		1,077,313.00	CASH IN HAND		20,799.00
			BANK BALANCE		16,921,507.00
TOTAL		122,404,843.00	TOTAL		122,404,843.00

PLACE : NEW DELHI

DATE : 20.09.2011

AS PER OUR REPORT OF EVEN DATE ATTACHED

SHYAM B. MENON (VICE CHANCELLOR)
AMBEDKAR UNIVERSITY

PROF. SHYAM B. MENON
Vice Chancellor
Ambedkar University, Delhi

ASHA R RUNGTA (CONTROLLER OF FINANCE)
AMBEDKAR UNIVERSITY

M. VERMA & ASSOCIATES,
CHARTERED ACCOUNTANTS

CONTROL
अम्बेडकर विश्वविद्यालय
AMBEDKAR UNIVERSITY, DELHI
सै-9, द्वारका, नं.दि-77/Sec-9, Dwarka, N D-77
वेबसाइट / Website: www.aud.ac.in



SCHEDULES FORMING PART OF FINAL ACCOUNTS
SCHEDULE I- CURRENT LIABILITIES AND PROVISIONS

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PARTICULARS	AMOUNT
Security Deposit	369,675.00
Duties & Taxes	37,944.00
Provisions	1,443,663.00
Audit Fees Payable	220,600.00
Expenses payable	668,184.00
Caution Money	674,000.00
Mess Charges Payable	460.00
CECED Payable	163,755.00
TOTAL	3,578,281.00

SCHEDULE II- FIXED ASSETS

Particulars	Gross Block as on 01.04.2010	Additon During the Year (Charged off to Income Expenditure A/c)	Gross Block as on 31.03.2011
	Amount RS	Amount RS	Amount RS
Furniture & Fixtures	23,430,754.00	6,254,536.00	29,685,290.00
Computer & Peripherals	7,399,107.00	10,139,371.00	17,538,478.00
Office Equipment	1,289,605.00	10,649.00	1,300,254.00
Books	3,834,597.00	5,443,586.00	9,278,183.00
Total	35,954,063.00	21,848,142.00	57,802,205.00

SCHEDULE III - CURRENT ASSETS & LOANS AND ADVANCES

PARTICULARS	AMOUNT
PART-A, CURRENT ASSETS	
TDS Recoverable	42,326.00
Cash in Hand	20,799.00
Bank Balance	16,921,507.00
TOTAL (A)	16,984,632.00
PART-B, LOANS & ADVANCES	
Advance To PWD For Dep Work	25,310,770.00
Advance to staff	1,162,970.00
Advance to Suppliers	10,338.00
Imprest A/c	50,000.00
Telephone Connection Deposit	7,500.00
TOTAL (B)	26,541,578.00
TOTAL	43,526,210.00



SCHEDULE IV - ADMINISTRATION COST

PARTICULARS	AMOUNT
Salary	40,639,993.00
Travelling Allowance	37,312.00
Electricity Charges	2,094,108.00
Water Charges	23,400.00
Telephone and Internet	542,172.00
Pol Charges/Maintenance/Hiring of Vehicle	1,868,942.00
Security	2,117,625.00
Repair and Maintenance	433,369.00
Office Contingency	199,182.00
Library News papers/subscriptions & period	3,863,815.00
Advertisement and Publicity	2,394,138.00
Professional Charges (Honorarium)	573,018.00
Printing	710,120.00
Sanitation	1,622,958.00
Examination expenses	59,832.00
Audit Fees	165,450.00
Student volunteer payment	6,550.00
Medical Expenses	337,147.00
Seminar / Conference / Workshops /Function	1,970,455.00
Consultative / Course / Curricular Selection	1,722,287.00
Adjunct/Visiting/Guest Faculty	822,872.00
Meeting Exps(Statutory Bodies)	161,146.00
Field based Learning	216,065.00
Bank Charges	7,640.00
Repair and Maintenance of Equipments / A	51,493.00
Member ship Expenses	60,000.00
Staff Welfare (Contribution to NPS)	680,895.00
Travelling Expenses	282,478.00
TOTAL	63,689,892.00
LESS : Expenses Payable	2,316,587.00
ADMINISTRATION COST PAID	61,373,305.00

SCHEDULE V- ADVANCE PAID TO STAFF AND OTHERS

PARTICULARS	AMOUNT
Advance with CPWD	25,310,770.00
Advance with Staff Members	1,162,970.00
Advance to Suppliers	10,338.00
Imprest with Staff	50,000.00
Telephone connection Deposits	7,500.00
TOTAL	26,541,578.00
Less : TDS Recoverable	42,326.00
Advance to CPWD	3,924,623.00
Advance to Suppliers	10,338.00
Advance to Staff	520,161.00
TOTAL	4,497,448.00
ADVANCES PAID IN CASH	22,044,130.00



SCHEDULE VI – SIGNIFICANT ACCOUNTING POLICIES AND NOTES TO ACCOUNTS

(A) SIGNIFICANT ACCOUNTING POLICIES

1. Basis of preparation of financial statements

The accounts are prepared under the historical cost concept on accrual method of accounting with generally accepted accounting principles in accordance with mandatory accounting standards and disclosure requirements.

2. Revenue Recognition

Income and Expenditure are accounted for on accrual basis except stated below;

- Fees and other receipts from students & Government Grants are accounted for on receipt basis
- Annual subscription paid for journals is charged off fully in the year of payment.

3. Fixed Assets

- Fixed Assets are stated at cost and are charged off fully in the year of purchase.
- Subscription, fee paid for renewal of license, computer software are charged off fully in the year of payment/purchase.

4. Accounting for Grants

Grant-In-Aid received from Government is recognized in the Income and Expenditure Account as income in the year of receipt.

PLACE : NEW DELHI

DATE :

SHYAM B. MENON (VICE CHANCELLOR)

AMBEDKAR UNIVERSITY

PROF. SHYAM B. MENON
Vice Chancellor
Ambedkar University, Delhi

ASHA R RUNGTA (CONTROLLER OF FINANCE)

AMBEDKAR UNIVERSITY
CONTROLLER OF FINANCE
अम्बेडकर विश्वविद्यालय, दिल्ली
UNIVERSITY, DELHI

सं-9, ... नंका ND-77

बसन्त...

M. VERMA & ASSOCIATES,

CHARTERED ACCOUNTANTS



(B) Notes to Accounts

1. Advance amounting to Rs.15800000.00 was paid to CPWD for providing and Installation of Air-Conditioners during the year 2008-09. Rs.11875377.00 was adjusted during the year 2009-10 against the work entrusted to them on the basis of their letter dated 07.04.2010, however their bills/supporting detail for the supply and Installation of ACs for amount adjusted and for the balance advance amount outstanding Rs.3924623.00 is still awaited. University is in the process to obtain the final bill, however in the absence of same liability if any arise for work conducted by CPWD during the year 2010-11 is unascertainable, hence not provided.
2. Liability for employee benefits on account of Provident Fund, Gratuity, Leave encashment etc. is not ascertained and provided for.
3. Some of the files containing original purchase invoices/bills, proposal for purchase, approval/sanction of competent authority are missing eg. (i) Purchase of Computer and Peripherals from M/s Corporate Infotech for Rs.2657970.00; (ii) Purchase of UPS from M/s Uniline Energy System P Ltd. for Rs.332000.00; (iii) Subscriptions & Periodicals from INFLIBNET for Rs.1025060.00, however the photocopies of the bills are obtained from the respective suppliers and receipt of the materials are confirmed by the stores department.
4. Liability on account of non deduction of tax at source and interest and penalty for non/short deduction of tax at source for discrepancies observed and reported by the auditor is not ascertained and provided for, University is in the process to scrutinize discrepancies reported by the auditors and the suitable liability shall be account for accordingly.
5. Employees Liabilities includes Rs.656437.00 and Rs.680895.00 employee's and employer's contribution towards New Pension Scheme (NPS) as the approval from Directorate of Higher Education is awaited the amount is yet to be remitted to appropriate authority. Amount of interest/penalty, if any, for non remittance at this stage is not ascertainable hence not provided.
6. Advances to Staff and Others are subject to reconciliation and are unconfirmed, consequential impact for the same on income and current assets of the University are not ascertainable.
7. During the year 2009-10 tax amounting to Rs.42326.00 was wrongly deducted at source by Canara Bank while making the payment of interest on FDR. University is exempted from income tax and not required to file Income Tax Returns, however for claiming the refund of said amount, we have initiated the process registration of University's Permanent Account Number under Income Tax Act and to file Income Tax Return.

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PLACE : NEW DELHI

DATE : 20/9/11

SHYAM B. MENON (VICE CHANCELLOR)
PROF. SHYAM B. MENON
AMBEDKAR UNIVERSITY

ASHA R RUNDI (CONTROLLER OF FINANCE)
अम्बेडकर विश्वविद्यालय, दिल्ली
AMBEDKAR UNIVERSITY, DELHI
B-8, हाका, न.द. - 110027/Sec-8 Dwarka, N.D. - 11

DATE OF SIGNATURE : 20/9/11

M. VERMA & ASSOCIATES,
CHARTERED ACCOUNTANTS

Annexure-V

Resol. No. 19/BOM(11)/14.11.2011

To consider re-scheduling of contractual appointment of the Academic Fellows and Research Assistants

(Reference Resolution No. 14/BOM/24.6.2011).

1. In the Board of Management meeting held on 24th June, 2011 it was resolved that as the salary of Academic Fellows are being paid equivalent to initial gross salary of that of Assistant Professor and the increase of DA and other allowances require periodic adjustments and rounding off, their emoluments should be fixed on 1 April of every year and should be changed only after a year.
2. The Advisory Committee for Academic Services, in its meeting held on 17th October, 2011 discussed the above matter and recommended that appointments/renewal of contractual appointment of Academic Fellows/Research Assistants for a period of six months may be made w.e.f. 1st January or 1st July, and wherever the appointment/renewal is for a year it will take effect from 1st of January. Any appointment made after 1st of January or 1st of July will be up to 30th June or 31st of December respectively. This will take care of the periodic adjustments in view of revision of Dearness Allowance. This will also reduce the administrative burden of contractual appointment/renewal throughout the year.

Annexure-VI

Resol. No. 20/BOM(11)/14.11.2011

To consider the proposal for Fee Structure of the MBA Programme

According to the policy of AUD, tuition fee charged from students may range from Rs.1000/- to Rs. 2000/- per credit for the various programmes. Given the policy of the flexible fee structure at AUD, it is proposed that Rs. 2000/- per credit may be charged as tuition fee for the two-year (full time) MBA programme which will be launched by the School of Business, Public Policy & Social Entrepreneurship (SBPPSE) in July, 2012.

- MBA is a professional degree with immediate and high-paying job prospects;
- In general, most applicants and / or their parents appear to have the capacity and willingness to pay higher fee for the programme;
- Relatively higher fee charged from the above candidates could subsidise the less privileged but meritorious students;
- MBA programme entails intense class-room and other forms of teacher-student interaction and also will incur additional expenses towards computing & networking, Library / on line subscription, setting up / administering placement activities and other student / Alumni activities, subscription to various data bases / games, course material etc;
- The Tuition fee charged for MBA programmes by some of the reputed institutions including IIMs, XLRI Jamshedpur, Indian School of Business (ISB) ranges between Rs.10,00,000/- to 20,00,000/-.

In view of the above, it proposed that Rs. 2000/- per credit may be charged as tuition fee for the 108 credit based MBA programme, in addition to fees for other items as listed below:

MBA Fee Structure for 2012-13:

FEE DETAILS	AMOUNT (RS)
Tuition Fee @ Rs. 2000/- per credit	2,16,000
Course Material / Data base etc @ Rs. 500/- per course)	27,000
Placement / Career Counselling	30,000
Deposit (Refundable)	5,000
Total (for two years)	2,78,000

Fee Waivers:

Partial or full fee waivers of 100%, 75%, 50% or 25% may be given to meritorious students, depending on their economic conditions. The University will ensure that no deserving prospective student, as far as possible, is denied the opportunity of studying at AUD just because of his / her inability to pay the fees.

Foreign Students:

Foreign students may be required to pay tuition fee @ US \$ 2000/- per term i.e. US \$ 12,000/- (for two years/ six terms). In addition they will be required to pay Rs. 27,000/- for course material Rs. 30,000/- for career counseling Rs. 5,000/- as refundable deposit.

Annexure-VII

Resol. No. 21/BOM(11)/14/11/2011

To consider and approve the Annual Report of the University for the year 2010-2011.

The Annual Report of the University for the year 2010-2011 has prepared and the same is to be submit for approval of the Hon'ble Chancellor of the University. The Annual Report for the year 2010-2011 may be consider and approve.

Annexure-III**AMBEDKAR UNIVERSITY, DELHI****ANNUAL REPORT****2010-11**

"It is education which is the right weapon to cut the social slavery and it is education which will enlighten the downtrodden masses to come up and gain social status, economic betterment and political freedom."

Dr B.R. Ambedkar

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THE UNIVERSITY

The Bharat Ratna Dr B.R. Ambedkar Vishwavidyalaya (Ambedkar University, Delhi or AUD) was established by the Government of the National Capital Territory of Delhi through an Act of Legislature in 2007 and was notified in July 2008. Mandated to focus on research and teaching in the social sciences and humanities and guided by Dr Ambedkar's vision of combining equality and social justice with excellence, AUD considers it to be its mission to create sustainable and effective linkages between access to and success in higher education. AUD is committed to creating an institutional culture characterized by humanism, non-hierarchical and collegial functioning, teamwork and nurturance of creativity.

Ambedkar University is presently functioning from two distinct campuses:

DWARKA CAMPUS

The Dwarka Campus of AUD is located in Sector 10, Dwarka. AUD shares its campus with IIT. It houses the School of Development Studies, the School of Human Ecology and the School of Education along with Administration and Finance.

KASHMERE GATE CAMPUS

The Kashmere Gate Campus of AUD is located on Lothian Road. It shares this campus with Guru Gobind Singh Indraprastha University and the Indira Gandhi Institute of Technology.

The Ambedkar University, Kashmere Gate campus is in its first phase of development. Extensive renovation has been required to provide 10 functioning classrooms each having a capacity to seat 40-50 students and one large classroom which can accommodate about 130 students.

It currently houses the School of Human Studies, the School of Liberal Studies and the School of Undergraduate Studies. In the course of the next few years the School of Development Studies and the School of Human Ecology will shift to Kashmere Gate.

FUNCTIONING OF AUD

AUD functions through its various Schools and Centres. The Schools that AUD has established so far are the School of Development Studies, the School of Human Ecology, the School of Human Studies, the School of Educational Studies, the School of Liberal Studies and the School of Undergraduate Studies. The following Schools are likely to be established soon: the School of Cultural and Creative Expression, the School of Business Public Policy and Social Entrepreneurship, the School of Law and Governance and the School of Design. These Schools offer undergraduate and postgraduate programmes. M.Phil and PhD programmes are being developed in these areas.

The School of Undergraduate Studies is the academic home of the undergraduate programmes in the social sciences, humanities, mathematical sciences and liberal studies.

AUD is in the process of establishing several Centres for Studies and Research. It has already established a Centre for Early Childhood Education and Development and a Centre for Social Science Research. Other Centres planned for the future are a Centre for Community Knowledge, a Centre for Leadership and Change, a Centre for Equality and Social Justice, a Centre for Engaged Spiritualities and Peace Building and a Centre for Social Applications of Mathematics and a Centre for Publishing.

At present the post graduate programmes offered by the University are:

IMA Development Studies (in the School of Development Studies), MA Environment and Development (in the School of Human Ecology) and MA Psychosocial Clinical Studies (in the School of Human Studies).

From the Academic Session 2010-11 the University offered its first Undergraduate Programmes:

BA Honours with a Major in Economics
BA Honours with a Major in History
BA Honours with a Major in Psychology
BA Honours in Social Sciences and Humanities
BA Honours with a Dual Major

Year 2010-11 has witnessed the launch of MPhil and PhD programmes in various streams of the Schools of the University.

VISION (2010-11)

A commitment to equity and social justice forms the bedrock of the philosophy and values of Ambedkar University, Delhi. As a public institution, AUD sees itself as an instrument of social transformation, focusing on social action at the interface of civil society and the State. The uniqueness of AUD is evident from its basic philosophy, policies and programmes which are spelt out and embodied in the University Act. Accordingly, the University is striving to evolve such policies and programmes of study as would be a qualitative and distinct addition to the national resource base in higher education. The University has, therefore, been concentrating on programmes that are of relevance in the present and future times.

GOALS

The University strives for excellence in higher education in the Social Sciences and Humanities. AUD's main goal is to create sustainable and effective linkages between access to and success in higher education. AUD is committed to creating

an institutional culture characterized by humanism, non-hierarchical and collegial functioning, teamwork and nurturance of creativity.

OBJECTIVES

The University has been entrusted with the task of evolving and imparting comprehensive higher education of excellence with a focus on the liberal arts, humanities and the social sciences. It is mandated to engage in both distant and continuing education. Like any other university pursuing excellence, it is expected to organise advanced studies and promote research, to disseminate knowledge and an awareness of research processes by organising lectures, seminars, symposia, workshops and conferences, and to liaise with institutions of higher learning and research in India and overseas. It is expected to publish research monographs, treatises, books, reports and journals. While furthering these objectives it is also expected to promote cultural and ethical values.

ACADEMIC STRUCTURE

AUD has a faculty structure that allows for full-time, regular core faculty and for part-time, adjunct, visiting faculty. The extended faculty also includes senior postgraduate and research students working as Teaching Assistants.

The University's academic personnel policy has been designed to reflect the concerns stated in the vision statement more effectively than the structures and processes that obtain in many Indian universities.

It shall be the endeavour of the University to ensure that its functions are conducted in a transparent, orderly, fair and just manner, in order to promote a sense of shared governance among all its personnel and develop a new work culture that strengthens and sustains the University's core values and philosophy. While scrupulously adhering to all constitutionally mandated provisions for reservations, it will also endeavour to ensure equal opportunities for all, and in particular, to implement a pro-active gender-sensitive policy in recruitments.

MEDIUM OF INSTRUCTION IN AUD

The medium of instruction in AUD is English. However, it is not an eligibility requirement that students should have studied in the English medium for admission to the Honours programmes at AUD. To encourage students from different backgrounds to apply to AUD, English language is not mandatory in the calculation of marks obtained in the best four subjects. An English Language Proficiency test is administered at the very beginning of the session to identify students requiring special help in English. A special bridge course in English and a preparatory optional course in English are offered to students who need help before they take up the compulsory credit course in English language. Teachers having special training in language teaching are employed to teach these courses.

TEACHING METHODS

The free associative technique in lectures integrates the quest for knowledge with the experiential via the medium of discussions, interactions and dialogue. Class experience is then a co-creation.

A series of minimal readings are taken up per course and developed extensively in class. A constant concern and effort has been made to establish bridges between courses across semesters and disciplines. Different media are actively made use of to facilitate class experience. These include songs, films, poetry, stories and experiential exercises.

MENTORSHIP

Mentorship groups are a space where the students can articulate their anxieties and needs. It is a place of playfulness and relaxation, building proximity and friendships with the teacher and peers.

Mentorship groups are powerful locales for peer learning and exchanges in a close, engaged, ongoing intimacy. The co-constructed nature of knowledge, and the inter-subjective sense of the self, both make themselves available in a small group setting.

ADMINISTRATION

The administration of any institution looks after the day-to-day functioning and is the back bone of that institution. AUD follows a slim and flat pattern of administration.

The staff structure and staffing norms of the University are intended to be performance oriented and driven by results rather than by hierarchies and layers of reporting lines. Most of the personnel engaged by the University would be expected to be trained in multi-tasking and should be able to move both vertically and horizontally.

The University shall endeavour to make most of its senior appointments on fixed tenures. A proportion of two-thirds by contract or on deputation and at least one-third as regular appointments at all levels is being suggested. It is proposed that at any given time, the ratio of fixed tenure appointments to regular appointments would be 2:1.

The University's policies with regard to administrative structures and positions will ordinarily be reviewed every 3 years. However, considering the dynamic and fluid nature of the organisation in its initial phase, the administrative structures and positions are initially to be reviewed after two years.

FINANCE

The Finance Department of AUD is headed by the Controller of Finance. The finance department is responsible for exercising general supervision over the funds of the University and advising it as regards its financial policies.

It also holds and manages the properties and investments of the University and is responsible for the preparation of the annual accounts and the budget of the University and their presentation to the Board of Management after they have been considered by the Finance Committee.

PLANNING UNIT

The Planning Unit of AUD focuses on the planning and development of the university. The Planning Unit is currently overseeing the development of the Kashmere Gate Campus. Among its responsibilities are:

- To plan for the resources required by the university.
- To carve out a road map for future planning.
- To project the future requirements of the University while taking in account the present existing infrastructure.
- To supervise the publications of the University.

GROWTH OF AMBEDKAR UNIVERSITY

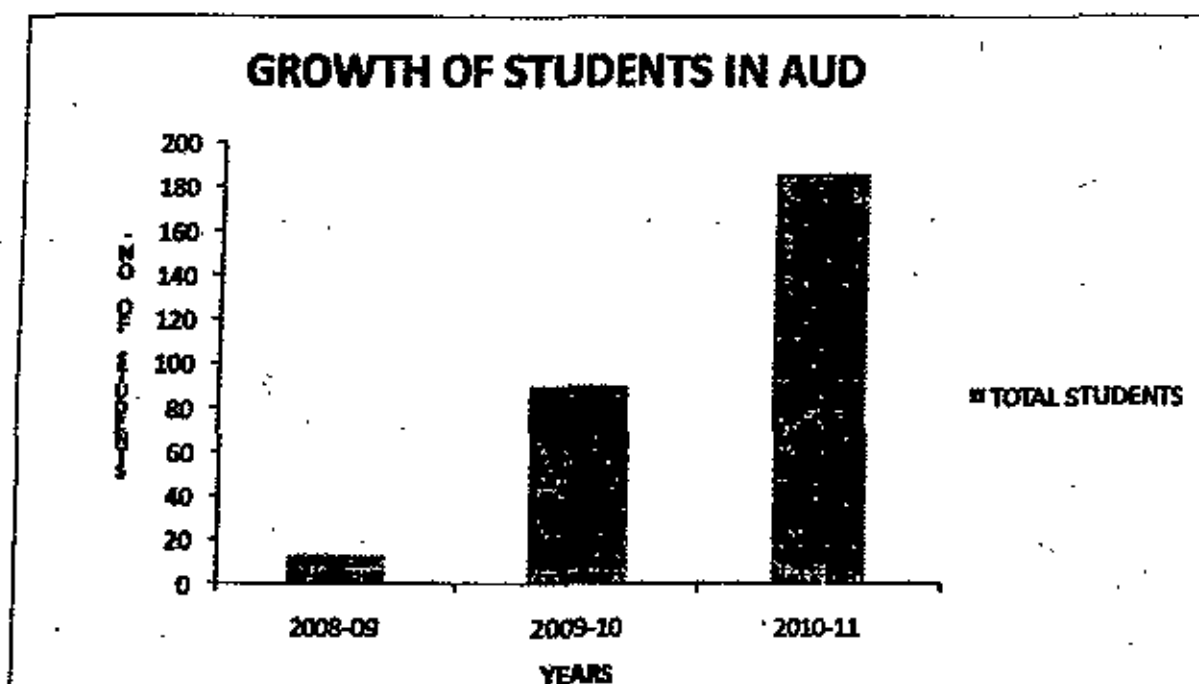
GROWTH IN THE NUMBER OF STUDENTS IN AUD SINCE 2008-09

In the academic session 2009-2010, the University launched three programmes:

- MA Development Studies (School of Development Studies)
- MA Environment and Development (School of Human Ecology)
- MA Psychosocial Clinical Studies (School of Human Studies)

From the Academic Session 2010-11 the University offered it's first Undergraduate programme in which 68 Students were enrolled.

In year 2008-09 there were only 13 students in post graduate level which increased to 90 students in year 2009-10 and 186 students in the year 2010-11.



FACULTY OF AMBEDKAR UNIVERSITY IN VARIOUS SCHOOLS

The task of recruitment in AUD is considered to be a continuous process rather than a one-time affair. AUD's highly-qualified teaching faculty has been drawn from the leading top academic institutions in India and abroad. They have a proven track record of teaching and research excellence, and bring an optimal combination of experience and energy to the University. In a unique institutional arrangement, Faculty members often teach concurrently across Schools, providing greater depth and breadth to each programme of study.

Our faculty has grown rapidly to fulfil the needs of the university in the areas of teaching and research. Currently AUD has 5 Professors, 12 Associate Professors, 32 Assistant Professors, 3 Visiting Professors, 9 Academic Fellows and 5 Research Assistants.

SCHOOLS OF THE UNIVERSITY

AUD functions through its Schools and Centres. The Schools which have been established so far in AUD are:

School of Undergraduate Studies
 School of Development Studies
 School of Human Ecology
 School of Undergraduate Studies
 School of Educational Studies

SCHOOL OF UNDERGRADUATE STUDIES

The School of Undergraduates Studies is the academic home for the undergraduate programmes in the Social Sciences, Humanities, Mathematical Sciences and Liberal Studies. The BA Programme requires 96 credits for a 3-year degree and 128 credits for a 4-year dual degree. The Ambedkar University announced the following BA Honours' programmes for the session of 2010-11

PROGRAMME	DURATION	CREDITS
BA Honours with major in Economics	Three years (Six semesters)	96
BA Honours with major in Psychology	Three years (Six semesters)	96
BA Honours with major in History	Three years (Six semesters)	96
BA Honours in Social Sciences and Humanities	Three years (Six semesters)	96
BA Honours with dual major	Four years (Eight semesters)	128

UNIQUE FEATURES OF BA HONOURS AT AUD

- Choice of a 3-year single major or a 4-year dual major honours degree.
- Flexibility to choose the main subject(s) after one year of study.
- Choice between various combinations of courses.
- A wide range of career-oriented/ special interest courses.
- Special English language enabling courses.
- Individual mentoring of students.

The unique aspect of undergraduate programmes at AUD is that there are multiple exit possibilities enabling students to graduate after three years with a single major or after four years with a dual major. There are common modules for foundational skills comprising language, writing skills, communication skills, analytical reasoning, and a core module in social sciences.

BA (HONS) WITH MAJOR IN ECONOMICS

The BA Honours Programme with a Major in Economics is designed to provide students with a basic but rigorous training in the analysis of the economy, with emphasis on issues confronting developing economies like India.

The course content of the Economics Major is an appropriate mix of economic theory, economic history and quantitative techniques. Through these, students will be exposed to different perspectives within the discipline and also be familiarised with the social and political dimensions of economics.

The emphasis of the programme would be on encouraging students to develop their analytical faculties rather than promoting learning by rote or through uncritical acceptance of received wisdom.

A student graduating with an Economics Major can study further for a Masters in Economics or Development Studies and undertake specialised research subsequently. He/she may also choose to pursue from a variety of careers in the corporate sector, the government and non-government sectors as well as in the media and journalism.

To be eligible to apply for admission to a BA Honours in Economics, the student must have studied mathematics as a subject at the 10 + 2 level.

BA (HONS) WITH MAJOR IN HISTORY

The BA Honours Programme with a Major in History has been designed to stimulate the students' interest in India's varied pasts in relation to wider global trends.

The programme aims to introduce students to different ways of accessing the past that make the study of history exciting and rewarding.

Special attention is paid to aspects of equity, marginality, gender, environment and cultural diversity. Issues and questions are framed in historical contexts to foster a critical approach to the production of knowledge.

Students are encouraged to participate in field trips and excursions. They explore cinema and visual culture and undertake projects that enhance critical thinking and develop analytical skills.

BA (HONS) WITH MAJOR IN PSYCHOLOGY

The programme has been designed to provide not only a foundational expertise in psychology in keeping with other major universities in India, but also to go beyond and take into account the many applications of the discipline across a variety of

fields of engagement. Courses in this programme will be in the areas of cognition, understanding personality, social psychology and the history of psychology.

There will also be courses on counselling, organizational psychology and practising psychology in India. Students doing a Major in Psychology will also be encouraged to take courses from other disciplines. Students with a Major in Psychology from AUD would be eligible to study for a Masters in Psychology or Gender Studies or Masters in other allied areas at AUD and elsewhere.

The course would also be good facilitator of insight and contextualizing in applied areas such as mass media, advertising, education, child development, counselling and organisational psychology.

BA (HONS) WITH SOCIAL SCIENCE & HUMANITIES

This unique programme allows students to explore three disciplines within the School in some depth over the course of three years while obtaining the wider benefits of a liberal arts education. Students opting for SSH must complete the Foundation courses and fulfil other general requirements of the BA Honours degree. In addition, they must also complete 16 or more credits in each of the three disciplines of their choice in the domains of the Humanities, Social Sciences and Mathematical Sciences.

Social Science and Humanities students converting their degrees to BA Honours with a Dual Major will have a complete Honours degree with an unusually strong subsidiary component that would make them attractive candidates for postgraduate/professional programmes and for employment.

BA (HONS) WITH A DUAL MAJOR

Ambedkar University offers a unique BA Honours degree with a Dual Major in two disciplines. This is a four year programme which has the advantage of letting the students specialize in two areas of study and choose the second area of majoring as late as the third year

BA Honours with a Dual Major is a unique programme not offered by any Indian university and is considered akin to the American system. This means that students with this degree can apply to US universities straightaway as they will have fulfilled the 16 year requirement to apply to US universities without having to necessarily enrol for a year in postgraduation as is the usual practice.

Students who wish to pursue this programme will have to take additional courses worth 32 credits in the fourth year. This is after they complete the requirements of the 3-year BA Honours with a major in any one subject. Students may also extend the Social Sciences and Humanities (SSH) Major to a four-year Dual Major degree by completing, in addition to SSH Major requirements, all requirements of a single-subject Major (i.e., the prescribed 48 credits in that subject).

The students of SSH will thereby receive a degree entitled BA Honours with a Dual Major [single-subject] with Social Science and Humanities. SSH students intending to enrol in a fourth year of study will receive counselling from SUS faculty and staff.

SCHOOL OF DEVELOPMENT STUDIES

The two-year MA programme in Development Studies attempts to equip students with the ability to address the challenges of development and public policy as they affect developing societies. It includes 12 taught courses - 9 core courses of 4 credits each and 3 elective courses of 2 credits each. The programme also includes research course work, research practicum, workshops, seminars, and field based activities.

This Inter-disciplinary programme is based on the foundations provided by the disciplines of Sociology, Political Science and Economics and is taught and guided by faculty from a whole range of disciplines and specializations in the social sciences. The course structure has been developed after external consultations, experiences of prior years of operation, and student and faculty feedback. Each module is a combination of teaching, student presentations and class discussion. The students are expected to read up to 100 pages for each module and make a specified number of presentations during the courses. Some courses also involve fieldwork and presentations of projects based on the fieldwork.

Students are evaluated on the basis of participation and presentations in the class, analytical written tests, group discussions, fieldwork/ project work, term papers and other exercises designed by teachers. Ability to work in a group or design an investigative project is also tested and evaluation often includes peer assessment as well. The mode of assessment and its patterns differ from course to course.

Each student also takes up a research project at the beginning of semester 3. The project leads to a dissertation which is submitted during semester 4.

For every student of MA Development Studies, there is a summer internship carrying two credits which is scheduled during the summer between semesters 2 and 3. This is meant to be an opportunity to bridge theory and practice. Each student is attached to an organization or to research teams at AUD to work on a problem related to development. In the year 2010-11, some of the organizations which provided internship opportunities to our students were-WWF, Green Peace, PRADAN, Indian Agriculture Research Institute, Engineer's India Ltd, Centre for Science and Environment, Naandi foundation, New Trade Initiatives, Society for Promotion of Wastelands Development, Chirag, Manipur Pollution Control Board, Nagaland Empowerment of People through Economic Development, Action India, Toxic Links, New Trade Union Initiative, Hazards Centre, etc.

RESEARCH FOCUS

The School is in the process of evolving a research focus that enables student internship, dissertation areas and faculty interests to come together by the identification of certain core areas in development. One such area that has emerged is in Land, Labour and Livelihoods, which encompasses a wide range of possible topics for research. This process was set in motion in early 2011. As part of this, a group of scholars from the University of Cape Town, South Africa, visited SDS in March 2011 to discuss possibilities of research cooperation and student and faculty exchanges. This process has been taken forward subsequently.

SCHOOL OF HUMAN ECOLOGY

The aim of the two-year (four semesters) M.A. programme in Environment and Development is to foster interdisciplinary research and learning in the areas at the intersection of human society, non-human beings and the biophysical environment.

The programme provides students with a broad-based perspective based on an informed understanding of both the social and natural sciences relevant to environmental issues. It is designed as an interdisciplinary programme that will give a rigorous understanding of the academic debates in social-environmental studies as well as those emerging from the world of practice.

Teaching is done through class lectures, workshops, seminars and field projects. The programme emphasizes research and analytical skills, oral and written communication, and exposure to development practice. A strong component on research methodology comprises courses in basic research skills, quantitative and qualitative techniques, social science research, ecological sampling and participatory rural appraisal.

The M.A. programme includes nine 4-credit core courses (totalling 36 credits) and several specialized electives of 2 or 4 credits each, (totalling 18 credits completed over the third and fourth semesters). In addition, a graded internship of 2 credits and dissertation research of 8 credits are included as field practicum. The total number of credits required to be completed for the programme is 64.

Each 16-week, 4-credit course is structured around 12 topics (or modules) that will be taught in 4 hours each week. 2-credit courses are taught in modules of 2-hours each week for 16 weeks. Study modules are a combination of lectures, student presentations and class discussion. The students are expected to read up 50-100 pages for each module. Guest faculty are frequently invited to teach to supplement the knowledge and expertise available within the School and provide exposure to the world of practice.

Students are evaluated on the basis of participation in class discussions and quality of presentations in the class, written tests (mid-terms or finals), quizzes, take-home assignments, field projects and term papers. The pattern of assessment may differ

from course to course. In a 4-credit course, no single assessment can be of greater than 40% weight. In a 2-credit course, no single assessment can be of weight greater than 50% of the final grade in the course.

The dissertation project (worth 8 credits) is undertaken during the last 2 months of the fourth semester under the close guidance of a faculty supervisor. However, students are encouraged to start working on their project from the third semester onwards. The dissertation involves rigorous exploration of a research question connected to coursework and may involve fieldwork. The aim is to develop the ability of students to independently formulate a research question, implement suitable methodology and write up a research paper, which may be publishable. The grading of the dissertation project is carried out by a Committee comprising the supervisor and an additional faculty member from within the School or from other Schools of AUD.

SCHOOL OF HUMAN STUDIES

The School of Human Studies (SHS) has brought together, perhaps for the first time in the history of Indian academia, an interdisciplinary group of psychologists, social anthropologists, sociologists, philosophers and social work professionals to address in their teaching, issues related to the individual - 'of' and 'about' lives; to her environment - the family, community, changing lifestyles, relationships; sexuality, the changing character of workplaces, stages of life (particularly old age), etc. SHS seeks to foster deliberate and critical engagement with particular realities that belong to our times even as it preserves and privileges human experience, thinking and dreaming. The term 'human' recalls its liveability through states of loss of awareness in traumatic moments to states of ecstatic joyfulness. Thinking around it at best gives us deep experiences of insights which can bring an impersonal history into a rich, potential and newly created present. The term is ever-renewable; hence the potential approaches in the plural through "Studies." SHS has thus been envisioned on a set of conceptual axes and associated practices which inform the thrust of its programmes as well as the processes of teaching, mentorship, assessment, research and engagement with the fields of practice in society. Currently, the school offers an MA in Psychology (Psychosocial Clinical Studies) and an MA in Gender Studies to engage with some crucial issues about human lives and life stories with a playfulness not ordinarily associated with higher education.

MA PSYCHOLOGY (Psychosocial Clinical Studies)

A clinical sensibility that privileges listening - never away from analytic ideals of empathy and exploration, an interdisciplinary shade that allows for critical thinking, and a process of teaching and learning grounded in fundamentals of relationality, while awaiting the arrival of dreaming and playfulness define this pioneering programme of the School.

For its takers, this programme aspires to enable a journey that combines the understanding of the inner forces of the psyche with structural and political processes that come together in the formation of human subjectivity. Thus a constant emphasis in the School is on a broadened vision of a psychology that locates the individual embedded in a social matrix and keeps the social and the individual integrated. A second emphasis is on a clinical receptivity that can sensitively listen to and engage with the absent. In this 'the margins' and 'the symptom' – and both can easily be missed or dismissed – acquire a special meaning within the School's agenda. This cannot be complete without reaching out to the margins we create and carry first and foremost within our own selves: the un-lived, interrupted, exiled inside. The programme thus encourages entry into the intricate inner worlds that are the subtexts to individual life stories, the continuities and ruptures, and through such explorations also locate symbols of human resilience and creativity that lend a renewed meaning to lives.

MA GENDER STUDIES

The MA in Gender Studies is envisioned as one of the Masters' programmes offered by the School of Human Studies at AUD. The central concern of the programme offered in the School of Human Studies is to enable students to develop a sound interdisciplinary understanding of their field that generates a capacity for theory, research and intervention in human experience. The student who has graduated from the School should be able to understand human experience and functioning as determined simultaneously by micro and macro processes.

Consistent with this ideal, the Masters programme in Gender Studies in AUD is envisaged as a thoroughly interdisciplinary course drawing upon gendered analysis from the sciences, social sciences and the humanities. It will enable students to understand the situatedness of an individual within a family, society, culture, nation state and global politics. It considers the understanding of psycho-social and subjective aspects of the gendered experience along with the development aspects to be integral to any gender studies programme. This is also one of the unique strengths of this programme.

The course will combine theory, method and contemporary context to develop the student's sensitivity towards the workings of gender in wide ranging domains. It will challenge students to think about the operation of gender at multiple levels through an exhaustive set of readings and stimulating experiences. Alternative pedagogical devices will be employed to make the learning experience enriching and enjoyable. Students equipped with the degree would be able to intervene in multiple settings that require a focus on the inequities associated with gender such as governmental and non-governmental organizations, educational settings, mental health and disability, the media as well as academia.

SCHOOL OF LIBERAL STUDIES

The School of Liberal Studies (SLS) houses all the conventional social science disciplines. In addition it also includes disciplines that were conventionally located within the Faculty of Arts or Humanities.

The School launched four disciplines based Masters Programmes – in Economics, English, History and Sociology. Each programme consists of 64 credits that are to be completed in 4 semesters. Admissions to these programmes are done by conducting an entrance test and then an interview (the latter only in English and Economics). The total number of seats for each programme is around 42.

MA IN ECONOMICS was conceptualized with a view to providing students with a rigorous and in-depth advanced training in economic analysis, with particular emphasis on equipping them with the ability to comprehend and think about contemporary economic issues including the challenges confronting developing countries like India. The programme will draw on different theoretical perspectives and traditions within the disciplines, as well as creative pedagogical approaches to offer a well-rounded training that would enable the student to simultaneously achieve a variety of objectives.

These include developing a socio-political and historical perspective on the economy and the discipline that analyses it; mastering the quantitative techniques that are used extensively in economic analysis; understanding and learning to analyse contemporary economic issues at the global and national level; and acquiring skills for absorbing and communicating economic ideas. The programme will offer both the core and optional papers. The core papers will consist of papers on Macroeconomics, Microeconomics, trade and capital, capitalism and colonialism, case studies of the Indian economy and also on research methods. The core courses will be accompanied by a number of elective papers.

SYSTEM OF ASSESSMENT

- All the masters programme at SLS practice a system of assessment that is continuous and consists of multiple assessment situations.
- It includes essay writing, tutorials, written tests, oral presentations, group discussions and project work.
- No single assignment accounts for more than 40% of the total assessment.
- The system of assessment is not geared exclusively towards evaluation. Constant learning is also an integral part of the assessment system.

- The two – evaluation and learning – are expected to proceed simultaneously and feed into each other.
- The system of continuous assessment ensures that the students are able to monitor the growth of their learning and abilities.

SCHOOL OF EDUCATIONAL STUDIES

The School of Educational Studies (SES) at AUD is envisioned as a forum for understanding education in its historical and contemporary context through engaged scholarship and practice.

The School proposes to establish programmes in Education that can bridge the gap between the theory and practice of education. This School will have postgraduate and doctoral programmes attempting to foster greater convergence between the study of education as a social phenomenon and the preparation of professional educators.

The programmes offered would endeavour to provide students a rigorous social science perspective for analysis and research, and at the same time enable them to strengthen their understanding and knowledge in areas like pedagogy, curriculum, policy and planning, through an active involvement with the practice of education in its multiple locations.

SCHOOL OF DESIGN

The School of Design at Ambedkar University is envisaged to be closely integrated with its other Schools, particularly as it will be one of the very few schools of design in India to be part of a University system. Design is inherently multi-disciplinary and it is necessary for the principles of design both to be enriched by interactions with other disciplines to be found in a university and in turn for these disciplines to be enriched by the principles of design.

The School being located within AUD would conform to the larger vision of the institution and respond to the needs and concerns of the common people. The design of public services and their delivery should become one of the primary activities of the School. In so far as it concerns itself with objects, these would also be such as are used by common people.

As there is a great shortage of designers in our country, the undergraduate programme should train students in traditional design skills with the emphasis on social design, arising from the projects that students undertake. The traditional avenues of employment will continue to be available to our graduates, if this is indeed what they wish to do. The postgraduate programmes are where the unique vision of the school will get articulated and will set it apart from the other design institutions in the country.

SCHOOL OF BUSINESS, PUBLIC POLICY AND SOCIAL ENTREPRENEURSHIP

Within the ambit of the larger philosophy of AUD, the School of Business, Public Policy and Social Entrepreneurship (SBPPSE) will be set up to promote research and to provide professional education and training in the field of Business Administration, Public Policy and Social Entrepreneurship. It will be founded on the belief that there is a need to develop a holistic approach to business and profit within the larger context of the wider society and economy.

SBPPSE will develop an environment of research and teaching of business, public policy and social entrepreneurship in an integrated fashion, rather than viewing them in compartmentalized or isolated categories. Its programmes will impart professional education to future managers, to upgrade the knowledge and skills of the personnel already engaged in the corporate world and to develop motivation and skills in the participants to launch new enterprises, especially in the social sector. The programme will focus equally on wealth generators as well as wealth managers by sensitizing them to wider socio-economic issues, creating awareness of the importance of enterprise creation (and employment generation), and by imparting knowledge and skills to future/current managers for dealing with Corporate Social Responsibility (CSR) related issues of the corporate world in a professional manner.

SCHOOL OF CULTURE & CREATIVE EXPRESSIONS

The proposed School of Culture and Creative Expressions is visualised as a location that intends to implement a new vision of art pedagogy and practice in the country. It will be based on the principle of integration, creative overlap and interdisciplinary processes between varied creative practices and disciplines, including historical, theoretical and critical engagements.

The aim of the School would be to further interdisciplinary paradigms, engaged scholarship and a greater amalgamation of various art forms and their theory and practice. The School will have equal focus on training in theory and practice of the arts.

SCHOOL OF LAW, GOVERNANCE & CITIZENSHIP

The proposed School of Law, Governance and Citizenship (LGC) would be a teaching-cum-research institution that focuses on the social meaning of law. The programmes to be offered by the LGC will approach the study of law as a social science/ humanities discipline, and develop a deeper understanding of issues of law, governance and citizenship in their social, political, and historical settings.

While a number of programmes in universities are engaged in the study of law/ governance, their approaches are rooted in the often different methods of study and

perspectives of each discipline. There is clearly a need for an interdisciplinary school that focuses on the interface between law, state/non-state institutions, and social processes.

MPHIL AND PHD IN AUD

The degrees of Master of Philosophy and Doctor of Philosophy may be granted by any School of the University, except for the School of Undergraduate Studies.

All academic matters relating to the MPhil and PhD degrees shall be overseen at the University level by the **Standing Committee (Research)** of the Academic Council (SCR). Until the Academic Council constitutes the SCR, the Vice Chancellor shall appoint an interim SCR which shall perform all functions of the SCR.

All academic matters relating to the MPhil and PhD degrees shall be overseen at the School level by the **Research Studies Committees (RSC's)**. RSC's shall be sub-committees of the **Boards of Studies of Schools** (henceforth, 'Boards'). Each RSC shall regulate MPhil and PhD programmes of the research subjects/fields /areas within the domain of the respective School. (There may be more than one RSC per School). The composition of the RSC's shall be determined by the Academic Council. In the interim, until this decision is made by the Academic Council, each RSC shall have the following composition:

- The Dean of the School (Chair);
- Four members of the School who are eligible to be recognized as doctoral Supervisors and are appointed or concurrently appointment to the School to be nominated by the Board
- One member from outside the School to be nominated by the Vice Chancellor.

CRITERIA OF ADMISSION

- Admissions to MPhil and to PhD for those who do not have an MPhil Degree shall occur once in each year, in June-July.
- PhD admissions for those who have an MPhil shall occur throughout the year.
- The number of seats for MPhil in each School shall be determined by the SCR on the recommendation of the RSC and shall be announced/advertised prior to the commencement of admission procedures.
- The number of seats available in the PhD programme in each School may vary from year to year depending on the number of recognized supervisors in the faculty and the maximum number of doctoral students that they are allowed to supervise at a given point of time.
- This number shall be determined by the RSC and announced periodically round the year.

PHD STUDENTS ENROLLED FOR THE ACADEMIC YEAR 2010-2011:

- 2 scholars were admitted to PhD Hindi (School of Liberal Studies)
- 2 scholars were admitted to PhD Environment and Development (School of Human Ecology)
- 2 scholars were admitted to PhD Development Studies (School of Development Studies)
- 1 scholar is enrolled in the PhD programme in History (School of Liberal Studies)
- Admission of scholars to PhD programmes in Psychology (School of Human Studies) and Education (School of Educational Studies) has been deferred.

CENTRES OF THE UNIVERSITY

AUD proposes to set up Centres for research, documentation and training. Centres will work in areas of contemporary importance and will be linked with the University's vision of its academic and research programmes. There is a plan to set up a Centre for Leadership and Change, a Centre for Equality and Social Justice, a Centre for Engaged Spiritualities and Peace Building, a Centre for Social Applications of Mathematics. A Centre for Publishing is also being planned. Two Centres are currently operational.

CENTRE FOR EARLY CHILDHOOD AND EDUCATION

The Centre for Early Childhood Education and Development was established by the Board of Management of Ambedkar University Delhi on 6 June 2009. It was formally launched on 12 October 2009. CECED is a unit within the organizational structure of AUD, with close links with AUD Schools.

CECED has been engaged in the following activities in 2010-2011:

"Preparing Teacher Educators for Early Childhood Education"

This study was co-funded by AUD and the National Council of Teacher Education (NCTE) and conducted in partnership with Indus World School of Education and Research (IWSER) and ICF International. The study was initiated in March 2010 and presently has been sent for printing. The primary objective of the study is to review the availability, coverage and nature of pre-service professional education provisions for teachers in ECCE. The study also assesses the feasibility of a proposed modular course for different categories of personnel involved in this area. The study surveyed ECCE pre-service teacher education institutions on a sample basis from four regions in the country. It reviewed the nature of the provisions in terms of contents, methodology, materials, human resource and institutional capacity with the objective of assessing the extent to which these cater to the emerging requirements of ECCE across public, private, and voluntary sectors.

The study has brought to the fore several issues as well as certain recommendations which need to be understood and addressed, not only in the context of the present scenario with respect to ECCE, but also with a future perspective. The emerging areas of concern are with regard to the availability and coverage of Teacher Training Institutions, the norms and mechanisms for the regulation of teacher education in ECCE, the curriculum and transaction methods employed for teacher education and the working of open and distance education programmes.

The main recommendations of the study relate to the appropriate duration of teacher education courses, ensuring equitable access with quality, strengthening regulation of standards, improving practice teaching, involving teacher educators in

curriculum planning as well as upgrading of the curriculum and maximizing the potential of open and distance education.

LINKED FOR LEARNING

This action research is coordinated and led by CARE, India in Bihar for which CECED is providing technical support and strategic guidance. The study, which is of 16 months duration, was initiated in the month of November 2010. This is a pilot project, which aims to demonstrate a model which will enable a seamless transition from home to preschool and from preschool to primary grades for children from marginalized communities in the State of Bihar. It intends to bring the three significant sites in the Early Childhood continuum—home, preschool and primary school together since child centred environments at these three sites in a complementary mode strengthen early learning and provide a sound foundation for life. The role of CECED is to provide technical support and strategic guidance to the project for effective implementation as well as to collect evidence through empirical research for larger advocacy related to effectiveness of the interventions implemented in the study. The project is being carried out in two ICDS blocks. The plan is to set up 50 model Anganwadi Centers at two demonstration sites - Kalyanpur and Khanpur blocks of Samastipur District, Bihar.

CECED has prepared (a) the Baseline Framework and report (b) an Early Stimulation package as well as a calendar in Hindi for caregivers and Anganwadi workers for children from birth to 3 years.

CECED's annual report was published in 2010 to highlight its vision and structure, as well as the main activities / projects undertaken by the team.

CENTRE FOR COMMUNITY KNOWLEDGE

The Centre for Community Knowledge (CCK) has been planned as a premier institutional platform in India in interdisciplinary areas of the Social Sciences, to link academic research and teaching with dispersed work on Community Knowledge.

At a time when development is faced with multiple challenges, the Centre for Community Knowledge aims to document, study and disseminate the praxis of community knowledge, so as to improve our understandings of our living heritage, and integrate community-based knowledge in the available alternatives. Drawn from living experience, and mostly unwritten, oral and practice based, community knowledge can play a crucial role in these transformative times in a number of areas, including the empowerment of marginal communities, adapting to environmental impacts and changes in public policy.

INTERNATIONAL CONFERENCE ON DIGITAL ARCHIVING

The International Conference on Digital Archiving was held at AUD, Dwarka campus from 15 to 18 December 2010. The conference deliberated on systems and methods of collecting, documenting and applying knowledge located and embedded in people and communities.

The conference was organised in collaboration with the Anthropological Survey of India, and the Centre for Culture, Resource and Training. Key-note speakers were Dr Mark Turin, Director of the World Oral Literature Project, Museum of Archaeology and Anthropology, University of Cambridge, Dr Kim Fortun of the Department of Science and Technology Studies, Rensselaer Polytechnic Institute, New York, and a speaker from the Anthropological Survey of India.

CENTRE FOR SOCIAL SCIENCE RESEARCH METHODS

As a new university with a mandate to do research and teaching in the social sciences and the humanities, Ambedkar University set up the Centre for Social Science Research Methods in 2010-11.

It is envisaged that the Centre will design and offer innovative programmes (stand-alone and plug-in courses and workshops) in social science research methods for university students and faculty members from within and outside AUD, provide support in research methods to the University's on-going academic and research programmes, document the teaching and transaction of research methods courses and workshops, provide consultancy services for designing small and large research studies and facilitate collaboration and networking among scholars associated with programmes in research methods in other universities and research institutions in India and abroad.

To actualize some of these goals, the Centre organized its first Social Science Research Methods Festival in partnership with Sage India and the Indian Council of Social Science Research, from 11 to 31 December 2010.

RESEARCH METHODS FESTIVAL

Forty scholars (pre-doctoral, doctoral and postdoctoral researchers, and early career faculty) belonging to diverse social science disciplines and interdisciplinary areas and coming from academic institutions from all over India participated in the Festival. The Festival sought to create a relaxed and supportive environment for discussing issues of gathering, interpreting, articulating and publishing research findings.

Two short courses 'Doing Social Science Research' and 'Scientific and Research Writing' and four workshops, namely, 'Participatory Research Methods', 'Ethnography', 'Computer Assisted Qualitative Data Analysis: Atlas.ti' and 'Statistics for Social Sciences' were offered

It also presented a unique opportunity for interacting with stalwarts of social sciences through public lectures, and celebrating learning with screening of films relevant to research methods in the social sciences, music performances, book readings, a two-day exhibition of books pertaining to social science research methods, and visits to the field to re-search contexts, organized on the Festival theme of 'Exploring the Margins'. The kind of response that was received from the participants and resource persons alike has convinced us about both the need and the demand for making the Social Science Research Methods Festival a regular feature.

STUDENTS OF THE UNIVERSITY

--ENROLLMENT OF STUDENTS IN UNDERGRADUATE PROGRAMMES

SESSION	PROGRAMME	NO. OF STUDENTS
1. 2010-11	BA DEGREE	68

ENROLLMENT OF STUDENTS IN POSTGRADUATE PROGRAMMES

ACADEMIC SESSION	PROGRAMME	NO. OF STUDENTS
1. 2010-11	MA DEVELOPMENT STUDIES	40
2. 2010-11	MA ENVIRONMENT AND DEVELOPMENT	25
3. 2010-11	MA PSYCHOLOGY IN (PSYCHOSOCIAL CLINICAL STUDIES)	41
4. 2010-11	MA GENDER STUDIES	12

STUDENTS IN RESERVED CATEGORY

TOTAL STUDENTS ENROLLED IN UNDERGRADUATE PROGRAMME FOR THE SESSION OF 2010-11

ENROLLMENT			SC			ST			OBC	FOREIGN	PO/PH
BOYS	GIRLS	TOTAL	BOYS	GIRLS	TOTAL	BOYS	GIRLS	TOTAL			
26	42	68	1	1	2	2	2	4	23	0	0

TOTAL STUDENTS IN ENROLLED IN POSTGRADUATE PROGRAMME FOR THE SESSION OF 2010-11

ENROLLMENT			SC			ST			OBC	FOREIGN	PO/PH
BOYS	GIRLS	TOTAL	BOYS	GIRLS	TOTAL	BOYS	GIRLS	TOTAL			
21	97	118	1	3	4	1	6	7	4	2	0

PHYSICAL ASSETS OF THE UNIVERSITY

LIBRARY

The AUD Library started functioning in the year 2009-10 and is still in an expanding phase. In the year 2009-2010 it had acquired a reasonably good collection of books, journals (print and online), e-books in various disciplines in social studies and humanities as well as membership of library networks. At present the Library has 5 Library Professionals and 2 Library Attendants.

LIBRARY COLLECTION

The Library has a total collection of more than 9400 books. The Library subscribes to 98 print Journals (National & International), 12 E-Journals database and 120 E-Books from the Cambridge University Press.

FEATURES OF THE LIBRARY

- Access of subscribed print / online journals is available on intranet at Ambedkar University's Dwarka Campus only.
- Online search for books and journals is available through OPAC.
- Computerized Online Public Access Catalogue (OPAC) can be accessed from the University library only but soon it will be available on the web.

Total number of accessioned books	9400
Total number of subscribed print journals	98
Total number of subscribed e-journals	12
Total number of subscribed e-books	120

LIBRARY PERIODICALS COLLECTION

Presently Ambedkar University Library has been subscribing to a total of 10 Newspapers in English and Hindi and one weekly employment newspaper. Besides this, the AUD Library is also subscribing to 8 Magazines for the Periodical Section.

Total number of subscribed English newspapers on a daily basis	7
Total number of subscribed Hindi newspapers on a daily basis	2
Number of subscribed weekly newspapers	1
Total number of subscribed popular magazines	8

LIBRARY TIMINGS

The Library remains open from 8.00 am to 5.30 pm throughout the year including Saturdays, Sundays and holidays. The Library is closed only on the 3 National Holidays and on Holi each year.

Monday to Friday	08.00 am – 08.00 pm
Saturday and Sunday	10.00 am – 05.00 pm

LIBRARY MEMBERSHIP

All students, faculty members and employees of the University are eligible for membership of the Library. The registered members are issued a Borrower's Ticket corresponding to their entitlement. Ambedkar University Library issues bar-coded library identity cards for students and faculty. The numbers of books that can be borrowed by the members are as follows:

Category of Members	No. of Borrower Tickets issued
Faculty members/Officers	- 10
Postgraduate students	5
Undergraduate students	3

IT SERVICES

Internal mail facility to all the students, faculty and staff of AUD.

COMPUTER LABS

There are two computer labs at present, one at the Dwarka Campus and other at the Kashmere Gate Campus, which are fully functional for the students:

AT DWARKA:

- There are 40 computers installed at present in the computer laboratory.
- Intranet accessibility.

AT KASHMERE GATE:

- All internet connections are through WiFi.
- 100 MBPS connection through NIC (National Information Centre).
- There are 27 computers installed in the computer lab next to the Library.
- Accessibility of e-journals.
- There are 30 computers installed at present in the teaching laboratory.

HOSTEL ACCOMMODATION

Hostel accommodation for the students of AUD is available at Dwarka Campus. AUD is managing the hostel for the students of IIT as well as AUD. AUD is also in the process of arranging hostel accommodation for the students at the Kashmere Gate Campus. All reserved category students of AUD who had applied for hostel accommodation have been offered admission during the academic year 2010-11.

CATEGORY-WISE ENROLLMEN FOR HOSTEL ACCOMMODATION**BOYS HOSTEL**

Institute	General	SC	ST	OBC	Total
AUD	5	1	2	-	8
IIT	20	1	1	-	22

GIRLS HOSTEL

Institute	General	SC	ST	OBC	Total
AUD	13	-	7	-	20
IIT	15	-	2	-	17

APPENDIX A**OFFICERS OF THE UNIVERSITY**

(As on 31 March 2011)

Professor Shyam B. Menon
Dr Achal Kumar Malik

Vice Chancellor
Registrar

Deans of Schools of Studies

Professor Shyam B. Menon

Dean, School of Educational Studies

Professor Ahmed Raza Khan

Dean, Student services /Academic Services

Professor Chandan Mukherjee

Dean, School of Development Studies and
School of Human Ecology

Professor Ashok Nagpal

Dean, School of Human Studies

Chairperson of Centres of Studies

Professor Venita Kaul

Centre for Early Childhood Education and
Development

Other Officers of the University

Professor Vijaya S. Varma

Advisor Planning

Mr C.M. Sharma

Advisor Finance

APPENDIX B**UNIVERSITY BODIES**

The University has a number of regulatory bodies responsible for its functioning. These include the University Court, the Academic Council, the Board of Management and the Finance Committee.

UNIVERSITY COURT

The University Court is the supreme authority of the University and has to meet once a year on a date fixed by the Board of Management to consider a report on the working of the University during the previous year together with a statement of receipts and expenditure, the balance sheet as audited and the financial estimates. It has powers to review the broad policies and programmes of the University and suggest measures for the improvement and development of the University. The University Court is yet to be constituted. The composition of the Court is as under:

- a. Chancellor
- b. Vice-Chancellor
- c. Five eminent persons in the disciplines of Social Sciences and humanities, nominated by the Government
- d. Secretary (Finance) to Government
- e. Secretary (Higher Education) to Government
- f. Secretary (Department of Art and Culture) to Government
- g. A representative of the University Grant Commission
- h. Registrar of the Guru Gobind Singh Indraprastha University;

BOARD OF MANAGEMENT

The Board of Management is the executive body of the University and is in charge of the general management and administration of the University. During the period under consideration the BoM met three times

ACADEMIC COUNCIL

The Academic Council is the principal academic body of the University. The Academic Council controls and regulates and is responsible for the maintenance of standards of instruction, education and examination in the University. The Academic Council of the University is in the process of being formed.

FINANCE COMMITTEE

The Finance Committee is a statutory body of the University. It examines and scrutinizes the annual budget of the University and makes its recommendations on financial matters to the Board of Management.

APPENDIX C**ADMISSION PROCESS**

For the Academic Session 2010-11 various entrance tests and interviews for admission to the BA, MA, MPhil and PhD programmes were conducted and the students were admitted according to the prescribed rules and regulations of the University.

RESERVATION OF SEATS

Admissions is made in accordance with the reservation policies of the Government of the National Capital Territory of Delhi in respect of various social groups and other categories as applicable to institutions of higher education.

ADMISSION NOTICE

Admissions are notified through advertisement in selected leading newspapers and the University website.

ADMISSION TO FOREIGN STUDENTS

A few seats in every programme have been kept aside for foreign students. Overseas candidates are admitted through a procedure decided by the respective schools of study. Eligibility in terms of academic qualifications for foreign students is the same as for Indian students. However, foreign students must produce evidence of proficiency in English.

ELIGIBILITY FOR MA PROGRAMMES

The eligibility criterion for all courses is a Bachelor's degree in any discipline with 55% marks (or an equivalent grade) from a recognized University.

FEE WAIVERS AND SCHOLARSHIPS

A large number of partial and full Fee Waivers and Scholarships are available. The University ensures that no deserving prospective student, as far as possible, is denied the opportunity of studying at AUD just because of his/her inability to pay the fees.

SELECTION OF CANDIDATES

The selection of candidates for admission to various programmes of study is made after written test and interview. Only candidates shortlisted on the basis of the written test are invited for interview.

ELIGIBILITY REQUIREMENTS FOR APPEARING IN THE ENTRANCE TEST

The eligibility criteria (for both general and reserved category candidates) for appearing in the entrance examination are formulated as per the guidelines laid down by the University in this regard. Candidates who are appearing in their respective qualifying examination are also permitted to appear in the entrance examination.

In the event of selection, however, their admission is subject to their passing and securing the prescribed percentage of marks in the qualifying examination and submission of all documents, including the final mark-sheet of the qualifying examination at the time of admission.

REGISTRATION

Candidates who are selected for admission are required to complete all registration formalities within the time schedule drawn up by the University.

ELIGIBILITY FOR BA PROGRAMMES

Class 12 with a minimum of 55% marks from a recognized Board. Relaxation of 5% is given to candidates belonging to SC, ST and Physically Disabled (PD) categories. For students from Boards of Examination where only grades are assigned, a suitable procedure for calculating equivalent percentages on the basis of the grade point averages will be worked out. Students who wish to pursue Economics major must have studied Mathematics as a subject at the 10+2 level.

APPENDIX D**ACTIVITIES OF THE UNIVERSITY****THE AMBEDKAR MEMORIAL LECTURE**

The Dr B.R. Ambedkar Memorial Lecture Series was established in 2009 by Ambedkar University, Delhi. Under this series, an eminent scholar or public personality from among the best minds across the world is invited to share his/her thoughts and reflections through an annual public lecture, particularly in areas of knowledge and experience such as democracy, constitutional law, equity, social justice, social transformation, social action and engaged spiritualities. The Ambedkar Memorial lecture has already become a note-worthy event in the intellectual calendar of the city.

The second lecture "Citizenship as a Claim or Stories of Dwelling and belonging among the Urban Poor" was delivered by Veena Das, Krieger-Eisenhower Professor of Anthropology at the Johns Hopkins University.

FAIZ AHMED FAIZ CENTENARY CELEBRATIONS

A day-long seminar on Faiz Ahmed Faiz was organized on 18 March, 2011 to mark his birth centenary. The speakers included Namvar Singh, Azad Zaidi, Manglesh Dabral, Awadesh Tripathi and Ashok Vajpeyi. This was followed by a musical rendition of Faiz's poetry and ghazals by Dr Sumangala Damodaran of AUD.

INTERNATIONAL CONFERENCE ON PUBLISHING IN INDIA: CHALLENGES AND OPPORTUNITIES

A two-day international conference "Publishing in India: Challenges and Opportunities" was organised on 17-18 January 2011 at the India International Centre. The conference was intended as a venue for an exchange of information between academics and practitioners from the publishing world, broadly defined to include peripheral activities such as 'outsourcing' which are gradually blurring the traditional boundaries of the publishing industry. The successful conference provided great insights that the university hopes to coalesce into an effective framework for the publishing programmes that it is planning to launch in the near future.

SCIENCE RESEARCH METHODS FESTIVAL

Ambedkar University Delhi organised its first Social Science Research Methods Festival from 11 to 31 December 2010. Using a theoretically sound, interdisciplinary and hands-on approach, and organised in the form of blocs, this residential festival presented a unique opportunity for young social science scholars (doctoral and

postdoctoral researchers and young faculty) to come together to acquire basic skills and understanding in designing and conducting research, to gain exposure to specific qualitative and quantitative methods and applications, and/or to discuss and tackle, in a relaxed and supportive environment, issues of interpreting, articulating and publishing research findings.

CULTURAL EVENTS

On 27 October 2010, Shri Kalamandalam Ramankutty Nair, the doyen of *Kathakali*, performed at the AUD campus. The University in collaboration with Spic Macay organized a sarangi recital by Shri Kamal Sabri of the *Sania Gharana* in the University campus on 7 April 2010. This recital was very popular with the students.

A DIALOGUE WITH AMBEDKAR ON A UNIVERSITY

On the occasion of Ambedkar Jayanti on 14 April 2010, AUD organised a round table with a group of eminent scholars to reflect on Ambedkar's life, and his values and legacy that needed to be built upon. This was inspired by a desire to probe deeper into Ambedkar's views on a university, the pedagogy of education that had a significant influence on him, and the linkages that a university, teachers and students should have with society. The event was held at the India International Centre.

SUFI MUSIC

A lecture demonstration of Sufi Music by Shri Madan Gopal Singh on 17 March 2010 was a huge success with the students and faculty. Shri Singh traced the evolution of Sufi music and demonstrated the variations in the rendering of Sufi music that are to be found in different regions of North India.

ACADEMIC AND OTHER EVENTS

8 September 2010: Talk by Professor Nell Altman on "How psychoanalysis can contribute to social justice"

22 September 2010: Screening of "Bicycle Thieves"

10 November 2010: Screening of "The Great Dictator"

19 January 2011: Talk by Dr Ananya Vajpeyi, Assistant Professor, Department of History, University of Massachusetts at Boston on "Ambedkar's New Buddhism: Reinterpreting 'Suffering' for Modern India".

AUDACITY

On 7 February 2011, a winter inter-college students' festival was held by the School of Development Studies and the School of Human Ecology, at the AUD Dwarka campus. It was felt that one of the ways in which students from undergraduate institutions in

different parts of the city might get interested in applying for our programs was if some of them participated in events organized on our premises around themes of academic interest and relevance to our programs. In keeping with this, the festival was organized with the intention of bringing students together to deliberate on the environmental and developmental issues that face us, with a focus on the city of Delhi.

A total of nine competitive events, three formal and six informal, were organized during the day. The formal events were Debate (The Big Debate), Paper Presentation and Quiz, which were aimed to centre on academic issues. The informal events were a Street Play Competition (Halla Bol), Short Film Competition (Nazariya), Photo Exhibition (Eight by Ten), Art Exhibition (Hues), Ad-Mad and Rangoli competition. A poster design competition was held among AUD students, and the final design selected was by Vaibhav Puri, a final year SDS student. This design was also adopted for the certificates for various events.

Around 20 colleges in Delhi University, many from IP University, the National Law Academy, Delhi College of Engineering and other institutions participated in the events. More than 50 student volunteers from AUD, from undergraduate as well as post-graduate programs actively made the preparations.

Teams from AUD participated in all the events, for the first time being able to showcase their talents in an organized manner.

As a spinoff from this event, the various societies that have been set up in AUD also got activated and were able to interact as well as compete with teams from institutions where such activities have been going on for a long time.

An aspect that needs to be emphasized here is that the whole event was organized entirely through volunteers, with a reasonable budget, with no sponsorships, which made it a unique collective event.

Overall, it may be said that Audacity 2011 resulted in information about AUD, its programs, particularly the MA programs in SDS and SHE, being widely publicized all around the city's undergraduate institutions.

VASTRA SAMMAN 2010

The Community Service Cell of AUD participated in the event "Vastra Samman 2010" organized by Goonj in September 2010. Student volunteers from AUD collected old clothes, newspapers and other reusable material from students and faculty and made it available to Goonj at their collection centre in Sarita Vihar, Delhi.

FACULTY ACCOMPLISHMENTS

SCHOOL OF HUMAN STUDIES

CONFERENCE/ SEMINAR PAPER/ARTICLES:

- Haq, Shifa "Resistance in Mourning: Psychoanalysis and political struggles" XXth Annual Convention of the National Academy of Psychology (NAOP) & International Conference on Mind, Culture and Human Activities: Psychological Sciences in the 21st Century, Zakir Hussain Centre for Educational Studies, JNU, 2 – 15 December 2010
- Kamei, Gangumei "Gaan-Ngai festival of Zeliangrong: A psychological interpretation", XXth Annual Convention of NAOP, JNU, December 2010
- Kamei, Gangumei "Psychology from the eyes of tribal people: A Rongmei perspective" Refresher Course in Psychology, CPDHE, 2010
- Karollil, Mamtha "The Slave/Submissive and the Master Within Them: Autonomy, Consent and the Feminist Discourse" XIIIth IAWS National Conference on Women's Studies, Resisting Marginalizations, Challenging Hegemonies: Re-visioning Gender Politics 21-24 January, 2011 Wardha
- Johri, Rachana "Mothering daughters and the Fair and Lovely path to success", Advertising and Society Review, 2011
- Johri, Rachana "Maternal body" module for the MA in Women and Gender Studies course for IGNOU, 2011
- Johri, Rachana "Freud and Psychoanalysis", ILLU, University of Delhi
- Johri, Rachana "Mothering daughters and the fair and lovely path to success", Indian Association of Womens' Studies, Wardha, 2011
- Oberoi, Honey "Landscaping a perspective: India and the Psychoanalytic Vista", in Vth ICSSR review of Psychology In India, Vol. 4, (ed. G. Misra), Theoretical and Methodological Developments, pp.1-92, Pearson: New Delhi, 2010
- Oberoi, Honey "Psychobiography: A Reflection on the writings of Ashis Nandy", in workshop on Psychobiography and Methodology, jointly organized by CSCS Bangalore and AUD, Delhi, August 2010

- Oberoi, Honey, "Living, dreaming and Unconscious Creativity", International Seminar, Dream Catchers: Literature, Analysis and Creativity, Udaipur 9-12 December 2010
- Pandey, Anshumita 'Inside Out' and 'Outside In': Two Routes into Suffering", XXth Annual Convention of NAOP & International Conference on Mind, Culture and Human Activities: Psychological Sciences in the 21st Century, Zakir Hussain Centre for Educational Studies, JNU 12 – 15 December 2010
- Singh, Rajinder "From Trauma to Healing: Reflections from a Psychoanalytic Perspective", XXth Annual Convention of NAOP & International Conference on Mind, Culture and Human Activities: Psychological Sciences in 21st Century Zakir Hussain Centre for Educational Studies, JNU 12 – 15 December 2010

ORGANISATION OF SYMPOSIUM

Oberoi, Honey Organized and Chaired the Symposium "Psychoanalytic Responses to Emotional Pain and Social Suffering", International Seminar, National Academy of Psychology JNU, 16 December 2010

LECTURES DELIVERED OUTSIDE AUD

- Johri, Rachana, "Teachers as Mentors", Workshop on Counselling skills for teachers CPDHE, University of Delhi, July 2010
- Johri, Rachana "Teachers as Counsellors", Lecture CPDHE, University of Delhi, March 2011
- Johri Rachana "Feminism and Counselling, Lecture at Counselling Programme, Centre for Human Ecology, TISS
- Oberoi Honey, "Women and Mental Health", lecture International Women's Day delivered at Daulat Ram College, University of Delhi, 8 March 2011

ACADEMIC ACTIVITIES

- Special lecture by Dr. Anja Kovacs on 'Empowering Reproduction? Researching Motherhood as a Paradigm for Activism among Women in the Hindu Nationalist Movement' on 23 November 2010.
- Faculty seminar on 'Network Limited': People's Movements and Online Activism in India' by Dr. Anja Kovacs on 19 November 2011.
- "NREGA and its Impact on Women", Ritikha Khara 28 February 2011

- "Beginning with, but, Going Beyond Numbers" Padmini Swaminathan 24 March 2011
- Gender Studies team visit to Wardha, Maharashtra (MGAHVV) to attend the XIIIth National Conference of Indian Association for Women's Studies, 21-24 January 2011
- "Voices that reason: exploring collaborative spaces between psychology and sociology" Professor Ari Sitas, 5 April 2010
- "Qualitative research, impossible inter-subjectivities and (un)told narratives: the sound of silence and the silence of sound" Anup Dhar, 27 April 2010
- "Psychobiography as methodology" (as part of the ICSSR project on 'the experience of gendered violence: developing psychobiographies), - co-organized by cusp - studies in culture-subjectivity-psyche: rethinking mental health at the Centre for the Study of Culture and Society, Bangalore and SHS AUD) 16-17 August 2010
- "Interdisciplinary linkages of psychoanalysis and the extension of analytic thought to community based work - thus emphasizing necessary engagement with life at the margins" Neil Altman 27 August 2010
- Book release, "ghazal@english junction and "Poetry and psychoanalysis: what do they have in common?" Salman Akhtar. 12 December 2010 (book release presided over by Shri Nida Fazli and talk moderated by Dr. Sudhir Kakar)
- Seminar "Health Psychology" Dr Swapna Gupta 11 and 13 January 2011
- Interaction with professionals from the NGO Kilkari on working with homeless children: 10 February 2011
- Seminar "Dialogics of self, the Mahabharata and culture: the history of understanding and understanding of history" Lakshmi Bandlamudi, University of New York: 14 February 2011

OTHER ACTIVITIES

- Road-shows were conducted by some faculty members and students between 20 and 31 March 2011 in some University of Delhi Colleges, viz Miranda House, Ramjas, Daulat Ram and Bharati.
- Students and faculty of GS attended a 3-day seminar cum workshop organized jointly by IAWS and IP college, University of Delhi on the theme,

"Cultures of Resistance: The Women's Movement as Performance" at IP College 8-10 September 2010.

- Inter-University Dialogue between National Law University and AUD on 'Towards Creating Gender Friendly Campus Spaces: Understanding Sexual Harassment, Dignity and Freedom at NLU' on 8 April 2011.

SCHOOL OF LIBERAL STUDIES

CONSULTATIVE AND REVIEW MEETINGS ORGANIZED BY THE SCHOOL

- The English faculty held three Consultative Meetings. The Meetings were organised mainly to discuss the structure and the curriculum of the Master's Programme in English. The First Consultative Meeting was held on 15 February 2011. Professor G.J.V. Prasad (JNU), Dr Saugato Bhaduri (JNU), Professor Rukmani Bhaya Nain (IIT Delhi), Professor Hosang Merchant (University of Hyderabad) were the four experts invited. The Second Consultative Meeting was held on 23 February 2011. Professor Harish Narang (JNU), Professor Alok Bhalla (Central University, Hyderabad), Professor Talsha Abraham (Jesus and Mary College, University of Delhi) and Dr. Hephzibah Israel (SOAS, University of London) were the four experts invited. The Third Consultative Meeting was held on 24 March 2011. Professor Alok Bhalla was the only expert invited.
- The Faculty of Sociology organized a consultative meeting for the development of curriculum for the masters programme in Sociology on 7 March 2011. The meeting was attended by Professor Satish Deshpande (Delhi School of Economics), Professor Maitrayee Choudhary (JNU), Professor Surinder Singh Jodhka (JNU), Professor Biswajit Das (Jamia Millia Islamia) and Professor Neeta Mathur (IGNOU). The meeting was also attended by faculty members from other disciplines within the School. The meeting discussed proposals and highlighted the possibilities and challenges before offering the programme. Prior to convening the consultative meeting, the faculty members of Sociology had held extensive discussions with eminent Sociologists Professor Andre Beteille and Professor Yogendra Singh in order to elicit their views on the nature, basic outline and the general orientation of the Masters programme in Sociology to be offered by AUD.
- The Faculty of Hindi organized its first consultative meeting to discuss the nature of MPhil/PhD programmes in Hindi, at the Dwarka campus of AUD on 10 May 2011. It was attended by Professor J.M. Parakh (IGNOU), Dr. Anil Kumar Rai (University of Delhi), Dr. Alpana Mishra (University of Delhi), Dr Devendra Chaube (JNU) and Dr C.D.Yadav (Jamia Millia Islamia).

SCHOOL OF DEVELOPMENT STUDIES

EXTERNAL SPEAKERS AND SEMINARS

- "Inquilab 2.0? Or Why the Revolution in India has not (yet) come online?" Dr Anja Kovacs, Centre for Internet and Society, 9 November 2010.
- "Whither Nature and Culture? Environmental Imaginaries of Ethnic Movements in Latin America", Dr. Kiran Asher, Clark University USA, 16 November 2010.
- Panel on 'Poverty, Environment, Governance', Dr Ashwini Chhatre, University of Illinois at Urbana Champaign and Bharati Chaturvedi, CHINTAN, 18 January 2011.
- 'The Elephant and the Indian', Vivek Menon, Wildlife Trust of India, 25 January 2011.
- 'Glocalisation and the Phenomenon of Dera in Punjab', Dr. Santosh Kumar Singh, AUD, 15 February 2011.
- 'Caste and Capital', Harish Damodaran, The Hindu, 11 March 2011.
- 'Performing Identity: A closer look at a Hakhun Tangsa Festival in Assam, India', Dr Meenaxi Barkataki-Ruscheweyh, Academy of Sciences, Gottingen, Germany, 15 March 2011.
- 'State Market Society: India versus China' Pranay Sharma, Outlook

SCHOOL OF HUMAN ECOLOGY

PEER-REVIEWED PUBLICATIONS

- Negi, R (2010) 'Neoliberalism, Environmentalism and Urban Politics in Delhi', In W. Ahmed, A. Kundu and R. Peet (eds.) *New Economic Policy in India: A Critical Analysis*. New York: Routledge, 179-198.
- Negi, R (2011) 'The Micropolitics of Mining and Development in Zambia: Insights from the Northwestern Province', *African Studies Quarterly*, 12 (2): 27-44.
- Negi, R (2011) 'Mining Boom, Capital and Chiefs in the "New Copperbelt"', in A. Fraser and M. Larmer (eds.), *Zambia, Mining and Neoliberalism: Boom and Bust on the Globalized Copperbelt*. New York: Palgrave-McMillan, 209-236.
- Shahabuddin, G. (2010). *Conservation at the Crossroads: Science, Society and the Future of India's Wildlife*. Permanent Black & New India Foundation.
- Shahabuddin, G. & M. Rao. (2010) Do Community-Conserved Areas Effectively Conserve Biodiversity? *Global Insights and the Indian Context*. *Biological Conservation* 143: 2926-2936.
- Singh, P (2010). "Flood Control in North Bihar: An environmental history from the 'Ground-Level' (1850-1954)" in Deepak Kumar, V. Damodaran & Rohan D'Souza (eds.), *The British Empire and the Natural World: Environmental Encounters in South Asia*, OUP.

PAPERS PRESENTED

- Hemlata Deyi, O * Phoomdis and its Impact: A Socio-cultural System of the Karang fishermen of Manipur", *Inter-Congress in Anthropology: 2011 (INCAA)*, ASI, Kolkata.
- Kumar, R and Shahabuddin, G, "What determines woodpecker community structure? Management-induced habitat effects on woodpecker abundance, richness and community composition in dipterocarp forests, northwestern India", *Annual Meeting of the Association for Tropical Biology and Conservation*, Bali, Indonesia, July 19-23, 2010.
- Negi, R, "Capital and Rural Labor in Zambia", *Annual Conference of the Association of American Geographers*, Washington, DC, 18 April 2010.
- Negi, R, "Environment, Development, and Learning", *Workshop on Cities, Environment and Social Justice*, Saral-IDS Sussex, New Delhi, 2 February 2011.

- Negi, R, "Rethinking Modern Environments: Technology and the Wish Images of Development", National Seminar on Environment, Society and Technology, Punjab University, Chandigarh, 24 March 2011.
- Shahabuddin, G, "Do Community-Conserved Areas Effectively Conserve Biodiversity? Global Insights and the Indian Context", Annual Meeting of the Association for Tropical Biology and Conservation, Bali, Indonesia, July 19-23, 2010

RESEARCH PROJECTS

- Kabra, A, "Study of the impact of conservation-induced displacement on host community livelihoods in Kuno sanctuary", Madhya Pradesh (Funding from Ashoka Trust for Research in Ecology and the Environment, Bangalore).
- Kabra, A, "Three Narratives of Forest Dependence on the Periphery of Kuno Wildlife Sanctuary: Exploring Changing Patterns of Resource Use", (Funding from Society for Promotion of Wasteland Development, New Delhi).
- Shahabuddin, G, "Assessing Endangered Species in Human-Modified Landscapes: Great Slaty Woodpecker in Sub-Himalayan Uttarakhand", India (Funding from WWF-India, Delhi).

TALKS/GUEST LECTURES

- Negi, R, "A Necessary Evil? Contemporary Perspectives on Mining, Environment, and Development", Lecture at Indian Institute of Science Education and Research, 9 February 2011
- Negi, R, "Rethinking Modern Environments: Technology and the Wish Images of Development", National Seminar on Environment, Society and Technology, Punjab University, Chandigarh, 24 March 2011

POPULAR ARTICLES

- Negi, R, "Tracy Strange and Anne Bayley, Sustainable Development: Linking Economy, Society, Environment", The Book Review 35(6): 10-11

GUEST LECTURES (JOINTLY WITH SDS)

- "Earth, Water and Humans", S.K. Tandon, University of Delhi, 25 August 2010

- "Understanding Adaptation to Global Change", Marcus Moench, Institute for Social and Environmental Transition, Boulder, Colorado USA, 7 September 2010
- "The Border Roads Organization at work in the Himalayas", Anu Sabhlok, Indian Institute of Science Education and Research, Mohali, 14 September 2010
- "From Conservation to Clearance: The Indian Environmental Regulatory Framework in the Era of Globalisation", Kanchi Kohli, Kalpavriksh, 21 September 2010
- "Integrated Approaches to Environment and Sustainability Research", Gordon Hickey, McGill University, Canada, 27 October 2010
- "No Sustainable Development without Sustainable Urbanisation" Shipra Narang, 2 November 2010
- "Inquilab 2.0 Or Why the Revolution in India has not (yet) come online?", Anja Kovacs, Centre for Internet and Society, 9 November 2010
- "Whither Nature and Culture? Environmental Imaginaries of Ethnic Movements in Latin America", Kiran Asher, Clark University USA, 16 November 2010
- Panel on "Poverty, Environment, Governance", Ashwini Chhatre, University of Illinois at Urbana Champaign and Bharati Chaturvedi, CHINTAN, 18 January 2011.
- "The Elephant and the Indian", Vivek Menon, Wildlife Trust of India, 25 January 2011.
- "Glocalisation and the Phenomenon of Dera in Punjab", Santosh Kumar Singh, AUD, 15 February 2011.
- 'Caste and Capital', Harish Damodaran, The Hindu, 11 March 2011.
- 'Performing Identity: A closer look at a Hakhun Tangsa Festival in Assam, India', Dr Meenaxi Barkataki-Ruscheweyh, Academy of Sciences, Gottingen, Germany, 15 March 2011.

APPENDIX E**MEMBERS OF THE BOARD OF MANAGEMENT**

Professor Shyam Menon	Chairperson
Professor N.R. Madhava Menon	Member
Dr Kiran Datar	Member
Professor Armaity Desai	Member
Shri Anand Prakash, Principal Secretary (Higher Education) Government of National Capital Territory of Delhi	Member
Shri P.K. Thrapathi, Principal Secretary (Finance) Government of National Capital Territory of Delhi	Member
Professor Ahmed Raza Khan	Member
Dr A.K. Malik, Registrar	Secretary

Three meetings of the BoM were held during the period under consideration

The 7th meeting of the Board of Management was held on 31.05.2010

The 8th meeting of the Board of Management was held on 16.06.2010

The 9th meeting of the Board of Management was held on 15.03.2011

ACADEMIC COUNCIL

The Academic Council of the University is in the process of being formed.

APPENDIX F

MEMBERS OF THE FINANCE COMMITTEE

Professor Shyam Menon	Chairperson
Dr Kiran Datar	Member
Shri Anand Prakash, Principal Secretary (Higher Education) Government of National Capital Territory of Delhi	Member
Shri P K Tripathi, Principal Secretary (Finance) Government of National Capital Territory of Delhi	Member
Professor A R Khan, Dean	Member
Dr A.K. Malik, Registrar	Special Invitee
Shri. CM Sharma, Advisor Finance	Special Invitee

The Finance Committee held 2 meetings during the period of 2010-11.

The 3rd meeting of the Finance Committee was held on 29.10.2010

The 4th meeting of the Finance Committee was held on 14.03.2010

APPENDIX G

MEMBERS OF THE ESTABLISHMENT COMMITTEE

Professor Shyam Menon
The Vice-Chancellor of the AUD

Chairperson

Dr. Kiran Datar

Member

One member of the Board of Management to be nominated by it

Two members nominated by the Vice Chancellor

Professor A.R Khan
Dean Students Service

Member

Professor Ashok Nagpal
Dean SHS

Member

Dr A.K Malik

Member Secretary

A total of three EC meetings were held during the period under consideration on the following days:

2nd meeting of Establishment Committee was held on 29.04.2010

3rd meeting of Establishment Committee was held on 31.05.2010

4th meeting of Establishment Committee was held on 27.07.2010

APPENDIX H

TEACHERS OF THE UNIVERSITY

FACULTY IN SCHOOLS AND CENTRES

PROFESSORS	SUBJECT	SCHOOLS
Shyam B Menon	Education	SES
Salil Misra	History	SLS
Chandan Mukherjee	Economics	SDE/SHE
Ashok Nagpal	Psychology	SHS
Geetha Venkataraman	Mathematics	SUS
Honey Oberoi Vahali	Psychology	SHS
ASSOCIATE PROFESSORS	SUBJECT	SCHOOLS
Ghazala Shahabuddin	Ecology	
Asmita Kabra	Ecology	SHE
Sumangala Damodaran	Economics	SDS
Subrata Kumar Mandal	Economics	SDS
Satyaketu Sankrit	Hindi	SUS/SLS
Gopal Ji Pradhan	Hindi	SUS/SLS
Diamond Oberoi Vahali	English	SUS/SLS
Anup Kumar Dhar	Psychology	SHS
Sanjay Kumar Sharma	History	SUS/SLS
Dhirendra Datt Dangwal	History	SUS/SLS
Rachana Johri	Psychology	SHS
Surajit Mazumdar	Economics	SUS/SLS
ASSISTANT PROFESSORS	SUBJECT	SCHOOLS
Praveen Singh	History	SHE
Oinam Hemlata Devi	Anthropology	SHE
Preeti Mann	Anthropology	SDS
Aruna Kumar Monditoka	Political Science	SDS
Anirban Sengupta	Sociology	SDS
Ivy Dhar	Political Science	SDS
Wnick Mitra	Psychology	SHS
Neetu Sarin	Psychology	SHS
Anshumita Pandey	Psychology	SHS
Deepti Sachdev	Psychology	SHS
Thokchom Bibinaz Devi	Psychology	SHS
Rohit Negi	Geography	SHE
Lovitoli Jimo	Sociology	SHS
Rachna Chaudhary	Psychology	SHS

Shubhra Nagalia	Psychology	SHS
Gangmumei Kamei	Psychology	SHS
Mamatha Karollil	Psychology	SHS
Radhika Govinda	Psychology	SHS
Sanju Thomas	English	SUS/SLS
Bhoomika Meiling	English	SUS/SLS
Usha Mudiganti	English	SUS/SLS
Vinod R.	Psychology	SHS
Santosh Kumar Singh	Sociology	SUS/SLS
Gunjeet Aurora	English	SUS/SLS
Rukmini Sen	Sociology	SUS/SLS
Yogesh Snehi	History	SUS/SLS
Anil Persaud	History	SUS/SLS
Akha Kaihrii Mao	Education	SES
Manasi Thapliyal Navani	Education	SES
Minaketan Behera	Economics	SUS/SLS
Tanuja Kothiyal	History	SUS/SLS

VISITING PROFESSORS

Venita Kaul, Director	CECED
Adarsh Sharma	CECED
Denys P. Leighton	SUS/SLS

ACADEMIC FELLOWS

Parul Taneja	CECED
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RESEARCH ASSISTANTS

Shyamolima G. Choudhury	SUS
Punita Mathur	CECED
Ashis Roy	SHS
Rajinder Singh	SHS
Shifa Haq	SHS

APPENDIX I

FINANCIAL STATEMENT FOR THE YEAR 2010-11

DETAILS OF RECEIPT AND EXPENDITURE

PARTICULARS	Rs. in Crores	
	PLAN	NONPLAN
A. RECEIPT	9.59	---
Grant in Aid from DHE, Government of NCT, Delhi received under BE 2010-11 including the balance carried forward from the financial year 2009-10.	—	—
B. INTERNAL RESOURCES	1.12	---
EXPENDITURE	10.70	---

For the financial year 2010-11

Note: The Annual accounts have been duly audited by the CA and the ELFA audit is yet to commence.

Minutes of 6th Meeting of the Establishment Committee held on Wednesday, the 9th November, 2011 at 11:30 A.M. in the Ambedkar University, Delhi (Committee Room) Sector-9, Dwarka, New Delhi-110077

Present:

Professor Shyam Menon, Vice Chancellor	Chairperson
Dr. Kiran Datar	Member
Professor Ashok Nagpal	Member
Professor Chandan Mukherjee, Registrar	Member Secretary

Special Invitees:

Professor A.R. Khan
Smt. Asha R. Rungta

Dr S K Pulist, Dy Registrar (Admn) assisted the Committee.

01 Considered confirmation of the Minutes of the Fifth Meeting of the Establishment Committee held on 23rd June, 2011, and

Resolved to confirm the Minutes of the Fifth Meeting of the Establishment Committee held on 23rd June, 2011, as circulated. It was noted that the Minutes were placed before the Board of Management in its meeting held on 24th June, 2011 and were ratified.

02 Considered the report on the action taken on the Minutes of the Fifth Meeting of the Establishment Committee held on 23rd June, 2011, and

Resolved to approve the report on action taken on the Minutes of the Fifth Meeting of the Establishment Committee held on 23rd June, 2011.

03 Noted the current status of staff engaged on a short term basis against non-teaching posts sanctioned by Board of Management.

The Establishment Committee noted the current status of the staff engaged on a short-term basis against non-teaching posts sanctioned by Board of Management (*Annexure-A*). It was desired that the place of posting in case of all these employees may also be mentioned in the status report.

The Committee further noted the engagement of Dr Rachna Gupta, Part Time Physician on retainership basis. It was desired that a Register be maintained in order to assess the utilization of service extended by the
-----Doctor.-----

The Committee decided to evolve an Appraisal Form and reporting structure for all contractual Staff. A column on integrity of the official will also find place in the Appraisal Form. In the exceptional cases of those whose performances have exceeded expectations, a monthly additional incentive of upto 5% may be considered by the Selection committee based on the appraisal, giving full justification of such a decision. The Annual Confidential Report Form may also be evolved for positions of Assistant Registrar and above employed on contractual as well as on deputation basis. It was decided that the Format available with the Registrar may be customized to suit the requirement of AUD and sent to the Deans and members of the Establishment Committee for review.

The Committee resolved to seek opinion from a legal expert in service matters on the legal implications of the engagement of staff on long term contract basis and also on the terms of contract.

It was further resolved that the retired persons may be engaged by the University as Consultants only upto the age of 65 years. In exceptional cases, engagement beyond 65 years of age may be considered by the Establishment Committee.

The Committee resolved to review the retainership of Sh. P B Vijay, Advisor Campus Development. It was desired that PWD may be approached to provide an Assistant Engineer (Civil) on deputation basis to AUD keeping in view the developmental activities being carried out at Kashmere Gate Campus.



04 Noted the status of recruitment of non-teaching posts

The Establishment Committee noted the current status of recruitment of non-teaching posts (*Annexure-B*). It was further noted that the offer letters were sent to seven candidates as against the advertised number of 5 positions. Two of the candidates were offered the position of Assistant Registrar against Research/Planning Officer and System Administrator (IT) respectively. However, one of the candidates Sh. Saqib Aziz did not join.

05 Noted the Status of appointment for the positions of Registrar and Deputy Registrar, and

The Establishment Committee noted the status of appointment for the positions of Registrar and Deputy Registrar and urged an expeditious completion of the selection process (*Annexure-C*).

06 Revision of Educational Qualifications and Experience for the position of Junior Executive, and

Resolved to approve the revised educational qualifications and experience for the position of Junior Executive as under:

Junior Executive

Essential Qualifications:

Graduate with 5 years of experience in Administration/Accounts/Secretarial/Stores/Purchase work in an institution of higher education/Government/Research establishment/Corporate Sector/NGO, and with good knowledge of computer applications.

Desirable Qualifications:

- i) Commerce graduate with sufficient experience of working as Cashier/preparing accounts on accrual system/double entry

system and knowledge of Government accounting rules/procedures and experience of keeping the accounts in Tally Software System/SAS qualified.

- ii) Possessing organizational skills with sufficient experience of administrative rules/ procedures/ purchases/ estate maintenance/stores.
- iii) Experience in a junior supervisory position.

It was resolved to issue fresh advertisement for the above position in view of the revised eligibility criteria.

07 Review the status of remuneration paid to the staff engaged on contractual basis in different capacities, and

Resolved to approve the proposal of enhancement of monthly remuneration by 10% in the case of staff engagement extending beyond one year (with usual breaks) on contractual basis as Assistant Registrar, Office Secretary, Assistant (PR), Caretaker, Assistant Caretaker, Junior Executives, Junior Executive (IT), Senior Consultants, Consultants, Part-time Mali and Part-time Plumber (Annexure-D).

08 Review Datar Committee Report on Organizational, Structure and Personnel Policy, and

The Committee noted that the Datar Committee Report has an in-built provision of review after a period of 2 years. However, it was observed the structure as delineated by Datar Committee is still not in place. In view of this, the Committee desired that the deployment of staff in the light of the Report of the Datar Committee may be examined by a Committee comprising of Registrar, Controller of Finance and one of the Deans nominated by the Vice-Chancellor which should also highlight the structural issues and problem areas, if any.



The Committee noted that there were no regular recruitments and, therefore, decided that a Committee may also be constituted to decide on service conditions of the staff seeking suggestions from

- ~~Schools/Centres/Divisions and frame Recruitment Rules urgently so~~ that action on regular recruitment of staff could be initiated.

The meeting ended with a vote of thanks to the chair.

Handwritten signature and date: 11/11/11

Annexure-A
Item No. 3/EC(6)/09.11.2011

~~Noted the current status of staff engaged on short term basis against non-teaching posts sanctioned by DOM~~

The Establishment Committee has authorized the Vice Chancellor to decide and appoint persons against the administrative positions which are required to be filled urgently on deputation/contract, keeping in view the qualifications suggested by Datar Committee. The process of filling up vacant non-teaching posts, as per the qualifications, experience and other details approved by the Establishment Committee, is underway, and the current status is given in a separate agenda item.

The administrative positions, which were to be filled urgently on contract basis for smooth running of the University have been filled up on the recommendations of the Selection Committee and with the approval of the Vice-Chancellor for a period of 3 months/6 months. A list of the employees presently working in the University is as under:

Sl. No	Position	Name of employee	Consolidated Salary (in Rs.)	Term upto
1	Assistant Registrar	Mr. Rajeev Kumar	30,000	10/01/2012
2	Senior Consultant	i) Mr. B.B. Kaul ii) Dr. Mita Sinha	25,000 25,000	18/02/2012 23/02/2012
3	Consultant	i) Mr. Akhtar Hassan ii) Sh. S.K. Nagpal iii) Sh. M.R. Kapoor iv) Sh. K.K. Talwar v) Sh. D.L. Sachdeva	20,000 18,000 20,000 15,000 20,000	16/02/2012 03/02/2012 19/03/2012 04/01/2012 15/02/2012
4	Advisor Campus Development	Mr. P.B. Vijay	25,000	Till Further Orders
5	Office Secretary	Ms. Bindu Nair	20,000	19/01/2012
6	Office Assistant	i) Ms. Sameena Qamar ii) Ms. Neelima hildiyal(PR) iii) Mr. Mahesh Kumar iv) Ms. Asha Devi D. v) Mr. Lokesh Sapra vi) Ms. Sangeeta vii) Mr. Yusuf Raza viii) Mr. Deepak Kumar ix) Ms. Anita Rawat	12,500 15,000 13,000 13,000 15,000 12,500 12,500 12,500 12,500	20/01/2012 23/02/2012 14/11/2011 06/11/2012 03/11/2011 13/01/2012 11/01/2012 30/01/2012 28/02/2012

Sl. No	Position	Name of employee	Consolidated Salary (in Rs.)	Term upto
7	Computer Operator	i) Ms. Suman Negi	12,500	03/04/2012
		ii) Mr. Anil Singh Rawat	12,500	25/11/2011
		iii) Ms. Poonam Khanduri	12,500	15/11/2011
		iv) Ms. Minakshi	12,500	10/11/2011
		v) Mr. Manmohan Aswal	12,500	07/11/2011
		vi) Ms. Arunima Shukla	12,500	07/11/2011
		vii) Mr. Mohit Jagota	12,500	12/11/2011
		viii) Mr. Ajay Talwar	12,500	18/02/2012
8	Care Taker	Mr. Sita Ram Sharma	15,000	22/02/2012
9	Assistant Caretaker	Mr. Rajinder Parkash	8,000	22/02/2012
10	Junior Executive	Mr. Rajiv Trehan	18,000	19/01/2012
11	Junior Executive (IT)	Ms. Priyanka Papreja	15,000	08/12/2011
12	Tech. Assistant (IT)	i) Mr. Mukesh Singh Dangi	12,500	08/03/2012
		ii) Mr. Manas Ranjan Dakua	12,500	13/01/2012
		iii) Mr. Ramiz Kazmi	12,500	23/04/2012
		iv) Mr. Shambhu Saran Singh	12,500	31/01/2011
13	Professional Assistant	i) Ms. Alka Rai	20,000	23/02/2012
		ii) Mr. Ram Hardiya	19,000	23/02/2012
		iii) Ms. Manju	16,500	23/02/2012
		iv) Mr. Ravinder Rawat	15,000	23/02/2012
14	Office Attendant	i) Mr. Shiv Charan	8,000	03/11/2011
		ii) Mr. Ashu Mann	8,000	04/12/2011
		iii) Mr. Ajay Kumar	8,000	04/12/2011
		iv) Mr. Sandeep Kumar	8,000	22/12/2011
		v) Mr. Nekson	8,000	12/11/2011
		vi) Mr. Sanjay Rawat	8,000	22/12/2011
		vii) Mr. Rudresh Singh Negi	8,000	25/11/2011
		viii) Mr. Sandeep Chauhan	8,000	19/11/2011
		ix) Mr. Sumit Solanki	10,000	13/01/2012
		x) Sh. Shafiq Ahmed	8,000	14/11/2011
		xi) Sh. Rudar Pal	8,000	14/11/2011
		xii) Sh. Sandeep Kumar	8,000	14/11/2011
		xiii) Ms. Pinky	8,000	14/11/2011
		xiv) Sh. Ajay Singh Dangi	8,000	14/11/2011
		xv) Sh. Naveen Kumar	8,000	14/11/2011
		xvi) Sh. Ashok Kumar-I	8,000	14/11/2011
		xvii) Sh. Ashok Kumar - II	8,000	14/11/2011
		xviii) Sh. Naresh Kumar	8,000	14/11/2011
15	Part Time Physician	Dr. Rachana Gupta	15,000	17/11/2012
17	Part Time Plumber	Mr. Mahiender Kumar Swain	4,000	01/11/2011
18	Part Time Maali	Mr. Raj Kumar Maurya	5,000	20/03/2012
		Mr. Yogesh Kumar	5,000	01/01/2012

The above position is submitted for the information of the Establishment Committee.

Annexure-B
Item No. 4/EC(6)/09.11.2011

Noted the Status of Recruitment of non-teaching posts

An advertisement in the Employment News Weekly and the Times Ascent - Times of India + Navbharat Times - (Delhi, Lucknow and Kolkata) was published for filling up of non-teaching posts on deputation basis initially for a period of one year, failing which on contract basis, as per the qualifications, experience and other details approved by the Establishment Committee in its meeting held on 26.07.2010.

The status of filling up of the various non-teaching posts is submitted below for information of the Establishment Committee:-

Sl.	Name of the post with Pay Scale	No. of posts advertised	Current Status
01	ASSISTANT REGISTRAR Rs. 15,600-39,100 with Grade Pay of Rs. 5400 (Pay Band-3)	05	The Selection Committee has recommended the following candidates for the position of Assistant Registrar: 1 Shri Sucha Singh 2 Shri Santhanam Iyengar 3 Shri Saqib Aziz 4 Dr. Ram Dutt Sharma 5 Shri B.K. Somayajulu 6 Shri Narendra Mishra (for IT related functions) 7 Smt. Archana Sharma (for Planning) All the candidates were offered appointment on deputation basis initially for a period of one year. All candidates except Shri Saqib Aziz have joined the duties. However Shri Saqib Aziz did not join and hence the offer of appointment was withdrawn.
02	PROFESSIONAL ASSISTANT - Rs. 9,300-34,800 with G P of Rs. 4200 (Pay Band - 2)	02	The applications received have been screened by the screening committee. The selection committee has also been constituted. The date of interaction is being fixed shortly.
03	SECURITY SUPERVISOR - Rs. 9,300-34,800 with Grade Pay of Rs. 4200 (Pay Band - 2)	01	5 eligible candidates for the position of Security Supervisor on deputation terms were called for interaction on 25 th July,

			2011. None of the candidate turned up. A committee has been constituted for screening the applications on contract basis. The committee has screened the applications received for the position of Security Supervisor. The process of composition of Selection Committee and date of interaction is being fixed shortly.
04	SYSTEM ADMINISTRATOR (IT) - Rs.15,600-39,100 with Grade Pay of Rs. 5400 (Pay Band - 3)	01	The Committee did not find anyone suitable for the post. A committee has been constituted for screening the applications on contract basis. The committee has screened the applications received for the position of System Administrator (IT). The date of interaction is being fixed shortly.
05	JUNIOR SYSTEM ADMINISTRATOR (IT) - Rs. 9300-34800 with Grade Pay of Rs. 4200 (Pay Band - 2)	01	The Committee did not find anyone suitable for the post. A committee has been constituted for screening the applications on contract basis.
06	JUNIOR EXECUTIVE (IT) - Rs. 9300-34800 with Grade Pay Rs. 4200 (Pay Band - 2)	01	The Committee did not find anyone suitable for the post. A committee has been constituted for screening the applications on contract basis.
07	TECHNICAL ASSISTANT (IT) - Rs. 5200-20200 with Grade Pay Rs. 2800 (Pay Band - 1)	02	Smt. Sunita Tyagi who was recommended for appointment on deputation basis initially for a period of one year has joined. The remaining one post is to be filled up on contract basis for which a committee has been constituted for screening the applications on contract basis.
08	JUNIOR EXECUTIVE Rs.9300-34800 with Grade Pay Rs. 4200 (Pay Band - 2)	12	Four candidates were selected for the position of Junior Executive on deputation basis initially for a period of one year. Only one Junior Executive namely Shri Laxmi Kant has joined. The following three candidates did not join and their offer of appointment has been withdrawn. (1) Smt. Dilpreet Kaur Sabharwal; (2) Shri Khagesh Kumar and

			(3) Shri Vibhash Kumar. The remaining 11 posts are to be filled up on contract basis for which a committee has been constituted for screening the applications on contract basis.
09	ASSISTANT - Rs. 5200-20200 with Grade Pay Rs. 2400 (Pay Band -1)	12	16 eligible candidates for the position of Assistant on deputation terms were called for interview on 12.10.2011. The following 08 candidates have been recommended for appointment. The selected candidates have been offered the post of Assistant on deputation basis initially for a period of one year. 1. Ms. Geeta 2. Shri Qamrul Khan 3. Shri Sanjeev Singh Chauhan 4. Shri Sunil Kumar 5. Shri Pankaj Kumar 6. Shri Brajesh Kumar Gupta 7. Shri Virendra Kumar Kataria 8. Shri Sagar Singh Tyagi

In pursuance of the decision taken by the Board of Management in its 8th meeting held on 16.07.2010, offer of appointment on deputation basis has been made to the selected candidates for the position of Assistant Registrar, Junior Executive, and Junior Executive (IT), initially for a period of one year.

The above position is submitted for the information of the Establishment Committee.

Annexure-C
Item No. 5/EC(6)/09.11.2011

Noted the Status of appointment for the positions of Registrar and Deputy Registrar

An advertisement in the Times-Ascent (Delhi and Mumbai) Times of India (14.09.2011), Shine HT (13.09.2011), Hindu Opportunities - All Editions (14.09.2011), The Statesman (11.09.2011), Employment News (24.09.2011), University Today (15.09.2011), University News (19.09.2011) was published in their issue on the dates mentioned in the bracket for filling up the post of Registrar as per the approved qualifications, experience and other details. The last date of receipt of application was 17th October, 2011. The advertisement was also put on AUD's website.

The applications for the position of Deputy Registrar were invited for filling up of two posts (one for Administration and one for Finance) on deputation terms as per the approved qualification and experience by circulation to JNU, IGNOU, GGSIP University, University of Delhi and Govt. of NCT, Deptt. of Personnel. The last date of receipt of applications was 30th September, 2011. The advertisement was also put on AUD's website.

The status of filling up of the various posts is submitted below for information of the Establishment Committee:-

Sl.	Name of the post with Pay Scale	No. of posts advertised	Current Status
01	REGISTRAR - Rs. 37,400-67,000 with Grade Pay of Rs. 10,000 (Pay Band-4)	01	About 75 applications have been received so far in response to the advertisement. The applications will be screened/shortlisted as per the eligibility criteria.
02	DEPUTY REGISTRAR - Rs. 15,600-39,100 with Grade Pay of Rs. 7600 (Pay Band-3)	03	In response to the advertisement, 15 applications have been received. The applications so received have been screened by the duly constituted committee. The composition of selection committee and date of interaction is in progress. Initially applications were invited for filling up of two posts of Dy. Registrar (one for Administration and one for Finance). Now it has been decided by the Vice-Chancellor to fill up one more post of Deputy Registrar to take care of administrative requirement at Kashmere Gate Campus.

The above position is submitted for the information of the Establishment Committee.

AMBEDKAR UNIVERSITY, DELHI

Advt. No-AUD/01/2011/Acad.Ser./

Dated: 08.09.2011

RECRUITMENT POSITION OF REGISTRAR

Applications are invited from eligible candidates for the post of Registrar in Pay Band 4 Rs.37400-67000 with Grade Pay of Rs.10000 so as to reach the undersigned latest by Monday 17 October 2011. Essential Qualifications are as per UGC norms as adopted by the Board of Management of AUD from time to time.

Essential Qualifications:

1. A Master's Degree with at least 55% marks or its equivalent grade of B in the UGC seven point scale. (5% relaxation in qualifying marks at master's level i.e. from 55% to 50% of marks, shall be given to candidates belonging to SC/ST or those who are physically disabled and those who are already in the University system).
2. At least 15 years of experience as Assistant Professor in the Academic Grade Pay of Rs.7000 or above, including 8 years of experience as Associate Professor in the Academic Grade Pay of Rs.8000 or above, alongwith experience in educational administration.
Or
Comparable experience in Research Establishments and other institutions of Higher Education
Or
15 years of administrative experience out of which 8 years should be as Deputy Registrar or on an equivalent post in a University or Government Organization.

Desirable Qualification:

1. Experience of effective participation in the building of an educational institution. Ability to work as a member of a team of professionals committed to translating the vision of a new university into reality.
2. Experience of e-governance.

Job Description:

The Registrar should have wide ranging experience in all aspects of University management. Registrar will also perform such duties as have been specified in the University Act, Statutes, Ordinances, Rules and Regulations and as may be required by the statutory bodies and the Vice-Chancellor.

The appointment will be for a period of 5 years. The maximum age should not be more than 57 years as on 1 November 2011. (The retirement age for the post is 62 years)

How to Apply:

Applications must be made on the prescribed form Proforma-A for the post of Registrar provided on the AUD website. There is no application fee.

Completed applications may be sent through e-mail as pdf attachments to hr@aud.ac.in OR as hard copy by post addressed to: The Dean, Academic Services, Ambedkar University, Sector-8, Dwarka, New Delhi-110077. The last date for submission of applications is Monday 17 October 2011.

Important Note:

1. It will be open to the University to consider names of suitable candidates who may not have applied.
2. The University reserves the right not to fill up the post advertised if the circumstances so warrant.

Registrar

भारत रत्न डॉ. बी.आर.
अम्बेडकर विश्वविद्यालय, दिल्ली



Bharat Ratna Dr B.R.
Ambedkar University, Delhi

(A University established by the Government of NCT of Delhi through an Act of Legislature)

Ambedkar University, Delhi (AUD), a unitary university established by the Government of the NCT of Delhi (2008), is mandated to offer undergraduate, postgraduate and doctoral programmes in the social sciences and humanities. The University invites applications in the prescribed form from the eligible candidates possessing the minimum essential qualification and experience for the position of Deputy Registrar, Administration and Finance on deputation basis.

(I) DEPUTY REGISTRAR (2 posts - 1 for Administration and 1 for Finance)
PB 3/Rs. 15600-39100+GP Rs. 7600

Minimum Educational Qualifications and Experience:

(1) Master's Degree with at least 55% of the marks or its equivalent grade of B in the UGC seven-point scale. 5% relaxation in marks for SC/ST/PH categories

(2) Nine years' of experience as Assistant Professor in the AGP of Rs. 6000 and above with experience in educational administration OR comparable experience in research establishment and/or other institutions of higher education OR 5 years of administrative experience as Assistant Registrar or in an equivalent post. Graduates working as Assistant Registrar or in an equivalent post for the last eight years in a University System can also apply.

Desirable: (i) Master degree in Management/Law/MCA/Chartered Accountancy.

(ii) Good knowledge of computer applications.

(iii) Experience in university administration/Finance and familiarly with the working of university bodies and institutions

(iv) Thorough knowledge of service matters/accounts/budget or conduct of examinations.

General Conditions:

1. The University reserves the right to:

- Draw up reserve panel against possible vacancies in the future
- Consider applications received after last date
- Consider "in absentia" candidature of those who may not have applied or who may have applied but are not able to appear for the interview.

- Relax any of the qualification/experience at its discretion.
- Conduct written/practical tests wherever it feels necessary as per the criteria to be decided by the university.
- Not to fill up all or any of the advertised position.

2. Since the applications received may be short listed, mere possessing the prescribed qualifications and requisite experience would not entitle a person to be called for interview. Where the number of applications received in response to the advertisement is large and it will not be feasible to interview all the candidates, the University, at its discretion, may restrict the number of candidates to a reasonable limit on the basis of qualifications/experience higher than the minimum prescribed in the advertisement. Candidates already employed should submit their applications through proper channel. Those who send advance copies of their applications will be considered only if they produce a "No Objection Certificate" from their employer before interview. Those who apply for appointment on deputation terms should submit their applications along with vigilance/integrity certificates and attested copies of their confidential reports for the preceding 5 years. If the incumbent is drawing higher grade pay in the parent cadre, his/her pay in the higher scale may be considered for protection as personal to him/her.

Candidates fulfilling the qualifications and experience may apply on the prescribed application form available on the University website: www.und.ac.in. The candidates shortlisted for interview will be paid both ways second class train fare by the shortest route to the outstation candidates invited for interview on production of tickets. The applications may be sent latest by 30th September 2011 at the following address:

Registrar
Ambedkar University, Delhi
Sector-9, Dwarka, New Delhi - 110 077

Annexure-D
Item No. 7/EC(6)/09.11.2011

Review the status of remuneration paid to the staff engaged on contractual basis in different capacities.

The Establishment Committee in its 5th Meeting held on 23rd June, 2011 considered the revision of Consolidated Remuneration for the posts of Office Attendants and those working in PE-I (Assistants/Computer Operators etc.) and made the following recommendations which have already been implemented w.e.f. 24th June, 2011:

1. The remuneration of the short-term contractual Office Attendants may be enhanced from Rs. 6000/- to 8000/- p.m.
2. The remuneration of the existing persons working as Assistant/Computer Operators etc. on contract basis in P.B.-I may be enhanced from Rupees 10,000/- to 12,500/-.

In view of the above decision of Establishment Committee, it is observed that the increase in case of the category of staff mentioned in Para 1 above is 33% and that mentioned in Para 2 above, is 25%.

The other staff like Assistant Registrar, Office Secretary, Office Assistant (PR), Caretaker, Assistant Caretaker, Junior Executives, Junior Executive (IT), Senior Consultants, Consultants, Part-time Mali and Part-time Plumber had not been considered for enhancement of consolidated remuneration. It may be noted that the regular staff is entitled to DA twice a year i.e. during the months of January and July and 3% annual increment in July every year which comes to around Rs. 3,000/- to Rs. 4,000/-.

Keeping in view the above, it is proposed that the consolidated remuneration in case of the staff engaged on contract basis as Assistant Registrar, Office Secretary, Assistant (PR), Caretaker, Assistant Caretaker, Junior Executives, Junior Executive (IT), Senior Consultants, Consultants, Part-time Mali and Part-time Plumber may be enhanced by 10%.

The above proposal is submitted for consideration of the Establishment Committee.

Annexure-IX
Resol. No. 25/BOM(11)/14/11/2011

Ambedkar University, Delhi

— Mid-Term-Review-2011 —

Terms of Reference

Preamble

Ambedkar University Delhi (AUD) was established by the Government of NCT of Delhi through an Act of Legislature in 2007. AUD became operational on 1 August 2008. AUD Act defines the focus of the University as the social sciences and humanities. The Act provides no provision for affiliation of institutions.

Goals, Mission, Vision:

AUD has shaped itself as a unitary university focusing largely on research and higher learning in areas of knowledge broadly under the domain of the social sciences, humanities and liberal studies. Following the broad guidelines of the University Act and drawing inspiration from the life and ideas of Dr BR Ambedkar, the University is focused on *engaged scholarship* and has taken up the mission to bridge a. concerns for excellence with those for equity and social justice, and b. social transformation with economic growth. AUD's institutional mission includes creating sustainable and effective linkages between access to and success in higher education. AUD is committed to creating and sustaining an institutional culture characterized by humanism, non-hierarchical and collegial functioning, team work and creativity. It has been AUD's attempt through its programmes not merely to respond to the demands of the market, but to work for creating leadership for public systems, to work for social transformation through constitutional means and to develop professional capacities in the interface of the civil society and the state.

The first three years of AUD's life has been one of exponential growth, exploration of new areas of knowledge particularly in the interdisciplinary spaces, new models of curriculum, instruction and assessment and new ways of student support. There have been several accomplishments, particularly in attracting competent faculty and in putting together bold new programmes. There are however several areas in which work remains unfinished and possibilities not fully unfolded yet.

Introspection, Reflection, Envisioning:

It has been an attempt right from the beginning to design the various structures and programmes of the University through collective reflections and consultations. All the programmes were designed through a series of consultative meetings. Once the core faculty was in place, periodic sessions of collective reflections and sharing of experience were conducted. There has always been a shared conviction in the AUD community that envisioning the

institution's desired future is an evolving and participative process and is never going to be a finished enterprise. AUD is evolving to be a self-aware institution. It is hoped that the institution will sustain a culture of collective introspection, reflection, self-assessment and readiness to make mid-course corrections for greater fidelity to the institutional goals and mission.

Need for a Mid-Term Review:

AUD has just completed three years. It is considered too short a time to evaluate the accomplishments of the University and their impact in the larger society. However, now is as good a point in time as any for a purposive and participative exercise at a Mid-Term Review of the University, against the backdrop of its broad goals, its initial vision and its stated mission.

What the Mid-Term Review can do:

To focus particularly on the following aspects: the University's structures, institutional arrangements, broad contours of its programmes, its faculty profile, and its plans for expansion, diversification and consolidation. It is hoped that the Mid-Term Review would provide the strategic directions to the University for the next five years.

What the Mid-Term Review cannot do:

After merely three years of the University coming into existence, such a review clearly cannot be expected to address fully the following aspects: 1. Commenting on the broad goals, vision and mission of the University; 2. A detailed technical evaluation of the programmes (curriculum designs, course structures, course content, etc.) and 3. A major review of the typology based on which the Schools and Centres have been established.

Objectives

The overall objectives of the Mid-Term Review are:

- to assess the progress towards achievement of the stated objectives of AUD;
- to provide recommendations for mid-course corrections and adjustment and alignment of the academic programmes and other programmatic/administrative initiatives keeping in view the lessons learned.

The specific objectives of the Mid-Term Review are as follows:

- Assess the significant programmatic achievements in terms of key outputs/outcomes of the academic and other programmatic initiatives undertaken by AUD since 1 August 2008;

- Assess the relevance and appropriateness of the broad academic provisions, academic programmes/activities as well as of the governance processes, organizational structure, planning processes, infrastructure development, operational and management processes (including financial management), faculty development, student support, research, outreach in the context of their effectiveness in achieving the stated AUD objectives;
- Identify major constraints to the effective implementation of the academic programmes/activities and other programmatic and administrative initiatives, including specific problem areas resulting from unanticipated circumstances, and suggest strategies/measures required to facilitate effective implementation of the programmes and the achievement of the stated objectives of AUD;
- Derive major lessons learned during the implementation of the academic programmes/activities and other programmatic and administrative initiatives during the period 1 August 2008 to 31 December 2011 and examine how the experiences gained and lessons learned can be used to improve programme planning and management during the next two years;
- To identify strengths and weaknesses of the systems and processes adopted, identify challenges and provide recommendations for mid-course corrections and adjustment and alignment of the academic programmes and other administrative initiatives to be undertaken during the next two years keeping in view the stated objectives of AUD and the constraints identified and lessons learned during the period 1 August 2008 to 31 December 2011.

Methodology:

Introduction, overview and planning details of the review (2 days), Study of Documents (3 days), Interviewing a sample of members of the university community and students (including current students, graduates and drop-outs) and the major stake holders, Workshops, Discussions (10 days), writing the various sections and annexures of the report (6 days), Compiling the report, presentation of the draft to the university community, finalizing the report (3 days).

Review Team:

One non-AUD member of the Court (Chairperson)
One non-AUD member of the BOM
One non-AUD member of the AC
One/Two Members from outside AUD
Three/Four Internal Members

Time Frame:

First Quarter of 2012.